

PyDDRBG: A Python framework for benchmarking and evaluating static and dynamic multimodal optimization methods

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Abstract

PyDDRBG is a Python framework for generating tunable test problems for static and dynamic multimodal optimization. It allows for quick and simple generation of a set of predefined problems for non-experienced users, as well as highly customized problems for more experienced users. It easily integrates with an arbitrary optimization method. It can calculate the optimization performance when measured according to the robust mean peak ratio. PyDDRBG is expected to advance the fields of static and dynamic multimodal optimization by providing a common platform to facilitate the numerical analysis, evaluation, and comparison in these fields.

Keywords: Test problems, Benchmarking, Niching, Performance indicator

Required Metadata

Nr.	Code metadata description	Please fill in this column
C1	Current code version	v1.0
C2	Permanent link to code/repository used for this code version	None
C3	Code Ocean compute capsule	None
C4	Legal Code License	MIT
C5	Code versioning system used	None
C6	Software code languages, tools, and services used	Python
C7	Compilation requirements, operating environments & dependencies	Python 3.7.9
C8	If available Link to developer documentation/manual	N/A
C9	Support email for questions	aliahrari1983@gmail.com

Table 1: Code metadata (mandatory)

1. Motivation and Significance

Many real-world problems are dynamic, which means that some aspects of the problem change over time. Finding the optimal solutions to such problems requires dynamic optimization. In the most recent decade, there has been a lot of research work on formulating dynamic problems [1, 2] and developing evolutionary algorithms and swarm intelligence methods for dynamic optimization [3, 4], some of which have been applied to real-world problems such as optimal control [5] and vehicle routing [6].

A successful dynamic optimization method should be able to track all good local minima since the change in the depth of the minima may result in a substantial change in the location of the global minimum [7]. This means that even though the problem asks for one global optimum at each time, optimization methods should employ a multimodal optimization [8] strategy to detect and track distinct global and local minima. Consequently, this field of research is known as dynamic multimodal optimization (DMMO) [9].

There are a few benchmark generator for DMMO. The most well-known and widely used one is the Moving Peak Benchmark (MPB) [7, 9] and its variants and extensions [10, 11, 12, 13]. The Real Rotation Dynamic Benchmark Generator (RRDBG) [14] applies rotation to change the location for the optima. Real Composition Dynamic Benchmark Generator (RCDBG) [14]

21 allows for employing more complex functions instead of a unimodal spherical
22 function to form the fitness landscape around each optimum.

23 A more recent perspective to DMMO asks for multiple (near-) global
24 optimum at the problem level at each time [15, 16, 17]. The aforementioned
25 benchmark generators can be extended to this type of problems with some
26 minor modification, e.g., the modified MPB in [16] which can simulate an
27 arbitrary number of global optima.

28 A comprehensive benchmark generator for DMMO should reflect the di-
29 verse challenges associated with DMMO. In particular, these challenges can
30 be divided into three groups [18]:

- 31 • *global optimization*, which determines how hard it is to find each global
32 optimum. Intervening factors are the depth of local optima, correlation
33 among variables, and badly scaled problems [19].
- 34 • *multimodal optimization*, which determines how difficult it is to detect
35 distinct global optima. The factors involved are the irregularity in the
36 distribution of global optima, their shapes and sizes
- 37 • *dynamic optimization*, which is affected by the change frequency, change
38 severity, and irregularities in the pattern of the change in the fitness
39 landscape.

40 Such classification measures the difficulty of DMMO from three distinct
41 perspectives, each associated with a distinguishable type of challenges. This
42 allows for analyzing the pros and cons of each DMMO method more reli-
43 ably. The recently proposed Dynamic Distortion and Rotation Benchmark
44 (DDRB) generator [18] allows for simulation and control of these challenges.
45 When compared with exiting benchmark generators for DMMO, it can sim-
46 ulate more diverse features or at least has the advantages of easy integration
47 with existing static multimodal optimization problems and deterministic and
48 thus platform independent nature (see [18] for in-depth analysis and compar-
49 ison with other benchmark generators for DMMO).

50 It is possible to analyze the characteristics of landscape using landscape
51 metrics such as those defined and used in [20, 21] for deceptiveness of local
52 optima and in [22] for irregularity of distribution and sizes of global optima;
53 however, user-defined parameters that control the difficulty of each aspect
54 of the problem eliminates the need for performing such analysis for each
55 generated problems. This is the case with DDRB, in which:

- 56 • the parameter (h_{GO}) controls the difficulty of reaching each global op-
57 timum (global optimization difficulty) either by increasing the depth of
58 local optima or by increasing the condition number of the landscape.

- 59 • the parameter e_c controls the difficulty of multimodal optimization by
60 determining the distortion of the landscape during dynamic changes
61 (see [18] for details), which is the extent of the changes in the shapes,
62 sizes, and irregularity in the distribution of global minima
- 63 • three other parameters control dynamic aspects of the problem, which
64 are the severity of the change (one parameter), change frequency (one
65 parameter), and randomness in the pattern of the change (one parame-
66 ter). The former two are shared features of most dynamic test problems
67 (e.g., see [23]).

68 This study provides a user-friendly Python framework for the DDRB gen-
69 erator [18]. This framework, called PyDDRBG, also provides a method that
70 calculates the performance of a static or dynamic multimodal optimization
71 method, which will be explained in Subsection 3.5. This framework has been
72 structured such that:

- 73 • It is easy to use and understand by non-expert users, allowing them to
74 simulate the exact problems employed in [18].
- 75 • It allows for detailed control and customization for more experienced
76 users who wish to create different benchmark problems.
- 77 • It can be easily and simply integrated with any arbitrary optimization
78 method.

79 2. Software Description

80 The problems generated by PyDDRBG can be static (no change in the
81 problem landscape) or dynamic (the problem landscape changes at predefined
82 intervals). For static problems, a promising optimization method should be
83 able to correctly identify as many global minima as possible, while in dynamic
84 problems, it should also be able to track these minima over time. In PyD-
85 DRBG, a DMMO problem is formed by first generating a static multimodal
86 optimization (SMMO) problem and then simulating dynamic behavior by
87 distortion and rigid rotation of the fitness landscape at predefined intervals.

88 PyDDRBG has five predefined static parametric composite multimodal
89 optimization functions ($G_i, i = 11, 12, \dots, 15$), each formulated by a com-
90 bination of three basic functions. Each composite function has a tunable
91 parameter denoted by `D_I`, which controls the number of global minima
92 (`numGlobMin`) in the problem. For each composite function, two values
93 of `D_I` have been considered by default, resulting in 10 standard problems
94 (`PID = 1, 2, \dots, 10`). Table 2 presents the properties of these problems.

95 The user can further control the properties of the static problem by chang-
96 ing the corresponding attributes of `statAttr`, including:

- 97 • `+dim`: int, which defines the search space dimensionality. See Table 2
98 for allowable values of `dim` for each PID,
- 99 • `+h_GO`: float ($0 \leq h_GO \leq 1$), which controls the difficulty of global op-
100 timization in the problem. This parameter does not change the location
101 of the global minima but makes finding them harder by increasing the
102 depths of undesirable local minima or increasing the condition number
103 of the basic functions that form the parametric composite function (see
104 [18] for more details.)
- 105 • `+maxEvalCoeff`: float ($\text{maxEvalCoeff} > 0$), which controls the evaluation
106 budget of the static problem or the zeroth time step if the problem is
107 dynamic. This budget is $\text{maxEvalCoeff} \times \text{dim} \times \text{numGlobMin}$.
- 108 • `+rotAngle`: float ($\text{rotAngle} \in \mathbb{R}$), which defines the rotation angle for
109 the rigid rotation of the search space (static problem).

110 Dynamic problems are formed by simulating a dynamic behavior to the
111 defined static problems, which results in some change in the problem land-
112 scape after a certain time. This time is measured in terms of the used function
113 evaluations, i.e., the number of calls to the objective function. PyDDRBG
114 allows for a lower level control of dynamic properties of the benchmark prob-
115 lem, including the following attributes of `dynaAttr`:

- 116 • `+chSevReg`: float ($\text{chSevReg} > 0$), which defines the severity of the
117 regular (patterned) change. A greater value means a less severe change.
- 118 • `+chSevIrreg`: float ($\text{chSevIrreg} > 0$), which defines the severity of irreg-
119 ular (patternless) change. A greater value means a less severe change.
- 120 • `+chFrCoeff`: float ($\text{chFrCoef} > 0$), which controls the change frequency
121 for the first time step onward (the change frequency is $\text{chFrCoeff} \times \text{dim} \times$
122 numGlobMin). The change frequency is the duration of the interval in
123 which the problem remains unchanged. Each interval is called a time
124 step ($\text{timeStep} = 0, 1, \dots, \text{numTimeStep} - 1$).
- 125 • `+numTimeStep`: int ($\text{numTimeStep} \geq 0$), which is the number of time
126 steps in the dynamic problem (one if the problem is static).
- 127 • `+e.c`: float ($e.c > 0$), which is the eccentricity for the scaling function
128 to control the intensity of dynamic distortion in the landscape. A
129 greater value means a less severe distortion in the problem landscape.

PID	Function	statAttr.D_l	numGlobMin	Valid dimensionality
1	G_{11}	2	4	$2k + 2$
2	G_{12}	2	2	$2k + 2$
3	G_{13}	2	3	$2k + 2$
4	G_{14}	1	3	$k + 1$
5	G_{15}	2	4	$2k + 2$
6	G_{11}	4	16	$2k + 4$
7	G_{12}	6	8	$2k + 6$
8	G_{13}	4	9	$2k + 4$
9	G_{14}	2	18	$k + 2$
10	G_{15}	4	16	$2k + 4$

Table 2: Specifications of the predefined static problems in PyDDRBG. For all functions, the search range is $[-5, 5]^D$, and $k \in \mathbb{Z}_{\geq 0}$

- 130 • `+performDynaRot`: bool (False/True), which determines if dynamic ro-
131 tation should be performed.

132 For multimodal optimization, the peak ratio (PR) [24] is the most com-
133 monly adopted indicator for performance evaluation. It simply calculates
134 the fraction of global minima that has been detected given a predefined tar-
135 get tolerance for the solution value and a niche radius. The robust peak
136 ratio (RPR), as introduced in [18], provides a more robust indicator which
137 eliminates the need to specify the niche radius for performance evaluation.
138 For each detected global minimum, a partial score in $[0, 1]$ is calculated with
139 respect to the predefined loosest and tightest tolerances. RPR is then calcu-
140 lated as the average of these partial scores.

141 2.1. Software Functionalities

142 The use cases of the PyDDRBG framework are as follows:

- 143 • A simple way to set all problem properties to predefined values is by
144 choosing PID (see Table 2) and `dynaScn` 3.
- 145 • Customization of problem properties to create diverse test problems.
146 This customization is optional and might be preferable for more ex-
147 perienced users. The problem properties that can be customized are
148 attributes of `statAttr` and `dynaAttr`.
- 149 • Calculation of the problem data required for a solution evaluation and
150 performance evaluation.

dynaScn	isDynamic	statAttr.h_GO	dynaAttr.e_c	dynaAttr.chSevReg	dynaAttr.chSevIrreg	dynaAttr.chFrCoeff	dynaAttr.numTimeStep	Feature
0	False	0.3	0.5	30	∞	2000	1	Base Scenario (Static)
1	True	0.3	0.5	30	∞	2000	40	Base Scenario (Dynamic)
2	True	0.6	0.5	30	∞	2000	40	Hard global optimization
3	True	0.3	0.1	30	∞	2000	40	Hard niching problem
4	True	0.3	0.5	10	∞	2000	40	Severe changes
5	True	0.3	0.5	30	5	2000	40	Irregular changes
6	True	0.3	0.5	30	∞	500	40	Fast-changing problem

Table 3: Dynamic scenarios predefined for the PyDDRBG. In all scenarios, `statAttr.dim=10`, `statAttr.maxEvalCoeff=4000`, `statAttr.rotAngle= $\pi/6$` , `dynaAttr.numTimeStep=40`, and `dynaAttr.performDynaRot=True`. The user may customize these properties to generate new problems.

- 151 • Integration with an arbitrary optimization method. `problem` has all the
152 required data and methods for optimization.
- 153 • Static and dynamic multimodal optimization with an exemplary method,
154 which has been provided to demonstrate how to integrate an arbitrary
155 optimization method with a test problem generated by PyDDRBG.
- 156 • A static method to calculate robust mean peak ratio for static and
157 dynamic problems given the tightest and loosest tolerances on the ob-
158 jective function.

159 2.2. Software Architecture

160 Figure 1 depicts the class diagram of PyDDRBG in the Unified Modeling
161 Language (UML). It also illustrates how it interacts with an external static or
162 dynamic multimodal optimization method. `DDR.py` is the main file of Py-
163 DDRBG which enables the user to generate a static or dynamic multimodal
164 optimization problem and evaluate a solution. Methods and attributes of
165 this class are presented in Table 4. Notably, `statAttr` and `dynaAttr` include
166 all control parameters of the problem that can be customized by the user,
167 and the boolean attribute `isDynamic` determines if the problem is dynamic.

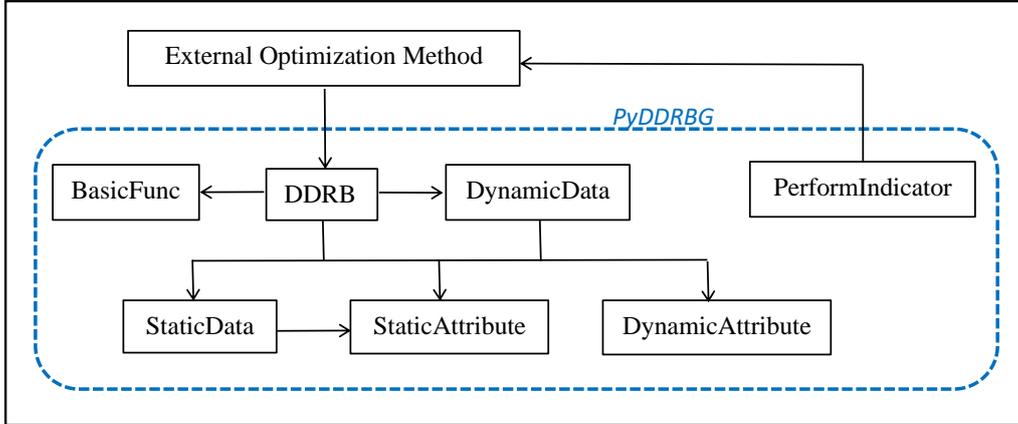


Figure 1: UML class diagram of PyDDRBG and its interaction with an external static or dynamic multimodal optimization method

168 A set of predefined static problems and dynamic scenarios have already been
 169 configured in PyDDRBG. These scenarios are presented in Table 3. The user
 170 can start from one of these predefined scenarios and then customize some par-
 171 ameters of the problem by changing the corresponding attribute in `statAttr`
 172 or `dynaAttr`.

173 3. Implementation Steps

174 The steps to generate and employ a test problem from PyDDRBG are
 175 explained in this section.

176 3.1. Create the problem object

First, the user should create the problem object by creating an object from the `DDR` class:

$$\text{problem} = \text{DDR}(\text{PID}, \text{dynaScn}). \quad (1)$$

177 This sets the control parameters of the problem according to the static prob-
 178 lem ID (see Table 2) and the selected dynamic scenario (see Table 3).

179 3.2. Customize the problem properties

180 This step is optional and can be useful for an experienced user, who can
 181 change properties of the problem by changing the corresponding attributes
 182 in `statAttr` and `dynaAttr`. For example, the hardness of the problem from the
 183 global optimization perspective can be intensified by increasing `h_GO`:

$$\text{problem.statAttr.h_GO} = 1.0. \quad (2)$$

DDRB	Role of the attribute/method
+statAttr: StaticAttribute	Determines static properties of the problem
+dynaAttr: DynamicAttribute	Determines dynamic properties of the problem
+statData: StaticData	Calculates and stores the data related to static aspects of the problem
+dynaData: DynamicData	Calculates and stores the data related to dynamic aspects of the problem
+maxEvalTotal: int	Defines the evaluation budget of the problem
+isDynamic: bool	Determines if the problem is dynamic
+numCallObj: int	Tracks the number of calls to the objective function
+DDRB(int PID, int dynaScn)	Constructs the problem object and sets statAttr and dynaAttr
+calc_problem_data()	Calculates problem data and stores them in statData and dynaData
+func_eval(float[] x): float	Calculates and returns the value of solution x
+func_eval_static(float[] x, StaticAttribute statAttr, DynamicAttribute dynaAttr): float	Calculates and returns the value of solution x excluding the effect of dynamic distortion and rotation

Table 4: Methods and attributes of the DDRB class

184 *3.3. Generate the problem data*

185 After customization of the problem parameters, problem data should be
186 calculated and stored in the problem object as follows:

$$\text{problem.calc_problem_data()}. \quad (3)$$

187 **problem** has all the data required for a solution evaluation, which are stored in
188 **statData** (data related to static aspects of the problem) and **dynaData** (data
189 related to dynamic aspects of the problem). No further change to **problem** is
190 allowed.

191 *3.4. Solution evaluation*

192 Given **problem**, an arbitrary solution **x** can be easily calculated for both
193 static and dynamic problems using the following method:

$$\mathbf{y}=\text{problem.func_eval}(\mathbf{x}), \quad (4)$$

194 in which **y** is the value of solution **x**. It is worth indicating that **problem** keeps
195 track of the number of calls to the objective function in **problem.numCallObj**.
196 Besides, **problem** has all the information and methods required for optimiza-
197 tion. Therefore, for integration with an optimization method, it is sufficient
198 to provide **problem** for that method. Integration with a sample optimization
199 method will be demonstrated in Section 4.

200 *3.5. Performance evaluation*

201 Although there are many performance indicators for multimodal opti-
202 mization [25], Peak Ratio (PR) is the most widely accepted one which has
203 also been employed in competitions on niching methods for multimodal op-
204 timization [24]. PyDDRBG can calculate Robust Peak Ratio (RPR) [18].
205 When compared with PR, RPR is less sensitive to predefined function tol-
206 erance as it can assign partial credits for a solution if its value is between
207 the predefined loosest and tightest tolerances. It also eliminates the sensi-
208 tivity of PR and its need for the preset niche radius. Given the results of
209 static/dynamic multimodal optimization, RPR is calculated using the fol-
210 lowing static method:

$$\text{RPR, valDiff}=\text{PerformIndic.calc_RPR}(\mathbf{X},\text{foundEval},\text{tolFunScore},\text{problem}), \quad (5)$$

211 in which:

- 212 • **X** (2D float array) is the set of near-optimal solutions reported by the
213 optimization method.

- 214 • `foundEval` (1D int array) stores the function evaluations at which these
215 solutions were found.
- 216 • `tolFunScore` (1D float array) is the loosest and tightest tolerance for
217 calculation of RPR (defined by the experimental setup).
- 218 • $0 \leq \text{RPR} \leq 1$ is the calculated RPR. If the problem is static, RPR is a
219 scalar. If the problem is dynamic, RPR is a 1D array showing RPR at
220 each time step.
- 221 • `valDiff` ≥ 0 : For a static problem, it is a 1D array showing the differ-
222 ence between the global minimum value and the value of the reported
223 approximate solution for that global minimum. If there is no approx-
224 imate solution for a global minimum, the corresponding element of
225 `valDiff` will be ∞ . For dynamic problems, `valDiff` is a 2D array showing
226 these differences for each time step.

227 For DMMO problems, this method returns the calculated RPR at the
228 end of each time step in the form of 1D array. The average of these values is
229 the mean RPR, which is regarded as the performance measure.

230 4. Illustrative Example

231 The file `example_optim.py` provides an illustrative example for generation
232 of a test problem, optimizing it and evaluating the optimization results. The
233 main steps performed for this purpose are as follows:

- 234 • Create the problem object with predefined properties by specifying PID
235 and `DynaScn`:

```
236     PID=1
237     dynaScn=6
238     problem=DDRB(PID,dynaScn)
```

- 239 • Change the values of attributes of `problem.statAttr` and/or `problem.dynaAttr`
240 if desired. This step is optional and can be useful for experienced users.
241 As an example, we change the problem's dimensionality and the num-
242 ber of time steps:

```
243     problem.statAttr.dim=8
244     problem.dynaAttr.numTimeStep=10
```

- 245 • Calculate the problem data and store them in `problem`:
246 `problem.calc_problem_data()`

247 • Optimize the problem using an external optimization method and get
248 the reported optimal solutions and the time (number of evaluations) at
249 which these solutions have been found. A simple optimization method
250 is provided in the file `example_optim.py` for demonstration, which is
251 called as follows:

```
252         foundEval, solution=optimize_full(problem)
```

253 • Calculate the performance after defining the loosest and tightest toler-
254 ance for the objective value:

```
255         tolFunScore=np.array([0.1, 1e - 5])
```

```
256         RPR,valDiff=PerformIndicator.calc_RPR(solution,foundEval,tolFunScore,problem)
```

257 5. Impact

258 SMMO is already a well-developed field of research. The importance of
259 SMMO in real-world problems is already well-understood. The remarkable
260 number of studies on SMMO [26, 8] and competitions on niching methods
261 for SMMO, which have regularly been held at the *Genetic and Evolutionary*
262 *Computation Conference* (GECCO) and at the *IEEE Congress on Evolu-*
263 *tionary Computation* (CEC), is evidence for this claim. At the same time,
264 a number of test suites have been proposed for performance evaluation and
265 comparison of SMMO methods (see [22] for an example). In particular, the
266 CEC'2013 test suite for static multimodal optimization [24] has served as a
267 widely accepted tool for comparing SMMO methods since 2013, which has
268 provided a substantial contribution to advancing the knowledge in this field.

269 DMMO, when multiple global minima should be tracked over time, is
270 a relatively new field of research with application to some real-world prob-
271 lems. One familiar example is the problem of finding the fastest route to a
272 destination by GPS. This problem demands multimodal optimization since
273 the driver might be interested in multiple routes with similar estimated time
274 of arrival (ETA) or even routes which might be slightly longer but may be
275 preferable because of the familiarity for the driver with the road, safety, av-
276 erage speed, and so on. At the same time, this problem is dynamic since the
277 optimal routes may change because of changes in traffic conditions, accidents,
278 or even a missed turn by the driver. In these situations, it is desirable that
279 the route finding algorithm updates the optimal routes as fast as possible.
280 Other real-world exemplary applications are finding solutions to a system of
281 nonlinear time-dependent equations [27] and tracking multiple moving tar-
282 gets [17].

283 Existing studies on DMMO (e.g. [16]) generally employ simple benchmark
284 generators that may not be able to simulate all the challenges associated with
285 DMMO. PyDDRBG provides a comprehensive test suite for both static and
286 dynamic multimodal optimization. It is expected to become a widely adopted
287 test suite for both static and dynamic multimodal optimization in the future.
288 Ease of implementation, possibility for customization, deterministic nature
289 of the problems, and lower-level control over the properties of the generated
290 problems are good reasons to support this expectation.

291 **6. Conclusions**

292 Dynamic multimodal optimization (DMMO) is an emerging field of re-
293 search with some practical applications. The developed python framework
294 in this work provides an easy tool for benchmarking, analyzing and compar-
295 ing arbitrary methods for both static multimodal optimization (SMMO) and
296 DMMO. The ease of integration with optimization methods and the deter-
297 ministic nature of the generated test problems should encourage researchers
298 in the field of multimodal optimization (both dynamic and static) to employ
299 this benchmark generator in their research. The parametric nature of these
300 test problems allows the user to control the difficulty of different features of
301 each problem to facilitate identification of the pros and cons of each method,
302 which will illuminate the path to advancing knowledge in this field.

303 **7. Conflict of Interest**

304 No conflict of interest exists: We wish to confirm that there are no known
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