

Topological singular set of vector-valued maps, I: Applications to manifold-constrained Sobolev and BV spaces

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Abstract

We introduce an operator \mathbf{S} on vector-valued maps u which has the ability to capture the relevant topological information carried by u . In particular, this operator is defined on maps that take values in a closed submanifold \mathcal{N} of the Euclidean space \mathbb{R}^m , and coincides with the distributional Jacobian in case \mathcal{N} is a sphere. [More precisely, the range of \$\mathbf{S}\$ is a set of maps whose values are](#) flat chains with coefficients in a suitable normed abelian group. In this paper, we use \mathbf{S} to characterise strong limits of smooth, \mathcal{N} -valued maps with respect to Sobolev norms, extending a result by Pakzad and Rivière. We also discuss applications to the study of manifold-valued maps of bounded variation. In a companion paper, we will consider applications to the asymptotic behaviour of minimisers of Ginzburg-Landau type functionals, with \mathcal{N} -well potentials.

Keywords. Topological singularities · Flat chains · Manifold-valued maps · Density of smooth maps · Lifting.

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1 Introduction

Let \mathcal{N} be a smooth, closed Riemannian manifold, isometrically embedded in a Euclidean space \mathbb{R}^m , and let $\Omega \subseteq \mathbb{R}^d$ be a bounded, smooth domain of dimension $d \geq 2$. Functional spaces of maps $u: \Omega \rightarrow \mathcal{N}$ (e.g., Sobolev or BV) have been extensively studied in the literature, in connection with manifold-constrained variational problems, in order to detect the topological information encoded by u .

In this paper, instead of dealing directly with \mathcal{N} -valued maps, we consider *vector-valued* maps $u: \Omega \rightarrow \mathbb{R}^m$, which we think of as approximations of a map $v: \Omega \rightarrow \mathcal{N}$. This point of view also arises quite naturally from variational problems, such as the penalised harmonic map problem, the Ginzburg-Landau model for superconductivity or other models from material science that share a common structure, e.g. the Landau-de Gennes model for nematic liquid

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crystals. Moreover, working with vector-valued, instead of manifold-valued, maps allows for more flexibility. On the other hand, if $u: \Omega \rightarrow \mathbb{R}^m$ does not take values uniformly close to \mathcal{N} but only close in, say, an integral sense (e.g. $\int_{\Omega} \text{dist}(u, \mathcal{N})$ is small) then it might not be obvious to extract the topological information carried by u . For instance, in the Ginzburg-Landau theory, this task is accomplished by means of the distributional Jacobian. However, this tool is only available when the distinguished manifold \mathcal{N} has a special structure — typically, when \mathcal{N} is a sphere — and cannot be applied to some cases that are relevant to applications, for instance, when \mathcal{N} is a real projective plane $\mathbb{R}P^2$, as is the case in many models for liquid crystals.

The goal of this paper is to define an operator, \mathbf{S} , such that $\mathbf{S}(u)$ corresponds to the set of topological singularities of u and plays the rôle of a “generalised Jacobian”, which can be applied to more general target manifolds \mathcal{N} . The properties of \mathbf{S} are stated in our main result, Theorem 3.1 in Section 3.1 below. As the distributional Jacobian, this operator captures topological information and enjoys compactness properties, and in fact it reduces to the distributional Jacobian in the special case $\mathcal{N} \simeq \mathbb{S}^n$. The construction of \mathbf{S} is carried out in the setting of flat chains with coefficients in a normed abelian group. This approach has been proposed by Pakzad and Rivière [52], in the context of manifold-valued maps, in order to characterise strong limits of smooth \mathcal{N} -valued maps in $W^{1,p}(B^d, \mathcal{N})$. Because we are interested in vector-valued maps, our construction is different from theirs, and relies on the “projection trick” devised by Hardt, Kinderlehrer and Lin [38] (see also [36, 19]). Eventually, we generalise Pakzad and Rivière’s main result to a broader range of values for the exponent p , see Theorem 1 in Section 1.3.

In this paper, we discuss some applications of the operator \mathbf{S} to the study of manifold-valued functional spaces. In addition to the aforementioned generalisation of the result by Pakzad and Rivière (Theorem 1), we study manifold-valued spaces of functions of bounded variation. We show weak density of smooth maps in $BV(\Omega, \mathcal{N})$, see Theorem 2 in Section 1.3, thus generalising a result by Giaquinta and Mucci [34]. We also discuss the lifting problem in BV (see, for instance, [26]) for a larger class of manifolds \mathcal{N} , see Theorem 3 in Section 1.3. [Further applications to variational problems, including the asymptotic behaviour of the Landau-de Gennes model for liquid crystals, will be investigated in forthcoming work \[24\].](#) As is the case for the distributional Jacobian in the Ginzburg-Landau theory, we expect that \mathbf{S} might be used to identify the set where the energy concentrates and characterise the limiting energy densities.

The plan of the paper is the following. After recalling some background in Section 1.1, we sketch our construction in Section 1.2, and we present the statements of Theorems 1, 2, 3 in Section 1.3. In Section 2, we review some preliminary material about flat chains (Section 2.1), topology (Sections 2.2–2.3), and manifold-valued Sobolev spaces (Section 2.4). The main technical result of this paper, Theorem 3.1, which gives the existence of the operator \mathbf{S} , is stated in Section 3.1. The rest of Section 3 is devoted to the proof of Theorem 3.1 and of Theorem 1, which we recover as a corollary of Theorem 3.1. Finally, Section 4 contains the applications to manifold-valued BV spaces, with the proofs of Theorem 2 and 3.

1.1 Background and motivation

For the sake of motivation, consider the Ginzburg-Landau functional:

$$(1) \quad u \in W^{1,2}(\Omega, \mathbb{R}^2) \mapsto E_{\varepsilon}^{\text{GL}}(u) := \int_{\Omega} \left\{ \frac{1}{2} |\nabla u|^2 + \frac{1}{4\varepsilon^2} (1 - |u|^2)^2 \right\},$$

where $\varepsilon > 0$ is a small parameter. Functionals of this form arise as variational models for the study of type-II superconductivity. In this context, $u(x)$ represents the magnetisation vector at a point $x \in \Omega$ and the energy favours configurations with $|u(x)| = 1$, which have a well-defined direction of magnetisation as opposed to the non-superconducting phase $u = 0$. Let \mathbb{S}^1 denote the unit circle in the plane \mathbb{R}^2 . As is well known, minimisers u_ε subject to a (ε -independent) boundary condition $u_\varepsilon|_{\partial\Omega} = u_{\text{bd}} \in W^{1/2,2}(\partial\Omega, \mathbb{S}^1)$ satisfy the sharp energy bound $E_\varepsilon(u_\varepsilon) \leq C|\log \varepsilon|$ for some ε -independent constant C (see e.g. [53, Proposition 2.1]). In particular, u_ε takes values “close” to \mathbb{S}^1 when ε is small, in the sense that $\int_\Omega (1 - |u_\varepsilon|^2)^2 \leq C\varepsilon^2|\log \varepsilon|$. Despite the lack of uniform energy bounds, under suitable conditions on u_{bd} , minimisers u_ε converge to a limit map $u_0: \Omega \rightarrow \mathbb{S}^1$, which is smooth except for a singular set of codimension two (see e.g. [12, 48, 13, 46, 3, 55, 16]). Moreover, the singular set of u_0 is itself a minimiser — in a suitable sense — of some “weighted area” functional [3]. The emergence of singularities in the limit map u_0 is related to topological obstructions, which may prevent the existence of a map in $W^{1,2}(\Omega, \mathbb{S}^1)$ that satisfies the boundary conditions.

There are other functionals, arising as variational models for material science, which share a common structure with (2), i.e. they can be written in the form

$$(2) \quad u \in W^{1,k}(\Omega, \mathbb{R}^m) \mapsto E_\varepsilon(u) := \int_\Omega \left\{ \frac{1}{k} |\nabla u|^k + \frac{1}{\varepsilon^2} f(u) \right\}.$$

Here $f: \mathbb{R}^m \rightarrow \mathbb{R}$ is a non-negative, smooth potential that satisfies suitable coercivity and non-degeneracy conditions, and $\mathcal{N} := f^{-1}(0)$ is assumed to be a non-empty, smoothly embedded, compact, connected submanifold of \mathbb{R}^m without boundary. The elements of \mathcal{N} correspond to the ground states for the material, i.e. the local configurations that are most energetically convenient. An important example is the Landau-de Gennes model for nematic liquid crystals (in the so-called one-constant approximation, see e.g. [27]). In this case, $k = 2$ and the distinguished manifold is a real projective plane $\mathcal{N} = \mathbb{RP}^2$, whose elements describe the locally preferred direction of alignment of the constituent molecules (which might be schematically described as un-oriented rods).

As in the Ginzburg-Landau case, topological obstructions may imply the *lack* of an extension operator $W^{1-1/k,k}(\partial\Omega, \mathcal{N}) \rightarrow W^{1,k}(\Omega, \mathcal{N})$ (see for instance [10]). As a consequence, minimisers u_ε subject to a Dirichlet boundary condition $u_\varepsilon = u_{\text{bd}} \in W^{1-1/k,k}(\partial\Omega, \mathcal{N})$ may not satisfy uniform energy bounds with respect to ε . Compactness results in the spirit of the Ginzburg-Landau theory have been shown for minimisers of the Landau-de Gennes functional [49, 22, 35, 23]. However, some points that are understood in the Ginzburg-Landau theory — for instance, a variational characterisation of the singular set of the limit or a description of the problem in terms of Γ -convergence, as in [46, 3, 4] — are still missing, even for the Landau-de Gennes functional.

A key tool in the analysis of the Ginzburg-Landau functional is the distributional Jacobian. In case $d = m = 2$, the distributional Jacobian Ju of a map $u \in (L^\infty \cap W^{1,1})(\mathbb{R}^2, \mathbb{R}^2)$ is defined as the distributional curl of the field $\frac{1}{2}(u^1 \partial_1 u^2 - u^2 \partial_1 u^1, u^1 \partial_2 u^2 - u^2 \partial_2 u^1)$. Equivalently, in the language of differential forms, $Ju := \star du^* \omega_{\mathbb{S}^1}$, where \star denotes the Hodge duality operator and $\omega_{\mathbb{S}^1}(y) := \frac{1}{2}(y^1 dy^2 - y^2 dy^1)$ is the 1-homogeneous extension of the renormalised volume form on \mathbb{S}^1 . The purpose of the distributional Jacobian is two-fold: on one hand, it captures

topological information associated with u , as is demonstrated by several formulas relating the Jacobian with the topological degree (see e.g. [18, Theorem 0.8]); on the other hand, it enjoys compactness properties — for instance, despite being a quadratic operator, it is stable under weak $W^{1,2}$ -convergence. Unfortunately, an adequate notion of Jacobian may be missing for general manifolds \mathcal{N} . Consider the following simple example: let S be a $(d - k)$ -plane in \mathbb{R}^d , and let $u: \Omega \setminus S \rightarrow \mathcal{N}$ be a material configuration that is smooth everywhere, except at S . Then S can be encircled by a $(k - 1)$ -dimensional sphere $\Sigma \subseteq \Omega \setminus S$, and the (based) homotopy class of $u|_{\Sigma}: \Sigma \rightarrow \mathcal{N}$ defines an element of $\pi_{k-1}(\mathcal{N})$ which, roughly speaking, characterises the behaviour of the material around the defect. (This is the basic idea of the topological classification of defects in ordered materials; see e.g. [50] for more details.) If $\pi_{k-1}(\mathcal{N})$ contains elements of finite order, these cannot be realised via integration of a differential form, so no notion of Jacobian that can be expressed as a differential form is able to capture such homotopy classes of defects. An example is provided by the Landau-de Gennes model for nematic liquid crystals, where $k = 2$, $\mathcal{N} \simeq \mathbb{RP}^2$ and $\pi_1(\mathbb{RP}^2) \simeq \mathbb{Z}/2\mathbb{Z}$.

The aim of this paper is to construct an object that (i) brings topological information and (ii) enjoys compactness properties even when the distributional Jacobian is not defined, in particular when $\pi_{k-1}(\mathcal{N})$ contains elements of finite order. A notion of “set of topological singularities” for a manifold-valued Sobolev map was already introduced by Pakzad and Rivière [52], using the language of flat chains. Roughly speaking, a flat chain of dimension n with coefficients in an abelian group \mathbf{G} is described by a collection of n -dimensional sets, carrying multiplicities that are elements of \mathbf{G} (see [31, 32]). The group of flat n -chains with coefficients in \mathbf{G} can be given a norm, called the flat norm, which satisfies useful compactness properties. Given integers numbers $2 \leq k \leq d$ and $u \in W^{1,k-1}(B^d, \mathcal{N})$, the topological singular set of u ‘à la Pakzad-Rivière’ is a flat chain $\mathbf{S}^{\text{PR}}(u)$ of dimension $(d - k)$ with coefficients in $\pi_{k-1}(\mathcal{N})$, and has the following property: u can be $W^{1,k-1}$ -strongly approximated by smooth maps $\Omega \rightarrow \mathcal{N}$ if and only if $\mathbf{S}^{\text{PR}}(u) = 0$ [52, Theorem II]. The construction we carry out here is different (and relies on ideas from [38]), as we want to deal with vector-valued maps $u: \Omega \rightarrow \mathbb{R}^m$ instead of manifold-valued ones. However, following Pakzad and Rivière, we work in the formalism of flat chains. We discuss the link between Pakzad and Rivière’s construction and the one presented here in Section 3.4.

1.2 Sketch of the construction

Throughout the paper, d, m, k will be integer numbers with $\min\{d, m\} \geq k \geq 2$, Ω will be a smooth, bounded domain in \mathbb{R}^d , and \mathcal{N} will denote a smooth submanifold of \mathbb{R}^m without boundary. We make the following assumption on \mathcal{N} and k :

- (H) \mathcal{N} is compact and $(k - 2)$ -connected, that is $\pi_0(\mathcal{N}) = \pi_1(\mathcal{N}) = \dots = \pi_{k-2}(\mathcal{N}) = 0$. In case $k = 2$, we also assume that $\pi_1(\mathcal{N})$ is abelian.

The integer k is thus related to the topology of \mathcal{N} , and represents the codimension of the (highest-dimensional) topological singularities for \mathcal{N} -valued maps. **The dimension of \mathcal{N} plays no explicit rôle in our construction, hence it is not specified.** Under the assumption (H), the group $\pi_{k-1}(\mathcal{N})$ is abelian, and will be the coefficient group for our flat chains. As noted above,

$\pi_{k-1}(\mathcal{N})$ classifies the topological defects of \mathcal{N} -valued maps. We will endow $\pi_{k-1}(\mathcal{N})$ with a norm, see Section 2.2.

The construction we carry out has been introduced by Hardt, Kinderlehrer and Lin [38] as a method to produce manifold-valued comparison maps with suitable properties. This approach has been used by Hajłasz [36], to prove strong (resp., sequential weak) density of smooth maps in $W^{1,p}(\Omega, \mathcal{N})$ in case \mathcal{N} is $\lfloor p \rfloor$ -connected (resp., $(\lfloor p \rfloor - 1)$ -connected). It has also been used by Bousquet, Ponce and Van Schaftingen [19], who extended Hajłasz's results to the setting of fractional Sobolev spaces $W^{s,p}$ with $s \geq 1$. We sketch now the main ideas of our construction.

It is impossible to construct a smooth projection of \mathbb{R}^n onto a closed manifold \mathcal{N} . However, as noted by Hardt and Lin [39, Lemma 6.1], under the assumption (H) it is possible to construct a smooth projection $\varrho: \mathbb{R}^m \setminus \mathcal{X} \rightarrow \mathcal{N}$, where \mathcal{X} is a union of $(m-k)$ -manifolds. Given a smooth map $u: \mathbb{R}^d \rightarrow \mathbb{R}^m$, one could identify the set of topological singularities of u with $u^{-1}(\mathcal{X})$, which is exactly the set where the reprojection $\varrho \circ u$ fails to be well-defined, but $u^{-1}(\mathcal{X})$ may be very irregular even if u is smooth. However, Thom transversality theorem implies that, for a.e. $y \in \mathbb{R}^m$, the set $(u-y)^{-1}(\mathcal{X})$ is indeed a union of $(d-k)$ -dimensional manifolds. This set can be equipped, in a natural way, with multiplicities in $\pi_{k-1}(\mathcal{N})$, so to define a flat chain $\mathbf{S}_y(u)$ of dimension $d-k$. Thus, we define the set of topological singularities of u as a map $y \in \mathbb{R}^m \mapsto \mathbf{S}_y(u)$ with values in the group of flat chains.

By integrating over $y \in \mathbb{R}^m$ according to the strategy devised in [38], and applying the coarea formula, one obtains estimates on $\mathbf{S}_y(u)$ depending on the Sobolev norms of u . Then, by density, one can define $\mathbf{S}_y(u)$ in case u is a Sobolev map, thus obtaining an operator

$$\mathbf{S}: (L^\infty \cap W^{1,k-1})(\Omega, \mathbb{R}^m) \rightarrow L^1(\mathbb{R}^m; \mathbb{F}_{d-k}(\overline{\Omega}; \pi_{k-1}(\mathcal{N})))$$

Here $\mathbb{F}_{d-k}(\Omega; \pi_{k-1}(\mathcal{N}))$ denotes the normed $\pi_{k-1}(\mathcal{N})$ -module of $(d-k)$ -dimensional flat chains in Ω with coefficients in $\pi_{k-1}(\mathcal{N})$ (see Section 2.1), and $L^1(\mathbb{R}^m; \mathbb{F}_{d-k}(\Omega; \pi_{k-1}(\mathcal{N}))) =: Y$ is the set of Lebesgue-measurable maps $S: \mathbb{R}^m \rightarrow \mathbb{F}_{d-k}(\Omega; \pi_{k-1}(\mathcal{N}))$ such that

$$(3) \quad \|S\|_Y := \int_{\mathbb{R}^m} \mathbb{F}_\Omega(S_y) \, dy < +\infty$$

(\mathbb{F}_Ω being the natural norm on $\mathbb{F}_{d-k}(\Omega; \pi_{k-1}(\mathcal{N}))$, see Section 2.1). In general, Y is not a vector space but it is a $\pi_{k-1}(\mathcal{N})$ -module, and the left hand side of (3) defines a norm on Y . The operator \mathbf{S} is continuous in the following sense: if $(u_j)_{j \in \mathbb{N}}$ is a sequence of maps such that $u_j \rightarrow u$ strongly in $W^{1,k-1}$ and $\sup_j \|u_j\|_{L^\infty} < +\infty$, then $\|\mathbf{S}(u_j) - \mathbf{S}(u)\|_Y \rightarrow 0$. The same remains true if the sequence $(u_j)_{j \in \mathbb{N}}$ is assumed to converge only *weakly* in $W^{1,k}$ and to be uniformly bounded in L^∞ ; therefore, some of the compensation compactness properties that are typical of the Jacobian are retained by \mathbf{S} . Moreover, \mathbf{S} carries topological information on the map u . Indeed, the intersection (in a suitable sense: see Section 2.1) between $\mathbf{S}_y(u)$ and, say, a k -disk R completely determines the homotopy class of $\varrho \circ (u-y)$ on ∂R . A precise statement of these properties, which requires some notation, is given in Theorem 3.1.

In the special case $\mathcal{N} = \mathbb{S}^{k-1}$ (the unit sphere in \mathbb{R}^k), $\mathcal{X} = \{0\} \subseteq \mathbb{R}^k$ and $\varrho: \mathbb{R}^k \setminus \{0\} \rightarrow \mathbb{S}^{k-1}$ is the radial projection given by $\varrho(y) = y/|y|$, we have $\pi_{k-1}(\mathbb{S}^{k-1}) \simeq \mathbb{Z}$ and so elements of $\mathbb{F}_{d-k}(\Omega; \pi_{k-1}(\mathbb{S}^{k-1}))$ have an alternative description as integer currents. Moreover, $\mathbf{S}_y(u)$ is

related to the distributional Jacobian, as for any $u \in (L^\infty \cap W^{1,k-1})(\Omega, \mathbb{R}^k)$ there holds

$$(4) \quad Ju = \frac{1}{\omega_k} \int_{\mathbb{R}^m} \mathbf{S}_y(u) \, dy,$$

where ω_k is the volume of the unit k -disk and the integral in the right-hand side is intended in the sense of distributions (see e.g. [47, Theorem 1.2]). However, if $\pi_{k-1}(\mathcal{N})$ is a finite group (or, more generally, if it only contains elements of finite order), then there is no meaningful way to define the integral of $\mathbf{S}_y(u)$ with respect to the Lebesgue measure dy , as $\pi_{k-1}(\mathcal{N}) \otimes \mathbb{R} = 0$.

It is worth noticing that the proof of our main result, Theorem 3.1, does not strictly rely upon the manifold structure of \mathcal{N} . What is needed, is the existence and regularity of the exceptional set \mathcal{X} and the retraction ϱ , in order to be able to apply Thom transversality theorem. This suggests a possible extension to more general targets $\mathcal{N} \subseteq \mathbb{R}^m$ such as, for instance, finite simplicial complexes.

1.3 Applications

We have chosen to work with vector-valued maps, instead of manifold-valued ones, as we were motivated by the applications to variational problems, such as (2). We expect that the results presented in this paper could be used as tools to obtain energy lower bounds for (2) in the spirit of [54, 45], or even Γ -convergence results along the lines of [3]. These questions will be addressed in a forthcoming work [24]. Instead, we discuss here a few applications of this approach to classical questions in the theory of manifold-valued function spaces.

The first application concerns density of smooth maps. We define $W^{1,p}(B^d, \mathcal{N})$ as the set of maps $u \in W^{1,p}(B^d, \mathbb{R}^m)$ such that $u(x) \in \mathcal{N}$ for a.e. $x \in \Omega$, and endow it with the distance induced by $W^{1,p}(B^d, \mathbb{R}^m)$. Bethuel [8] showed that smooth maps are dense in $W^{1,p}(B^d, \mathcal{N})$ if and only if $\pi_{[p]}(\mathcal{N}) = 0$ or $p \geq d$. Maps that belong to the strong- $W^{1,p}$ closure of $C^\infty(\overline{B}^k, \mathbb{S}^{k-1})$ have been characterised in [7], in case $p = k - 1$, and in [15], in case $k - 1 < p < k$, using the distributional Jacobian. Pakzad and Rivière [52, Theorem II] generalised this result to other target manifolds, working in the setting of flat chains. As a corollary of our construction, we recover Pakzad and Rivière's result.

Theorem 1. *Let $d \geq 2$ be an integer, let $1 \leq p < d$, and let \mathcal{N} be a compact, smooth, $([p] - 1)$ -connected manifold without boundary. In case $1 \leq p < 2$, we also suppose that $\pi_1(\mathcal{N})$ is abelian. Then, there exists a continuous map*

$$\mathbf{S}^{\text{PR}}: W^{1,p}(B^d, \mathcal{N}) \rightarrow \mathbb{F}_{d-[p]-1}(\overline{B}^d; \pi_{[p]}(\mathcal{N}))$$

such that $\mathbf{S}^{\text{PR}}(u) = 0$ if and only if u is a strong $W^{1,p}$ -limit of smooth maps $\overline{B}^d \rightarrow \mathcal{N}$.

In contrast with Pakzad and Rivière, we do not need to impose the technical restriction $[p] \in \{1, d - 1\}$. The arguments in [52] rely on fine results in Geometric Measure Theory [33] (which require $[p] \in \{1, d - 1\}$); instead, the proof of Theorem 1 follows directly from our main construction, which is based essentially on the coarea formula, combined with the ‘‘removal of the singularities’’ results in [52]. It is worth mentioning that the theorem may fail if the domain is not a disk (see the counterexamples in [37] and the discussion in [52]).

We next drive our attention to manifold-valued BV-maps. Recall that the space $BV(\Omega, \mathbb{R}^m)$, by definition, consists of those functions $u \in L^1(\Omega, \mathbb{R}^m)$ whose distributional derivative Du is a finite Radon measure. The BV-norm is defined by $\|u\|_{BV(\Omega)} := \|u\|_{L^1(\Omega)} + |Du|(\Omega)$, where $|\cdot|$ denotes the total variation measure. We say that $u \in SBV(\Omega, \mathbb{R}^m)$ if there exist Borel functions $\psi_0, \psi_1: \Omega \rightarrow \mathbb{R}^{m \times d}$ such that ψ_j is \mathcal{H}^{d-j} -integrable, for $j \in \{0, 1\}$, and $Du = \psi_0 \mathcal{H}^d + \psi_1 \mathcal{H}^{d-1}$. We say that a sequence u_j of BV-functions converges weakly to u if and only if $u_j \rightarrow u$ strongly in L^1 and $Du_j \rightharpoonup^* Du$ weakly* as elements of the dual $C_0(\Omega, \mathbb{R}^m)'$. We define $BV(\Omega, \mathcal{N})$ (resp., $SBV(\Omega, \mathcal{N})$) as the set of maps $u \in BV(\Omega, \mathbb{R}^m)$ (resp., $u \in SBV(\Omega, \mathbb{R}^m)$) such that $u(x) \in \mathcal{N}$ for a.e. $x \in \Omega$.

Theorem 2. *Let \mathcal{N} be a smooth, compact, connected manifold without boundary, with abelian $\pi_1(\mathcal{N})$. Then, $C^\infty(\overline{B}^d, \mathcal{N})$ is sequentially weakly dense in $BV(B^d, \mathcal{N})$.*

A similar result has been obtained by Giaquinta and Mucci [34, Theorem 2.13], who worked in the framework of currents (more precisely, in the class of cartesian currents, see [33]). Giaquinta and Mucci need the additional assumption that $\pi_1(\mathcal{N})$ contains no element of finite order, in order to apply the formalism of currents. By working in the setting of flat chains, instead of currents, this assumption is not required any more, although we still need that $\pi_1(\mathcal{N})$ be abelian. In contrast with the scalar case, it may *not* be possible to construct approximating maps $u_j \in C^\infty(\overline{B}^d, \mathcal{N})$ in such a way that $|Du_j|(B^d) \rightarrow |Du|(B^d)$ (see [34]).

The proofs of Theorems 1 and 2 follow a strategy that was adopted by Bethuel, Brezis and Coron in [11]: first we control the flat norm of the topological singular set, by means of the results in Section 3, then we “remove the singularities” using the results of [52]. The flat norm of the topological singular set coincides with what Bethuel, Brezis and Coron referred to as “minimal connection”.

Finally, we consider the *lifting* problem in BV. Let $\pi: \mathcal{E} \rightarrow \mathcal{N}$ be the universal covering of \mathcal{N} . We choose a metric on \mathcal{E} and an isometric embedding $\mathcal{E} \hookrightarrow \mathbb{R}^\ell$ in such a way that π is a local isometry. We say that $v \in BV(\Omega, \mathcal{E})$ is a *lifting* for $u \in BV(\Omega, \mathcal{N})$ if $u = \pi \circ v$ a.e. on Ω .

Theorem 3. *Let $\Omega \subseteq \mathbb{R}^d$ be a smooth, bounded domain with $d \geq 2$, and let \mathcal{N} be a smooth, compact, connected manifold without boundary, with abelian $\pi_1(\mathcal{N})$. There exists a constant C such that any $u \in BV(\Omega, \mathcal{N})$ admits a lifting $v \in BV(\Omega, \mathcal{E})$ satisfying $|Dv|(\Omega) \leq C|Du|(\Omega)$. Moreover, if $u \in SBV(\Omega, \mathcal{N})$ then any lifting v of u belongs to $SBV(\Omega, \mathcal{E})$.*

The lifting problem in manifold-valued Sobolev spaces was studied by Bethuel and Chiron [14], who proved that any map $v \in W^{1,p}(\Omega, \mathcal{N})$ with Ω simply connected and $p \geq 2$ has a lifting $v \in W^{1,p}(\Omega, \mathcal{E})$. (The particular case $\mathcal{N} \simeq \mathbb{RP}^2$, with applications to liquid crystals, was also studied by Ball and Zarnescu [6]). As conjectured by Bethuel and Chiron [14, Remark 1], Theorem 3 implies that any map $u \in W^{1,p}(\Omega, \mathcal{N})$, with $p \geq 1$, has a lifting $v \in BV(\Omega, \mathcal{E})$ (which may not belong to $W^{1,p}$, see [14, Lemma 1]). The lifting problem in the space $W^{s,p}(\Omega, \mathbb{S}^1)$ has been extensively studied by Bourgain, Brezis, and Mironescu, see e.g. [17, 18]. In the setting of BV-spaces, the lifting problem has been previously studied by Davila and Ignat [26], Ignat [43] in case $\mathcal{N} = \mathbb{S}^1$, and recently by Ignat and Lamy [44], in case $\mathcal{N} = \mathbb{RP}^n$. In contrast with Theorem 3, the results in [43, 44] are sharp, in the sense that they provide the optimal constant C such that $|Dv|(\Omega) \leq C|Du|(\Omega)$; however, Theorem 3 is robust, in that it applies to more

general manifolds. The proof of this theorem combines properties of the singular set $\mathbf{S}_y(u)$ with a classical argument in topology, which gives the existence of the lifting for smooth functions u , and which we revisit here in case the function u has jumps.

1.4 Concluding remarks

As remarked above, the techniques presented in this paper apply to quite general target manifolds. While these methods capture effectively the topological singularities of the highest expected dimension (i.e., those of dimension $d - k$), a severe limitation is that they do not seem suitable to study lower-dimensional topological singularities. For example, in case $\mathcal{N} = \mathbb{R}\mathbb{P}^2$, $k = 2$ and $d = 3$, a map $u \in W^{1,1}(B^3, \mathbb{R}\mathbb{P}^2)$ may have both non-orientable line singularities (associated with $\pi_1(\mathbb{R}\mathbb{P}^2) \simeq \mathbb{Z}/2\mathbb{Z}$) and point singularities, associated with $\pi_2(\mathbb{R}\mathbb{P}^2)$; these methods only provide information about the former ones. Another example is the case $\mathcal{N} = \mathbb{S}^2$, $k = 3$, $d = 4$ and $u \in W^{1,3}(B^4, \mathbb{S}^2)$. Such a map u cannot have singularities of dimension $d - k = 1$, but it may have point singularities which are not seen by the operator \mathbf{S} [40]. These point singularities lead to the failure of sequential weak density of smooth maps in $W^{1,3}(B^4, \mathbb{S}^2)$, as proved in a striking recent paper by Bethuel [9].

As shown in [52] and in Theorem 1, the operator \mathbf{S} detects the local obstruction to approximability by smooth maps in manifold-valued Sobolev spaces. At the current stage, it is not clear whether the “projection approach” could be used to detect the *global* obstruction, introduced in [37], as this would probably require a complete control of low-dimensional topological singularities.

Finally, another restriction lies in the choice of the target manifold. Closed manifolds \mathcal{N} (or simplicial complexes) with non-abelian $\pi_1(\mathcal{N})$ are excluded, because the theory of flat chains with coefficients in a group \mathbf{G} requires \mathbf{G} to be abelian. However, in the topological obstruction theory, this kind of restriction can be removed by using suitable technical tools (homology with local coefficients systems). This leaves a hope to extend, at least partially, some of the results in this paper to the case of non-abelian $\pi_1(\mathcal{N})$. Density (in the sense of biting convergence) of smooth maps in $W^{1,1}(\Omega, \mathcal{N})$ with non-abelian $\pi_1(\mathcal{N})$ has been proven by Pakzad [51].

2 Notation and preliminaries

2.1 Flat chains over an abelian coefficient group

Let $(\mathbf{G}, |\cdot|)$ be a normed abelian group, that is, an abelian group (we will use additive notation for the operation on \mathbf{G}) together with a non-negative function $|\cdot|: \mathbf{G} \rightarrow [0, +\infty)$ that satisfies

- (i) $|g| = 0$ if and only if $g = 0$
- (ii) $|-g| = |g|$ for any $g \in \mathbf{G}$
- (iii) $|g + h| \leq |g| + |h|$ for any $g, h \in \mathbf{G}$.

Throughout the following, we will assume that the norm $|\cdot|$ satisfies

$$(2.1) \quad |g| \geq 1 \quad \text{for any } \mathbf{G} \setminus \{0\}.$$

In order to fix some notation, and for the convenience of the reader, we recall some basic definitions and facts about flat chains with multiplicities in \mathbf{G} . We follow the approach in [60, 32, 58], to which we refer the reader for further details.

For $n \in \mathbb{Z}$, $1 \leq n \leq d$, consider the free \mathbf{G} -module generated by compact, convex, oriented polyhedra of dimension n in the ambient space \mathbb{R}^d . (In other words, we consider the set of all formal sums of polyhedra as above, with coefficients in \mathbf{G} ; there is a natural notion of sum which makes this set an abelian group.) We quotient this module by the equivalent relation \sim , requiring $-\sigma \sim \sigma'$ if σ' and σ only differ for the orientation, and $\sigma \sim \sigma_1 + \sigma_2$ if σ is obtained by gluing σ_1, σ_2 along a common face (with the correct orientation). The quotient group is called the group of polyhedral n -chain with coefficients in \mathbf{G} , and is denoted $\mathbb{P}_n(\mathbb{R}^d; \mathbf{G})$. Every element $S \in \mathbb{P}_n(\mathbb{R}^d; \mathbf{G})$ can be represented as a finite sum

$$(2.2) \quad S = \sum_{i=1}^p \alpha_i \llbracket \sigma_i \rrbracket,$$

where $\alpha_i \in \mathbf{G}$, the σ_i 's are compact, convex, non-overlapping n -dimensional polyhedra, and $\llbracket \cdot \rrbracket$ denotes the equivalence class modulo the relation \sim defined above.

The mass of a polyhedral chain $S \in \mathbb{P}_n(\mathbb{R}^d; \mathbf{G})$, presented in the form (2.2), is defined by $\mathbb{M}(S) := \sum_i |\alpha_i| \mathcal{H}^n(\sigma_i)$. A linear operator $\partial: \mathbb{P}_n(\mathbb{R}^d; \mathbf{G}) \rightarrow \mathbb{P}_{n-1}(\mathbb{R}^d; \mathbf{G})$, called the boundary operator, is defined in such a way that, for a single polyhedron σ , $\partial \llbracket \sigma \rrbracket$ is the sum of the boundary faces of σ , with the orientation induced by σ and multiplicity 1. The boundary operator satisfies $\partial \circ \partial = 0$. The flat norm of a polyhedral n -dimensional chain S is defined by

$$\mathbb{F}(S) := \inf \left\{ \mathbb{M}(P) + \mathbb{M}(Q) : P \in \mathbb{P}_{n+1}(\mathbb{R}^d; \mathbf{G}), Q \in \mathbb{P}_n(\mathbb{R}^d; \mathbf{G}), S = \partial P + Q \right\}.$$

It can be shown (see e.g. [32, Section 2]) that \mathbb{F} indeed defines a norm on $\mathbb{P}_n(\mathbb{R}^d; \mathbf{G})$, in such a way that the group operation on $\mathbb{P}_n(\mathbb{R}^d; \mathbf{G})$ is Lipschitz continuous. The completion of $(\mathbb{P}_n(\mathbb{R}^d; \mathbf{G}), \mathbb{F})$, as a metric space, will be denoted $\mathbb{F}_n(\mathbb{R}^d; \mathbf{G})$. It can be given the structure of a \mathbf{G} -module, and it is called the group of flat n -chain with coefficients in \mathbf{G} . Moreover, the mass \mathbb{M} extends to a \mathbb{F} -lower semi-continuous functional $\mathbb{F}_n(\mathbb{R}^d; \mathbf{G}) \rightarrow [0, +\infty]$, still denoted \mathbb{M} , and it remains true that

$$(2.3) \quad \mathbb{F}(S) := \inf \left\{ \mathbb{M}(P) + \mathbb{M}(Q) : P \in \mathbb{F}_{n+1}(\mathbb{R}^d; \mathbf{G}), Q \in \mathbb{F}_n(\mathbb{R}^d; \mathbf{G}), S = \partial P + Q \right\}$$

for any $S \in \mathbb{F}_n(\mathbb{R}^d; \mathbf{G})$ [32, Theorem 3.1]. We let $\mathbb{M}_n(\mathbb{R}^d; \mathbf{G})$ be the set of flat n -chains S with $\mathbb{M}(S) < +\infty$, and we let

$$\mathbb{N}_n(\mathbb{R}^d; \mathbf{G}) := \left\{ S \in \mathbb{M}_n(\mathbb{R}^d; \mathbf{G}) : \mathbb{M}(S) + \mathbb{M}(\partial S) < +\infty \right\}.$$

In fact, \mathbb{M} is a norm on $\mathbb{M}_n(\mathbb{R}^d; \mathbf{G})$.

Operations with flat chains. Any Lipschitz map $f: \mathbb{R}^d \rightarrow \mathbb{R}^N$ induces group homeomorphisms $f_*: \mathbb{F}_n(\mathbb{R}^d; \mathbf{G}) \rightarrow \mathbb{F}_n(\mathbb{R}^N; \mathbf{G})$, for $0 \leq n \leq d$, called the push-forward via f . One first defines the push-forward of a single polyhedron, $f_* \llbracket \sigma \rrbracket$, by approximating f with piecewise-affine maps (see [60, p. 297]). Then, f_* extends to polyhedral chains by linearity, and to arbitrary

chains by approximation with polyhedral chains. The push-forward commutes with the boundary, that is $\partial(f_*S) = f_*(\partial S)$. If S is a flat n -chain and λ is a Lipschitz constant for f , then

$$(2.4) \quad \mathbb{M}(f_*S) \leq \lambda^n \mathbb{M}(S), \quad \mathbb{F}(f_*S) \leq \max\{\lambda^n, \lambda^{n+1}\} \mathbb{F}(S)$$

(see e.g. [32, Section 5]). A chain of the form f_*S , where S is polyhedral and f is Lipschitz (resp., smooth), will be called a Lipschitz (resp., smooth) chain. By a remarkable result by Fleming [32], later improved by White [58], if \mathbf{G} satisfies (2.1) then Lipschitz chains are dense in $\mathbb{M}_n(\mathbb{R}^d; \mathbf{G})$ with respect to the \mathbb{M} -norm, and in particular $\text{spt } S$ is a rectifiable set for any $S \in \mathbb{M}_n(\mathbb{R}^d; \mathbf{G})$. (However, we will not need this result in our arguments.)

Given a chain S of finite mass and a Borel set $A \subseteq \mathbb{R}^d$, one can define the restriction of S to A , denoted $S \llcorner A$, which roughly speaking represents the portion of S contained in A . Again, this is obtained via approximation with polyhedral chains (see [32, Section 4]). Then, for fixed A , the functional $S \mapsto \mathbb{M}(S \llcorner A)$ is \mathbb{F} -lower continuous, while for fixed S , $A \mapsto \mathbb{M}(S \llcorner A)$ is a Radon measure.

A flat chain S is said to be supported in a closed set $K \subseteq \mathbb{R}^d$ if, for any open neighbourhood U of K , there exists a sequence of polyhedral chains $(P_j)_{j \in \mathbb{N}}$ that lie in U (i.e., every cell of P_i is contained in U) and \mathbb{F} -converges to S . If S, R are supported in a closed set K , then $\partial S, S + R$ are also supported in K . The support of a chain S , noted $\text{spt } S$, is defined by Fleming [32, Sections 3 and 4] as the smallest closed set K such that S is supported in K . Fleming shows that the support of S exists if either (i) S is supported in a compact set or (ii) if S has finite mass. In the latter case, $\text{spt } S$ coincides with the support of the measure $A \mapsto \mathbb{M}(S \llcorner A)$. However, a more general definition of support can be given [1, Section 5], [59, Section 4] so to show that $\text{spt } S$ exists for any flat chain S .

For K closed set in \mathbb{R}^d , we denote by $\mathbb{F}_n(K; \mathbf{G})$ (resp., $\mathbb{M}_n(K; \mathbf{G})$, $\mathbb{N}_n(K; \mathbf{G})$) the set of chains $S \in \mathbb{F}_n(\mathbb{R}^d; \mathbf{G})$ (resp., $S \in \mathbb{M}_n(\mathbb{R}^d; \mathbf{G})$, $S \in \mathbb{N}_n(\mathbb{R}^d; \mathbf{G})$) that are supported in K . It follows from the definition of $\text{spt } S$, and from the lower semi-continuity of the mass, that the sets $\mathbb{F}_n(K; \mathbf{G})$, $\mathbb{M}_n(K; \mathbf{G})$, $\mathbb{N}_n(K; \mathbf{G})$ are closed under \mathbb{F} -convergence.

Finally, we recall the following property of 0-dimensional flat chains.

Lemma 2.1 ([58, Theorem 2.1]). *There exists a unique group homomorphism $\chi: \mathbb{F}_0(\mathbb{R}^d; \mathbf{G}) \rightarrow \mathbf{G}$ that satisfies the following properties:*

- (i) $\chi(\sum_{j=1}^q g_j \llcorner [x_j]) = \sum_{j=1}^q g_j$ for $g_j \in \mathbf{G}$ and $x_j \in \mathbb{R}^d$, $j \in \{1, \dots, q\}$.
- (ii) $\chi(\partial R) = 0$ for any $R \in \mathbb{F}_1(\mathbb{R}^d; \mathbf{G})$.
- (iii) $|\chi(S)| \leq \mathbb{F}(S)$ for any $S \in \mathbb{F}_0(\mathbb{R}^d; \mathbf{G})$.

The map χ is sometimes called the augmentation homomorphism.

Remark 2.1. Lemma 2.1.(iii) and our assumption (2.1) imply that $\chi(S_0) = \chi(S_1)$ if the chains $S_0, S_1 \in \mathbb{F}_0(\mathbb{R}^d; \mathbf{G})$ are such that $\mathbb{F}(S_0 - S_1) < 1$.

Relative flat chains on an open set. In view of our applications, we will need to consider flat chains defined in an open set $U \subseteq \mathbb{R}^d$. A definition of the space $\mathbb{F}_n(U; \mathbf{G})$ is given in several places in the literature (see, e.g., [30, 33, 52]...) but, to the best of the authors' knowledge, it is usually required that the elements of $\mathbb{F}_n(U; \mathbf{G})$ are compactly supported in U , which is not convenient for our purposes. We discuss here an alternative definition and present some basic results for the sake of completeness, being aware that these facts might be well-known by the experts of the field.

Let $U \subsetneq \mathbb{R}^d$ be a non-empty open set, and let K be a closed set that contains U . Recall that we have defined $\mathbb{F}_n(K; \mathbf{G})$ as the set of chains in $\mathbb{F}_n(\mathbb{R}^d; \mathbf{G})$ that are supported in K . We now define

$$\mathbb{F}_n(U; \mathbf{G}) := \mathbb{F}_n(K; \mathbf{G}) / \mathbb{F}_n(K \setminus U; \mathbf{G}).$$

$\mathbb{F}_n(K \setminus U; \mathbf{G})$ is a \mathbf{G} -submodule of $\mathbb{F}_n(K; \mathbf{G})$ and is closed with respect to the \mathbb{F} -norm because $K \setminus U$ is closed, therefore $\mathbb{F}_n(U; \mathbf{G})$ is a complete normed \mathbf{G} -module, with respect to the quotient norm:

$$(2.5) \quad \mathbb{F}_U(S) := \inf \left\{ \mathbb{F}(R) : R \in \mathbb{F}_n(\mathbb{R}^d; \mathbf{G}), \text{spt}(R) \subseteq K, \text{spt}(R - S) \subseteq K \setminus U \right\},$$

for $S \in \mathbb{F}_n(K; \mathbf{G})$ — by abuse of notation, we denote by the same symbol the chain S and its equivalence class in $\mathbb{F}_n(U; \mathbf{G})$. The boundary operator ∂ induces a well-defined, continuous operator $\mathbb{F}_n(U; \mathbf{G}) \rightarrow \mathbb{F}_{n-1}(U; \mathbf{G})$, still denoted ∂ . We now give an alternative characterisation of the norm \mathbb{F}_U .

Lemma 2.2. *For any $S \in \mathbb{F}_n(K; \mathbf{G})$, there holds*

$$\mathbb{F}_U(S) = \inf \left\{ \mathbb{M}(P \llcorner U) + \mathbb{M}(Q \llcorner U) : P \in \mathbb{M}_{n+1}(\mathbb{R}^d; \mathbf{G}), Q \in \mathbb{M}_n(\mathbb{R}^d; \mathbf{G}), \right. \\ \left. \text{spt}(S - \partial P - Q) \subseteq \mathbb{R}^d \setminus U \right\}.$$

Proof. Denote by $\tilde{\mathbb{F}}_U(S)$ the right-hand side. For any $\varepsilon > 0$, using the definition (2.5) of \mathbb{F}_U and the characterisation (2.3) of the flat norm, we find $P \in \mathbb{M}_{n+1}(\mathbb{R}^d; \mathbf{G})$, $Q \in \mathbb{M}_n(\mathbb{R}^d; \mathbf{G})$ such that $\text{spt}(\partial P + Q) \subseteq K$, $\text{spt}(\partial P + Q - S) \subseteq K \setminus U$ and $\mathbb{M}(P) + \mathbb{M}(Q) \leq \mathbb{F}_U(S) + \varepsilon$. This shows the inequality $\tilde{\mathbb{F}}_U(S) \leq \mathbb{F}_U(S)$.

Before checking the opposite inequality, we remark that, for any chain T of finite mass and any open set $W \subseteq \mathbb{R}^d$, there holds

$$(2.6) \quad \text{spt}(T - T \llcorner W) \subseteq \mathbb{R}^d \setminus W, \quad \text{spt}(\partial T - \partial(T \llcorner W)) \subseteq \mathbb{R}^d \setminus W.$$

(The first inclusion holds true because $(T - T \llcorner W) \llcorner W = T \llcorner W - T \llcorner W = 0$; the second one follows from the first, because the boundary of chain supported in $\mathbb{R}^d \setminus W$ is also supported in $\mathbb{R}^d \setminus W$.) Now, we fix $S \in \mathbb{F}_n(K; \mathbf{G})$, $P \in \mathbb{M}_{n+1}(\mathbb{R}^d; \mathbf{G})$ and $Q \in \mathbb{M}_n(\mathbb{R}^d; \mathbf{G})$ such that $\text{spt}(S - \partial P - Q) \subseteq \mathbb{R}^d \setminus U$. Let K_0 be the interior of K , and let $P' := P \llcorner K_0$, $Q' := Q \llcorner K_0$. Then P' , Q' are supported in K , and so is $S - \partial P' - Q'$. Moreover, there holds

$$S - \partial P' - Q' = \underbrace{S - \partial P - Q}_{=0} + \underbrace{\partial P' - \partial P}_{=0} + \underbrace{Q' - Q}_{=0}$$

and the three terms that are indicated by underbraces are all supported out of U (the first one is supported in $\mathbb{R}^d \setminus U$ by assumption, the second and the third ones are supported in $\mathbb{R}^d \setminus K_0 \subseteq \mathbb{R}^d \setminus U$ by (2.6)). Therefore, $\text{spt}(S - \partial P' - Q') \subseteq K \setminus U$. Finally, we have

$$S = \underbrace{\partial(P' \llcorner U) + Q' \llcorner U}_{=:R} + \underbrace{\partial P' - \partial(P' \llcorner U)} + \underbrace{Q' - Q' \llcorner U} + \underbrace{S - \partial P' - Q'}$$

The chain R is supported in $\bar{U} \subseteq K$, and all the terms in the right-hand side but R are supported in $K \subseteq U$, thanks to (2.6). Therefore, by the definition (2.5) of \mathbb{F}_U and (2.3), we deduce that $\mathbb{F}_U(S) \leq \mathbb{F}(R) \leq \mathbb{M}(P \llcorner U) + \mathbb{M}(Q \llcorner U)$ and hence, by arbitrariness of P, Q , that $\mathbb{F}_U(S) \leq \tilde{\mathbb{F}}_U(S)$. \square

The right-hand side of Lemma 2.2 do not depend on K . Therefore, the space $\mathbb{F}_n(U; \mathbf{G})$ is indeed independent of the choice of K , in the following sense: for any closed sets K_1, K_2 with $K_1 \supseteq K_2 \supseteq U$, there exists an isometric isomorphism

$$\mathbb{F}_n(K_1; \mathbf{G})/\mathbb{F}_n(K_1 \setminus U; \mathbf{G}) \rightarrow \mathbb{F}_n(K_2; \mathbf{G})/\mathbb{F}_n(K_2 \setminus U; \mathbf{G}).$$

The isomorphism is obtained by considering the map $\mathbb{M}_n(K_1; \mathbf{G}) \rightarrow \mathbb{F}_n(K_2; \mathbf{G})/\mathbb{F}_n(K_2 \setminus U; \mathbf{G})$ induced by the restriction operator $S \mapsto S \llcorner K_2$, extending it by density to a map $\mathbb{F}_n(K_1; \mathbf{G}) \rightarrow \mathbb{F}_n(K_2; \mathbf{G})/\mathbb{F}_n(K_2 \setminus U; \mathbf{G})$, with the help of Lemma 2.2, and passing to the quotient. The inverse is induced by the inclusion $K_2 \hookrightarrow K_1$. Because of the existence of an isomorphism, it makes sense to omit K in the notation. In the rest of this section, we assume that $K = \bar{U}$, but other choices of K might be convenient.

In a similar fashion, from Lemma 2.2 we can derive the following compatibility property with respect to restrictions. For notational convenience, we set $\mathbb{F}_{\mathbb{R}^d} := \mathbb{F}$.

Lemma 2.3. *Let U_1, U_2 be non-empty, open sets in \mathbb{R}^d with $U_1 \subseteq U_2$. Then, there exists a continuous map*

$$\Psi: \mathbb{F}_n(U_2; \mathbf{G}) \rightarrow \mathbb{F}_n(U_1; \mathbf{G})$$

such that $\Psi(S) = S \llcorner U_1$ for any $S \in \mathbb{M}_n(\bar{U}_2; \mathbf{G})$.

We omit the proof of this lemma. An analogous compatibility property with respect to restrictions does *not* hold, in general, for the \mathbb{F} -norm. (For instance, let $R_j \in \mathbb{M}_2(\mathbb{R}^2; \mathbb{Z})$ be the chain carried by the rectangle $[-1/j, 1/j] \times [-1, 1]$ with standard orientation and multiplicity 1; then ∂R_j \mathbb{F} -converges to zero but $\partial R_j \llcorner (0, +\infty) \times \mathbb{R}$ does not.) Moreover, if S has infinite mass, the restriction $S \llcorner U$ might not be well defined in $\mathbb{F}_0(\mathbb{R}^d; \mathbf{G})$: for example, consider the 0-chain with coefficients in $\mathbb{Z}/2\mathbb{Z}$ carried by the set $\cup_{j \geq 1} \{(-2^{-j}, j), (2^{-j}, j)\} \subseteq \mathbb{R}^2$ and $U = (0, +\infty) \times \mathbb{R}$. In this case, $S \llcorner U$ is not well-defined in $\mathbb{F}_0(\mathbb{R}^2; \mathbb{Z}/2\mathbb{Z})$, even though $\Psi(S)$ is a well-defined element of $\mathbb{F}_0(U; \mathbb{Z}/2\mathbb{Z})$ (i.e., there exists a chain $R \in \mathbb{F}_0(\bar{U}; \mathbf{G})$ such that $(S - R) \llcorner U = 0$). However, the following statement holds: if S_j is a sequence of chains that \mathbb{F} -converges to S , and if $f: \mathbb{R}^d \rightarrow \mathbb{R}$ is a Lipschitz function, then for a.e. $t \in \mathbb{R}$ the restrictions $S_j \llcorner f^{-1}(-\infty, t)$, $S \llcorner f^{-1}(-\infty, t)$ are well-defined and $\mathbb{F}(S_j \llcorner f^{-1}(-\infty, t) - S \llcorner f^{-1}(-\infty, t)) \rightarrow 0$ (see e.g. [28, Theorem 5.2.3.(2)]; we recall the proof in the following lemma).

Lemma 2.4. *Let $U \subsetneq \mathbb{R}^d$ be a non-empty open set, and let ρ_0 be a positive number. For $\rho \in (0, \rho_0]$, set $:= \{x \in U : \text{dist}(x, \partial U) > \rho\}$. Let $(S_j)_{j \in \mathbb{N}}$ be a sequence in $\mathbb{F}_n(\overline{U}; \mathbf{G})$ and let $S \in \mathbb{F}_n(\overline{U}; \mathbf{G})$ be such that $\mathbb{F}_U(S_j - S) \rightarrow 0$ as $j \rightarrow +\infty$. Then, for a.e. $\rho \in (0, \rho_0]$ the restrictions $S_j \llcorner U_\rho$, $S \llcorner U_\rho$ are well-defined and $\mathbb{F}(S_j \llcorner U_\rho - S \llcorner U_\rho) \rightarrow 0$ as $j \rightarrow +\infty$.*

Proof. We first claim that, for any $T \in \mathbb{F}_n(\overline{U}; \mathbf{G})$ and a.e. $\rho \in (0, \rho_0]$, the restriction $T \llcorner U_\rho$ is well-defined and there holds

$$(2.7) \quad \int_0^{\rho_0} \mathbb{F}(T \llcorner U_\rho) \, d\rho \leq (1 + \rho_0) \mathbb{F}(T).$$

(As mentioned above, this fact is well-known and we include a proof here only for the sake of completeness.) Suppose first that T has finite mass. Let $P \in \mathbb{M}_{n+1}(\mathbb{R}^d; \mathbf{G})$, $Q \in \mathbb{M}_n(\mathbb{R}^d; \mathbf{G})$ be such that $T = \partial P + Q$. Having assumed that T has finite mass, it follows that ∂P has finite mass. We also remark that, for any ρ , U_ρ is a sublevel set for the signed distance function from ∂U (i.e., the function f defined by $f(x) := -\text{dist}(x, \partial U)$ if $x \in U$, $f(x) := \text{dist}(x, \partial U)$ if $x \notin U$), which is 1-Lipschitz continuous. Then, we can apply [32, Theorem 5.7], and deduce that $B_\rho := \partial(P \llcorner U_\rho) - (\partial P) \llcorner U_\rho$ is well-defined, and there holds

$$(2.8) \quad \int_0^{+\infty} \mathbb{M}(B_\rho) \, d\rho \leq \mathbb{M}(P \llcorner U).$$

Moreover, for a.e. $\rho \in (0, \rho_0]$ there holds

$$T \llcorner U_\rho = \partial(P \llcorner U_\rho) + Q \llcorner U_\rho - B_\rho,$$

which yields

$$\mathbb{F}(T \llcorner U_\rho) \leq \mathbb{M}(P) + \mathbb{M}(Q) + \mathbb{M}(B_\rho).$$

By integrating this inequality with respect to $\rho \in (0, \rho_0]$, using (2.8), and taking the infimum with respect to all possible choices of P and Q , we deduce that (2.7) holds, in case T has finite mass. If T has infinite mass, we recover the same result using that finite-mass chains are dense in $\mathbb{F}_n(\overline{U}; \mathbf{G})$.

Now, let $(S_j)_{j \in \mathbb{N}}$ be a sequence in $\mathbb{F}_n(\overline{U}; \mathbf{G})$ that \mathbb{F}_U -converges to S . By possibly modifying the S_j 's out of U , we can assume that there exists a sequence $(R_j)_{j \in \mathbb{N}}$ in $\mathbb{F}_n(\overline{U}; \mathbf{G})$ such that $\mathbb{F}(S_j - R_j) \rightarrow 0$ as $j \rightarrow +\infty$ and $R_j - S$ is supported out of U , for each j . By (2.7), $\mathbb{F}((S_j - R_j) \llcorner U_\rho) \rightarrow 0$ as $j \rightarrow +\infty$, for a.e. ρ . But $R_j \llcorner U_\rho = S \llcorner U_\rho$, so the lemma is proved. \square

Remark 2.2. Assume that $H \subseteq U$ is a Borel set such that $\text{dist}(H, \partial U) > 0$ and let $T \in \mathbb{M}_n(\overline{U}; \mathbf{G})$ be a finite-mass chain. By taking $\rho_0 := \text{dist}(H, \partial U)$, noting that $T \llcorner U_\rho = (T \llcorner H) + (T \llcorner U_\rho \setminus H)$ for any $\rho \in (0, \rho_0]$, and selecting a suitable ρ , from (2.7) we deduce that

$$\mathbb{F}(T \llcorner H) \leq \left(1 + \text{dist}^{-1}(H, \partial U)\right) \mathbb{F}(T) + \mathbb{M}(T \llcorner (U \setminus H)).$$

By taking the infimum over all finite-mass T 's in a given equivalence class of $\mathbb{F}_n(U; \mathbf{G})$, we also deduce

$$(2.9) \quad \mathbb{F}(T \llcorner H) \leq \left(1 + \text{dist}^{-1}(H, \partial U)\right) \mathbb{F}_U(T) + \mathbb{M}(T \llcorner (U \setminus H)).$$

In particular, if T is supported in H then

$$(2.10) \quad \mathbb{F}(T) \leq \left(1 + \text{dist}^{-1}(H, \partial U)\right) \mathbb{F}_U(T).$$

Lemma 2.5. *Let $(S_j)_{j \in \mathbb{N}}$ be a sequence in $\mathbb{M}_n(\overline{U}; \mathbf{G})$, and let $S \in \mathbb{F}_n(\overline{U}, \mathbf{G})$ be such that $\mathbb{F}_U(S_j - S) \rightarrow 0$ as $j \rightarrow +\infty$. Then, $S \llcorner U$ is well-defined and there holds $\mathbb{M}(S \llcorner U) \leq \liminf_{j \rightarrow +\infty} \mathbb{M}(S_j \llcorner U)$.*

Proof. Let U_ρ be as in Lemma 2.4. By Lemma 2.4 and the \mathbb{F} -lower semi-continuity of the mass, we deduce that $\mathbb{M}(S \llcorner U_\rho) \leq \liminf_{j \rightarrow +\infty} \mathbb{M}(S_j \llcorner U_\rho)$ for a.e. $\rho > 0$. The lemma follows by letting $\rho \rightarrow 0$. \square

Finally, we establish a compactness result with respect to the norm \mathbb{F}_U . We first remark that, as a consequence of our assumption (2.1), the following property holds:

$$(2.11) \quad \text{for any } \Lambda > 0, \text{ the set } \{g \in \mathbf{G} : |g| \leq \Lambda\} \text{ is compact.}$$

Lemma 2.6. *Assume that the coefficient group \mathbf{G} satisfies (2.11). Let $U \subseteq \mathbb{R}^d$ be a non-empty, bounded, open set, and let $(S_j)_{j \in \mathbb{N}}$ be a sequence in $\mathbb{M}_n(\overline{U}; \mathbf{G})$ such that*

$$(2.12) \quad \sup_{j \in \mathbb{N}} (\mathbb{M}(S_j \llcorner U) + \mathbb{M}(\partial S_j \llcorner U)) < +\infty.$$

Then, there exists a subsequence (still denoted S_j) and a chain $S \in \mathbb{M}_n(\overline{U}, \mathbf{G})$ such that $\mathbb{F}_U(S_j - S) \rightarrow 0$ as $j \rightarrow +\infty$.

Proof. As in Lemma 2.4, let $U_\rho := \{x \in U : \text{dist}(x, U^c) > \rho\}$, for $\rho \in (0, \rho_0]$ and $\rho_0 > 0$ fixed. Consider the sequence of measures in $C_0(U)'$ defined by $A \mapsto \mathbb{M}(S_j \llcorner A)$, for $A \subseteq U$ Borel set. This sequence is bounded due to (2.12), and therefore it converges weakly* (up to a subsequence) to a limit measure $\mu \in C_0(U)'$. The boundedness of μ implies that $\mu(\partial U_\rho) = 0$ for a.e. ρ .

Setting $B_{\rho,j} := \partial(S_j \llcorner U_\rho) - (\partial S_j) \llcorner U_\rho$, by [32, Theorem 5.7] and Fatou lemma we deduce that

$$\int_0^{\rho_0} \liminf_{j \rightarrow +\infty} \mathbb{M}(B_{\rho,j}) \, d\rho \leq \liminf_{j \rightarrow +\infty} \mathbb{M}(S_j \llcorner U) \stackrel{(2.12)}{<} +\infty.$$

Therefore, for a.e. $\rho \in (0, \rho_0]$ there exists a subsequence (still denoted S_j) such that

$$\sup_{j \in \mathbb{N}} (\mathbb{M}(S_j \llcorner U_\rho) + \mathbb{M}(\partial(S_j \llcorner U_\rho))) \leq \sup_{j \in \mathbb{N}} (\mathbb{M}(S_j \llcorner U_\rho) + \mathbb{M}((\partial S_j) \llcorner U_\rho) + \mathbb{M}(B_{\rho,j})) < +\infty.$$

Due to the assumption (2.11), and the boundedness of U , for a.e. $\rho \in (0, \rho_0]$ we can apply the compactness result [32, Corollary 7.5]. With the help of a diagonal argument, we find a sequence $\rho_k \searrow 0$ and a subsequence of j such that, for any $k \in \mathbb{N}$, the following properties hold:

$$(2.13) \quad \mu(\partial U_{\rho_k}) = 0,$$

$$(2.14) \quad (S_j \llcorner U_{\rho_k})_{j \in \mathbb{N}} \text{ } \mathbb{F}\text{-converges to a limit, say } R_k \in \mathbb{M}_n(\overline{U}_{\rho_{k+1}}; \mathbf{G}).$$

The uniqueness of the limit implies that $R_k \llcorner U_{\rho_h} = R_h$, for any $h < k$. The sequence $(R_k)_{k \in \mathbb{N}}$ is \mathbb{M} -convergent, because (2.14) and the \mathbb{F} -lower semi-continuity of the mass imply

$$\sum_{k \in \mathbb{N}} \mathbb{M}(R_{k+1} - R_k) \leq \liminf_{j \rightarrow +\infty} \sum_{k \in \mathbb{N}} \mathbb{M}(S_j \llcorner (U_{\rho_{k+1}} \setminus U_{\rho_k})) = \liminf_{j \rightarrow +\infty} \mathbb{M}(S_j \llcorner U) \stackrel{(2.12)}{<} +\infty.$$

Let $S \in \mathbb{M}_n(\overline{U}; \mathbf{G})$ be the \mathbb{M} -limit of the R_k 's; it remains to check that $\mathbb{F}_U(S_j - S) \rightarrow 0$. For a fixed $\varepsilon > 0$, let $k_* \in \mathbb{N}$ be such that $\mu(U \setminus U_{\rho_{k_*}}) \leq \varepsilon/2$. Due to (2.13), we have $\mathbb{M}(S_j \llcorner (U \setminus U_{\rho_{k_*}})) \rightarrow \mu(U \setminus U_{\rho_*})$ and hence

$$\begin{aligned} \limsup_{j \rightarrow +\infty} \mathbb{F}_U(S_j - S) &\leq \limsup_{j \rightarrow +\infty} \left\{ \mathbb{F}_U((S_j - S) \llcorner U_{\rho_{k_*}}) + \mathbb{M}((S_j - S) \llcorner (U \setminus U_{\rho_{k_*}})) \right\} \\ &\stackrel{(2.14)}{\leq} 2\mu(U \setminus U_{\rho_{k_*}}) = \varepsilon, \end{aligned}$$

where we have used again the \mathbb{F} -lower semi-continuity of the mass and the fact that $\mathbb{F}_U \leq \mathbb{F}$. Since $\varepsilon > 0$ is arbitrary, the lemma follows. \square

Intersection index for flat chains. For $y \in \mathbb{R}^d$, we denote by $\tau_y: x \in \mathbb{R}^d \mapsto x + y$ the translation map associated with y . Given chains $S \in \mathbb{F}_n(\mathbb{R}^d; \mathbf{G})$ and $R \in \mathbb{N}_m(\mathbb{R}^d; \mathbb{Z})$, with $n + m \geq d$, for a.e. $y \in \mathbb{R}^d$ we would like to define the intersection $S \cap \tau_{y,*} R$ as an element of $\mathbb{F}_{n+m-d}(\mathbb{R}^d; \mathbf{G})$. This construction has been described in [59, Section 5] but, for the convenience of the reader, we briefly recall it here.

Suppose first that S, R are single polyhedra. By Thom transversality theorem, for a.e. y the polyhedra S and $\tau_{y,*} R$ intersect transversely, so the set $\sigma := \llbracket S \rrbracket \cap \llbracket \tau_{y,*} R \rrbracket$ is a finite union of polyhedra of dimension $n + m - d$. We orient $\llbracket S \rrbracket \cap \llbracket \tau_{y,*} R \rrbracket$ according to the convention of [58, Section 3], i.e., the orientation is chosen in such a way that the following holds: if (u_1, \dots, u_{n+m-d}) is an oriented basis for the $(n + m - d)$ -plane spanned by $\llbracket S \rrbracket \cap \llbracket \tau_{y,*} R \rrbracket$, $(u_1, \dots, u_{n+m-d}, v_1, \dots, v_{d-m})$ is an oriented basis for the n -plane spanned by $\llbracket S \rrbracket$, and $(u_1, \dots, u_{n+m-d}, w_1, \dots, w_{d-m})$ is an oriented basis for the m -plane spanned by $\llbracket \tau_{y,*} R \rrbracket$, then $(u_1, \dots, u_{n+m-d}, v_1, \dots, v_{d-m}, w_1, \dots, w_{d-n})$ is a positively oriented basis for \mathbb{R}^d . Having chosen the orientation, we can regard the intersection $S \cap \tau_{y,*} R$ as a polyhedral $(n + m - d)$ chain, in the obvious way. This definition now extend by linearity to the case S, R are polyhedral. Now, it can be shown [59, Theorem 5.3] that

$$(2.15) \quad \int_{\mathbb{R}^d} \mathbb{F}(S \cap \tau_{y,*} R) dy \leq \mathbb{F}(S)(\mathbb{M}(R) + \mathbb{M}(\partial R)).$$

As a consequence, we can extend \cap by continuity so that, for any $S \in \mathbb{F}_n(\mathbb{R}^d; \mathbf{G})$ and $R \in \mathbb{N}_m(\mathbb{R}^d; \mathbb{Z})$, $S \cap \tau_{y,*} R$ is a well-defined element of $\mathbb{F}_{n+m-d}(\mathbb{R}^d; \mathbf{G})$ for a.e. $y \in \mathbb{R}^m$. Moreover, for a sequence $(S_j)_{j \in \mathbb{N}}$ that converges to S in the flat norm and a.e. $y \in \mathbb{R}^d$, the chain $S_j \cap \tau_{y,*} R$ flat-converges to $S \cap \tau_{y,*} R$.

For the convenience of the reader, we sketch the proof of (2.15).

Proof of (2.15). Suppose that S, R are single polyhedra. Then, by applying the coarea formula, we deduce that

$$\int_{\mathbb{R}^d} \mathbb{M}(S \cap \tau_{y,*} R) dy \leq \mathbb{M}(S)\mathbb{M}(R).$$

This inequality can be extended by linearity to the case S, R are polyhedral chains. Now, it can be checked that, when A, B are polyhedral chains that intersect transversely, and with the orientation convention described above, there holds

$$(2.16) \quad \partial(A \cap B) = (-1)^{d-m} \partial A \cap B + A \cap \partial B.$$

Therefore, writing $S = P + \partial Q$, we have

$$S \cap \tau_{y,*} R = P \cap \tau_{y,*} R + (-1)^{d-m} Q \cap \tau_{y,*} (\partial R) + (-1)^{d-m+1} \partial(Q \cap \tau_{y,*} R).$$

By taking the flat norm and integrating with respect to $y \in \mathbb{R}^d$, we see that the left-hand side of (2.15) is bounded by $\mathbb{M}(P)\mathbb{M}(R) + \mathbb{M}(Q)\mathbb{M}(\partial R) + \mathbb{M}(Q)\mathbb{M}(R)$, and hence (2.15) follows. \square

In the rest of the paper, we will be interested in the case S, R are of complementary dimensions, that is, $\dim(S) + \dim(R) = d$. In this case, $S \cap \tau_{y,*} R$ is a 0-chain, and we can consider the quantity $\chi(S \cap \tau_{y,*} R) \in \mathbf{G}$, where χ is the augmentation homomorphism given by Lemma 2.1.

Lemma 2.7. *Suppose that (2.1) is satisfied. Let $S \in \mathbb{F}_n(\mathbb{R}^d; \mathbf{G})$, $R \in \mathbb{N}_{d-n}(\mathbb{R}^d; \mathbb{Z})$ be chains such that*

$$(2.17) \quad \text{spt}(\partial S) \cap \text{spt}(R) = \text{spt}(S) \cap \text{spt}(\partial R) = \emptyset.$$

Then, there exists $\delta = \delta(S, R) > 0$ such that, for a.e. $y_1, y_2 \in B_\delta^d$, there holds

$$\chi(S \cap \tau_{y_1,*} R) = \chi(S \cap \tau_{y_2,*} R).$$

Proof. Suppose first that S, R are polyhedra of complementary dimensions that satisfy (2.17). Take y_1, y_2 such that S intersects transversely $\tau_{y_1,*} R$ and $\tau_{y_2,*} R$ and $y_2 - y_1$ does not belong to the linear subspace spanned by R . If $|y_1|, |y_2|$ are small enough, then the 0-chain $S \cap (\tau_{y_2,*} R - \tau_{y_1,*} R)$ is (either 0 or) the boundary of a segment whose length tends to zero as $|y_2 - y_1| \rightarrow 0$, for fixed S, R . (The assumption (2.17) is essential here.) Thus, $\mathbb{F}(S \cap \tau_{y_2,*} R - S \cap \tau_{y_1,*} R) \rightarrow 0$ as $|y_1|$ and $|y_2|$ simultaneously tend to 0, and hence (2.1), together with Lemma 2.1, implies that $\chi(S \cap \tau_{y_1,*} R) = \chi(S \cap \tau_{y_2,*} R)$ for $|y_1|, |y_2|$ small enough. By linearity and a density argument, using the stability of \cap and χ with respect to the flat convergence (Equation (2.15) and Remark 2.1 respectively), the lemma follows. \square

By Lemma 2.7, the function $y \in \mathbb{R}^d \mapsto \chi(S \cap \tau_{y,*} R) \in \mathbf{G}$ is equal a.e. to a constant, in a neighbourhood of 0. We call such constant *the intersection product* of S and R , and we denote it by $\mathbb{I}(S, R)$. Note that $\mathbb{I}(S, R)$ is not well-defined if the condition (2.17) does not hold.

Let $U \subseteq \mathbb{R}^d$ is a non-empty, open set. Let S, R satisfy (2.17) with $\text{spt} R \subseteq U$, and let $S' \in \mathbb{F}_n(\mathbb{R}^d; \mathbf{G})$ be such that $\text{spt}(S - S') \subseteq \mathbb{R}^d \setminus U$. By approximating S, S', R with polyhedral chains S_j, S'_j, R_j such that $\text{spt} R_j \subset\subset U \setminus \text{spt}(S_j - S'_j)$, it can be checked that $\mathbb{I}(S, R) = \mathbb{I}(S', R)$. Therefore, the intersection index $\mathbb{I}(S, R)$ is well-defined when $S \in \mathbb{F}_n(U; \mathbf{G})$, provided that R satisfies $\text{spt}(R) \subseteq U$ in addition to (2.17).

Lemma 2.8. *The intersection product satisfies the following properties.*

(i) $\mathbb{I}(S, R) = 0$ if $\text{spt}(S) \cap \text{spt}(R) = \emptyset$.

(ii) \mathbb{I} is bilinear: $\mathbb{I}(S_1 + S_2, R) = \mathbb{I}(S_1, R) + \mathbb{I}(S_2, R)$ and $\mathbb{I}(S, R_1 + R_2) = \mathbb{I}(S, R_1) + \mathbb{I}(S, R_2)$, as soon as all the terms are well-defined.

(iii) \mathbb{I} is stable with respect to \mathbb{F}_U -convergence: if $U \subseteq \mathbb{R}^d$ is a non-empty open set, $(S_j)_{j \in \mathbb{N}}$ is a sequence in $\mathbb{F}_n(U; \mathbf{G})$ that \mathbb{F}_U -converges to S , and if $R \in \mathbb{N}_{d-n}(\mathbb{R}^d; \mathbb{Z})$ satisfies

$$\text{spt}(R) \subseteq U, \quad \text{spt}(\partial S_j) \cap \text{spt}(R) = \text{spt}(S_j) \cap \text{spt}(\partial R) = \emptyset \quad \text{for any } j \in \mathbb{N},$$

then $\mathbb{I}(S, R) = \mathbb{I}(S_j, R)$ for any j large enough.

(iv) \mathbb{I} is stable with respect to homology: for any $S \in \mathbb{F}_n(\mathbb{R}^d; \mathbf{G})$ and $R \in \mathbb{N}_{d-n+1}(\mathbb{R}^d; \mathbb{Z})$ such that $\text{spt}(\partial S) \cap \text{spt}(\partial R) = \emptyset$, there holds $\mathbb{I}(S, \partial R) = (-1)^n \mathbb{I}(\partial S, R)$. In particular, if $\text{spt}(\partial S) \cap \text{spt}(R) = \emptyset$ then $\mathbb{I}(S, \partial R) = 0$.

Proof. Properties (i), (ii) and (iii) follow in a straightforward way from (2.15) and Lemma 2.1, 2.7. For (iv) we remark that, due to (2.16), there holds

$$(-1)^{n-1} \partial S \cap \tau_{y,*} R + S \cap \tau_{y,*}(\partial R) = \partial(S \cap \tau_{y,*} R).$$

By taking χ on both sides, and applying Lemma 2.1.(ii) and (ii), we obtain (iv). \square

2.2 The group $\pi_{k-1}(\mathcal{N})$

If the condition (H) is satisfied, in particular if $\pi_j(\mathcal{N}) = 0$ for any integer $0 \leq j \leq k-2$ (and $\pi_1(\mathcal{N})$ is abelian in case $k=2$), then the action of $\pi_1(\mathcal{N})$ over $\pi_{k-1}(\mathcal{N})$ is trivial. Therefore, we can and we shall identify the *free* homotopy classes of continuous maps $\mathbb{S}^{k-1} \rightarrow \mathcal{N}$ with the elements of the homotopy group $\pi_{k-1}(\mathcal{N})$. Moreover, we have an isomorphism

$$\pi_{k-1}(\mathcal{N}) \simeq H_{k-1}(\mathcal{N}),$$

due to Hurewicz theorem (see e.g. [41, Theorem 4.37 p. 371]). The group $H_{k-1}(\mathcal{N})$ is finitely generated because \mathcal{N} can be given the structure of a *finite* CW complex, hence $\pi_{k-1}(\mathcal{N})$ is finitely generated. The choice of a finite generating set $\{\gamma_j\}_{j=1}^q$ for $\pi_{k-1}(\mathcal{N})$ induces a group norm on $\pi_{k-1}(\mathcal{N})$, in the following way: for $a \in \pi_{k-1}(\mathcal{N})$, $|a|$ is the smallest length of a sum of γ_j 's representing a , that is

$$(2.18) \quad |a| := \inf \left\{ \sum_{j=1}^q |d_j| : (d_j)_{j=1}^q \in \mathbb{Z}^q, a = \sum_{j=1}^q d_j \gamma_j \right\}.$$

It can be easily checked that the right-hand side does define a group norm. This norm is integer-valued, so $\pi_{k-1}(\mathcal{N})$ is a discrete topological space, and the condition (2.18) is satisfied. Throughout the paper, we will consider flat chains with multiplicities in $\mathbf{G} = \pi_{k-1}(\mathcal{N})$.

2.3 Smooth complexes and the retraction over \mathcal{N}

A compact set $\mathcal{X} \subseteq \mathbb{R}^m$ will be called a n -dimensional smooth (resp., Lipschitz) complex if and only if there exists a diffeomorphism (resp., a bilipschitz map), defined on a neighbourhood of \mathcal{X} , that takes \mathcal{X} onto a *finite* n -dimensional simplicial complex $\widehat{\mathcal{X}} \subseteq \mathbb{R}^m$. For $j \in \mathbb{N}$ with $j \leq n$, we define the j -skeleton \mathcal{X}^j of \mathcal{X} as the union of all the cells of dimension $\leq j$.

We recall an important topological fact, upon which our construction is based.

Lemma 2.9 ([39, Lemma 6.1]). *Suppose that (H) is satisfied. Then, there exist a compact $(m - k)$ -dimensional smooth complex $\mathcal{X} \subseteq \mathbb{R}^m$ and a locally smooth retraction $\varrho: \mathbb{R}^m \setminus \mathcal{X} \rightarrow \mathcal{N}$ such that*

$$|\nabla \varrho(y)| \leq \frac{C}{\text{dist}(y, \mathcal{X})}$$

for any $y \in \mathbb{R}^m \setminus \mathcal{X}$ and some constant $C = C(\mathcal{N}, m, \mathcal{X}) > 0$, and $\nabla \varrho$ has full rank on a neighbourhood of \mathcal{N} .

Proof. This is exactly the statement of [39, Lemma 6.1], except that in [39], the set \mathcal{X} is required to be a Lipschitz complex and ϱ is required to be a Lipschitz map. However, the same argument can be used to produce a smooth pair (\mathcal{X}, ϱ) with the same properties (one starts with a smooth triangulation of $\mathbb{S}^m = \mathbb{R}^m \cup \{\infty\}$, in place of a Lipschitz triangulation; the smoothness of ϱ can be achieved by a standard regularisation argument). Another, self-contained, proof of this fact is given in [19, Proposition 2.1]. \square

Notice that $\varrho_y: z \in \mathcal{N} \mapsto \varrho \circ (y - z)$, for $|y|$ small enough, defines a smooth family of maps $\mathcal{N} \rightarrow \mathcal{N}$ such that $\varrho_0 = \text{Id}_{\mathcal{N}}$. Therefore, the implicit function theorem implies that ϱ_y has a smooth inverse $\varrho_y^{-1}: \mathcal{N} \rightarrow \mathcal{N}$ for $|y|$ sufficiently small.

2.4 Manifold-valued Sobolev maps

Given a bounded, smooth open set $U \subseteq \mathbb{R}^d$ and a number $1 \leq p < +\infty$, we let $H^{1,p}(U, \mathcal{N})$ denote the *strong* $W^{1,p}$ -closure of $C^\infty(\overline{U}, \mathcal{N})$. We denote by $H_{\text{loc}}^{1,p}(U, \mathcal{N})$ the set of maps $u \in W^{1,p}(U, \mathcal{N})$ such that, for any point $x \in U$, there exists a ball $B_r(x) \subset\subset U$ such that $u|_{B_r(x)} \in H^{1,p}(B_r(x), \mathcal{N})$. Clearly, we have the chain of inclusions

$$H^{1,p}(U, \mathcal{N}) \subseteq H_{\text{loc}}^{1,p}(U, \mathcal{N}) \subseteq W^{1,p}(U, \mathcal{N})$$

and a well-known result by Bethuel [8, Theorem 1] implies that the equality $H_{\text{loc}}^{1,p} = W^{1,p}$ holds if and only if $p \geq d$ or $\pi_{[p]}(\mathcal{N}) = 0$. The equality $H^{1,p} = W^{1,p}$ has been characterised by Hang and Lin [37, Theorem 1.3] in terms of topological properties of U and \mathcal{N} . In particular, we have

Lemma 2.10. *Suppose that $U \subseteq \mathbb{R}^d$ is a smooth, bounded domain that has the same homotopy type of a smooth $(k - 1)$ -complex, and let $p \geq k - 1$. Then, $H^{1,p}(U, \mathcal{N}) = H_{\text{loc}}^{1,p}(U, \mathcal{N})$.*

Proof. If $p \geq d$ then, arguing as in [56, Proposition p. 267], one sees that $H^{1,p}(U, \mathcal{N}) = H_{\text{loc}}^{1,p}(U, \mathcal{N}) = W^{1,p}(U, \mathcal{N})$, so we can assume that $k - 1 \leq p < d$. Let $u \in H_{\text{loc}}^{1,p}(U, \mathcal{N})$ and $\varepsilon > 0$ be given. By reflection across $\partial\Omega$, we can extend u to a map in $W^{1,p}(U', \mathcal{N})$,

where $U' \supset \supset U$ is a slightly larger domain that retracts onto \bar{U} (see e.g. [3, Lemma 8.1 and Remark 8.2]); thus, we can apply the methods of [37], even though U has a boundary.

Thanks to [37, Theorem 6.1] (or [8, Theorem 2]), we find a smooth cell decomposition M of U' , a dual $d - \lfloor p \rfloor - 1$ -skeleton $L^{d-\lfloor p \rfloor-1}$ and a map $\tilde{u} \in W^{1,p}(U', \mathcal{N})$ that is continuous on $U' \setminus L^{d-\lfloor p \rfloor-1}$ and satisfies $\|u - \tilde{u}\|_{W^{1,p}} \leq \varepsilon$. It suffices to show that $\tilde{u}|_{M^{\lfloor p \rfloor}}$ can be extended to a continuous map $U' \rightarrow \mathcal{N}$, as [37, Theorem 6.2] yields then $\tilde{u} \in H^{1,p}(U, \mathcal{N})$ and, by arbitrariness of ε , $u \in H^{1,p}(U, \mathcal{N})$.

For each cell $Q \in M^{\lfloor p \rfloor+1}$, denote by $Q' \in L^{d-\lfloor p \rfloor-1}$ the dual cell and let x such that $Q \cap Q' = \{x\}$. Since $u \in H_{\text{loc}}^{1,p}(U, \mathcal{N})$, there exist $0 < \rho < \text{dist}(x, \partial Q)$ a sequence of smooth maps $u_j: B_\rho(x) \rightarrow \mathcal{N}$ that converges to u in $W^{1,p}$. In case $p \notin \mathbb{Z}$, Fubini theorem and Sobolev embeddings imply that, for a.e. $r \in (0, \rho)$, $u_j \rightarrow u$ uniformly on $Q \cap \partial B_r(x)$, therefore the homotopy class of $u|_{Q \cap \partial B_r(x)}$ is trivial. In case $p \in \mathbb{Z}$, one can approximate u_j with the continuous functions

$$u_j^\delta(y) := \int_{Q \cap B_r(x) \cap B_\delta(y)} u_j(z) \, dz \quad \text{for } y \in Q \cap B_r(x).$$

By the Poincaré inequality, we deduce that $\sup_{j,y} \text{dist}(u_j^\delta(y), \mathcal{N}) \rightarrow 0$ as $\delta \rightarrow 0$ and $u_j^\delta \rightarrow u^\delta$ uniformly on $Q \cap B_r(x)$ as $j \rightarrow +\infty$, so the same conclusion follows. (Details of the argument can be found in [21] and [37, Lemma 4.4].) By similar arguments we also obtain that, if ε is small enough, then the homotopy class of $\tilde{u}|_{Q \cap \partial B_r(x)}$ is trivial, hence the homotopy class of $\tilde{u}|_{\partial Q}$ is trivial and $\tilde{u}|_{M^{\lfloor p \rfloor}}$ has a continuous extension $M^{\lfloor p \rfloor+1} \rightarrow \mathcal{N}$. Finally, by applying [37, Lemma 2.2] and reminding that U is homotopy equivalent to a $(k-1)$ -complex and that $p \geq k-1$, we conclude that $\tilde{u}|_{M^{\lfloor p \rfloor}}$ has a continuous extension $U \rightarrow \mathcal{N}$. \square

Push-forward of a chain by a Sobolev map and homology classes. Let $S \in \mathbb{M}_{k-1}(U; \mathbb{Z})$ be an integral chain with $\partial S = 0$, and let $u \in H^{1,k-1}(U, \mathcal{N})$. We aim at defining the homology class of the push-forward chain $u_*(S)$. To this end, we pick a sequence $(u_n)_{n \in \mathbb{N}}$ in $(C^\infty \cap W^{1,k-1})(U, \mathcal{N})$ that converges to u in $W^{1,k-1}$, and a sequence $(S_j)_{j \in \mathbb{N}}$ of polyhedral chains supported in an open set $U' \subset \subset U$, with $\partial S_j = 0$ for any $j \in \mathbb{N}$, that converges to S in the flat-norm. (Such a sequence S_j exists as a consequence of the deformation theorem; see e.g. [32, Theorem 5.6 and remark at p. 175].) We claim that, for any n, m, i, j large enough,

$$(2.19) \quad [u_{n,*}(S_i)]_{H_{k-1}(\mathcal{N})} = [u_{m,*}(S_j)]_{H_{k-1}(\mathcal{N})}.$$

This homology class does not depend on the choice of the sequences (u_n) and (S_j) , for any two such pairs of sequences (u_n, S_j) and (u'_n, S'_j) can be restructured into a single converging one. We denote this homology class by $[u_*(S)]$. By the Hurewicz isomorphism [41, Theorem 4.37 p. 371], $[u_*(S)]$ defines a unique homotopy class in $\pi_{k-1}(\mathcal{N})$, which we denote by the same symbol. By an approximation argument, once Claim (2.19) is proved we can deduce

Lemma 2.11. *If $(u_j)_{j \in \mathbb{N}}$ is a sequence in $H^{1,k-1}(U, \mathcal{N})$ that converges $W^{1,k-1}$ -strongly to u , and $(S_j)_{j \in \mathbb{N}}$ is a sequence of cycles in $\mathbb{N}_{k-1}(U; \mathbb{Z})$ that converges to S in the flat-norm, then*

$$[u_*(S)] = [u_{j,*}(S_j)]$$

for any j large enough.

Proof of Claim (2.19). By applying [32, Lemma 7.7], for i and j large enough we find a polyhedral k -chain R_{ij} , supported in U , such that $S_i - S_j = \partial R_{ij}$. Then, for any n we have

$$u_{n,*}(S_i) - u_{n,*}(S_j) = \partial(u_{n,*}(R_{ij})),$$

so $u_{n,*}(S_i)$ and $u_{n,*}(S_j)$ belong to the same (smooth) homology class. Now, it follows from [37, Lemma 4.5] and the fact that $(u_n)_{n \in \mathbb{N}}$ is a Cauchy sequence in $W^{1,k-1}(U)$ that, for any n, m large enough and any $(k-1)$ -polyhedral complex $K \subseteq U$, $u_n|_K$ and $u_m|_K$ belong to the same homotopy class of continuous maps $K \rightarrow \mathcal{N}$. Since homotopic maps induce the same push-forward in homology, it follows that $[u_{n,*}(S_i)] = [u_{m,*}(S_i)]$ for any n, m large enough and any i and, hence, Claim (2.19) is proved. \square

3 The construction of the sets of topological singularities

3.1 Statement of the main results

Let $\Omega \subseteq \mathbb{R}^d$ be a **smooth, bounded, connected open set**, $d \geq k$. We consider the set $X(\Omega) := (L^\infty \cap W^{1,k-1})(\Omega, \mathbb{R}^m)$ with the direct limit topology induced by the increasing family of subspaces

$$X_\Lambda(\Omega) := \left\{ u \in W^{1,k-1}(\Omega, \mathbb{R}^m) : \|u\|_{L^\infty(\Omega)} \leq \Lambda \right\} \quad \text{for } \Lambda > 0$$

(each $X_\Lambda(\Omega)$ is given the strong $W^{1,k-1}$ -topology). This defines a metrisable topology on $X(\Omega)$, and a sequence $(u_j)_{j \in \mathbb{N}}$ converges to u in $X(\Omega)$ if and only if $u_j \rightarrow u$ strongly in $W^{1,k-1}$ and $\sup_{j \in \mathbb{N}} \|u_j\|_{L^\infty} < +\infty$. We also consider the set $Y(\Omega) := L^1(\mathbb{R}^m, \mathbb{F}_{d-k}(\Omega; \pi_{k-1}(\mathcal{N})))$, whose elements are Lebesgue-measurable maps $S: \mathbb{R}^m \rightarrow \mathbb{F}_{d-k}(\Omega; \pi_{k-1}(\mathcal{N}))$ such that

$$\|S\|_Y := \int_{\mathbb{R}^m} \mathbb{F}_\Omega(S(y)) \, dy < +\infty.$$

The set $Y(\Omega)$ is a complete normed $\pi_{k-1}(\mathcal{N})$ -modulus, with respect to the norm $\|\cdot\|_Y$. When no ambiguity arises, we will write X, Y instead of $X(\Omega), Y(\Omega)$. **Given an operator $S: X \rightarrow Y$, throughout the paper we will write $S_y(u) := (S(u))(y)$ for $y \in \mathbb{R}^m$.** Recall that, **even when not explicitly stated**, the assumption (H) is in force, see Section 1.2.

Theorem 3.1. *Suppose that (H) is satisfied. Then, there exists a unique continuous map $\mathbf{S}: X \rightarrow Y$ that satisfies the following property:*

(P₁) *For any $u \in X$, any $R \in \mathbb{N}_k(\overline{\Omega}; \mathbb{Z})$ such that $\text{spt } R \subseteq \Omega$, and a.e. $y \in \mathbb{R}^m$ such that $\text{spt}(\partial R) \cap \text{spt}(\mathbf{S}_y(u)) = \emptyset$, there holds*

$$\mathbb{I}(\mathbf{S}_y(u), R) = [\varrho \circ (u - y)_*(\partial R)].$$

Here $\varrho: \mathbb{R}^m \setminus \mathcal{X} \rightarrow \mathcal{N}$ denotes the retraction given by Lemma 2.9.

Moreover, for any $\Lambda > 0$ there exists $C_\Lambda > 0$ such that, for any $u \in X$ with $\|u\|_{L^\infty(\Omega)} \leq \Lambda$ and a.e. $y \in \mathbb{R}^m$, the following properties are satisfied.

(P₂) $\varrho \circ (u - y) \in W^{1,k-1}(\Omega, \mathcal{N}) \cap H_{\text{loc}}^{1,k-1}(\Omega \setminus \text{spt } \mathbf{S}_y(u), \mathcal{N})$.

(P₃) $\mathbf{S}_y(u)$ is a relative boundary in Ω : there exists $R_y \in \mathbb{M}_{d-k+1}(\overline{\Omega}; \pi_{k-1}(\mathcal{N}))$ such that $\text{spt}(\mathbf{S}_y(u) - \partial R_y) \subseteq \mathbb{R}^d \setminus \Omega$ and

$$\int_{\mathbb{R}^m} \mathbb{M}(R_y) \, dy \leq C_\Lambda \|\nabla u\|_{L^{k-1}(\Omega)}^{k-1}.$$

(P₄) If, in addition, $u \in W^{1,k}(\Omega, \mathbb{R}^m)$ then, for a.e. $y \in \mathbb{R}^m$, the chain $\mathbf{S}_y(u)$ has finite mass and there holds

$$\int_{\mathbb{R}^m} \mathbb{M}(\mathbf{S}_y(u)) \, dy \leq C_\Lambda \|\nabla u\|_{L^k(\Omega)}^k.$$

(P₅) If $u_0, u_1 \in X$ satisfy $\|u_0\|_{L^\infty(\Omega)} \leq \Lambda$, $\|u_1\|_{L^\infty(\Omega)} \leq \Lambda$, then

$$\int_{\mathbb{R}^m} \mathbb{F}_\Omega(\mathbf{S}_y(u_1) - \mathbf{S}_y(u_0)) \, dy \leq C_\Lambda \int_\Omega |u_1 - u_0| \left(|\nabla u_1|^{k-1} + |\nabla u_0|^{k-1} \right).$$

Property (P₁) implies that $\mathbf{S}_y(u)$ does capture topological information on u , and motivates the name ‘‘set of topological singularities’’. Notice that both sides of (P₁) are well-defined, thanks to (P₂) and Lemmas 2.10, 2.11. (P₃) and (P₄) provide an integral control on the \mathbb{F}_Ω -norm and the mass norm of $\mathbf{S}_y(u)$, respectively. Property (P₃) will be crucially exploited in the applications we present in Section 4, while (P₄) is important in applications to variational problems, along the lines of [3]. From (P₃) and White’s rectifiability theorem for flat chains [58], one can deduce that $\mathbf{S}_y(u)$ is the boundary of a rectifiable chain for a.e. $y \in \mathbb{R}^m$ (compare with [2, Theorem 3.8]). Finally, (P₅) is a continuity estimate in the spirit of [20, Theorem 1], Statement (i). By applying the Hölder inequality to (P₅), for any $\Lambda > 0$ and any vector-valued maps $u_0, u_1 \in (L^\infty \cap W^{1,k})(\Omega, \mathbb{R}^m)$ satisfying $\|u_0\|_{L^\infty(\Omega)} \leq \Lambda$ and $\|u_1\|_{L^\infty(\Omega)} \leq \Lambda$ we obtain

$$(3.1) \quad \int_{\mathbb{R}^k} \mathbb{F}_\Omega(\mathbf{S}_y(u_1) - \mathbf{S}_y(u_0)) \, dy \leq C_\Lambda \|u_0 - u_1\|_{L^k(\Omega)} \left(\|\nabla u_0\|_{L^k(\Omega)}^{k-1} + \|\nabla u_1\|_{L^k(\Omega)}^{k-1} \right).$$

A natural question is whether the distance $\|\mathbf{S}(u_1) - \mathbf{S}(u_0)\|_Y$ can be bounded in terms of the Sobolev norms of $\nabla u_1 - \nabla u_0$. Notice that such an estimate is available for the Jacobian (see [20, Theorem 1], Statement (ii)). However, the answer is not known in general.

The uniqueness of the operator \mathbf{S} , together with Lemma 2.3, implies the following property.

Corollary 3.2. *Let Ω_1, Ω_2 be bounded, smooth domains in \mathbb{R}^d with $\Omega_1 \subset\subset \Omega_2$, and let $\mathbf{S}^{\Omega_1}, \mathbf{S}^{\Omega_2}$ be the corresponding operators, given by Theorem 3.1. Let $\Psi: \mathbb{F}_{d-k}(\Omega_2; \pi_{k-1}(\mathcal{N})) \rightarrow \mathbb{F}_{d-k}(\Omega_1; \pi_{k-1}(\mathcal{N}))$ be the restriction map, given by Lemma 2.3. Then, for any $u \in X(\Omega_2)$ and a.e. $y \in \mathbb{R}^d$, there holds*

$$\mathbf{S}_y^{\Omega_1}(u|_{\Omega_1}) = \Psi(\mathbf{S}_y^{\Omega_2}(u)).$$

Corollary 3.2 implies that the operator \mathbf{S} is local: if two maps $u_1, u_2 \in X(\Omega)$ coincide a.e. on a (not necessarily smooth) open subset $\omega \subseteq \Omega$, then $\text{spt}(\mathbf{S}_y(u_2) - \mathbf{S}_y(u_1)) \subseteq \overline{\Omega} \setminus \omega$ for a.e. $y \in \mathbb{R}^m$. If we had constructed \mathbf{S} as an operator with values in $L^1(\mathbb{R}^m, \mathbb{F}_n(\overline{\Omega}; \pi_{k-1}(\mathcal{N})))$,

then Corollary 3.2 would not hold, because the restriction $\mathbf{S}_y^{\Omega_2}(u) \llcorner \bar{\Omega}$ need not be well-defined (see the discussion in Section 2.1).

We can, in the suitable sense, define “the trace of \mathbf{S} ” on the boundary of Ω . More precisely, suppose that $d \geq k + 1$, consider the space $X^{\text{bd}} := (L^\infty \cap W^{1-1/k, k})(\partial\Omega, \mathbb{R}^m)$ and define a direct limit topology on it, in such a way that a sequence $(g_j)_{j \in \mathbb{N}}$ converges to g in X^{bd} if and only if $g_j \rightharpoonup g$ weakly in $W^{1-1/k, k}$ and $\sup_{j \in \mathbb{N}} \|g_j\|_{L^\infty(\partial\Omega)} < +\infty$. Let $Y^{\text{bd}} := L^1(\mathbb{R}^m, \mathbb{F}_{d-k-1}(\partial\Omega; \pi_{k-1}(\mathcal{N})))$ be endowed with the norm

$$\|S\|_{Y^{\text{bd}}} := \int_{\mathbb{R}^m} \mathbb{F}(S_y) \, dy.$$

Proposition 3.3. *There exists a sequentially continuous operator $\mathbf{S}^{\text{bd}}: X^{\text{bd}} \rightarrow Y^{\text{bd}}$ with the following property: for any $g \in X^{\text{bd}}$, any open set $\Omega' \supset \Omega$, any $u \in (L^\infty \cap W^{1, k})(\Omega', \mathbb{R}^m)$ such that $u = g$ on $\partial\Omega$ (in the sense of traces), and a.e. $y \in \mathbb{R}^m$, there holds $\mathbf{S}_y^{\text{bd}}(g) = \partial(\mathbf{S}_y(u) \llcorner \Omega) = \partial(\mathbf{S}_y(u) \llcorner \bar{\Omega})$.*

Note that, for a.e. y , the restrictions $\mathbf{S}_y(u) \llcorner \Omega$, $\mathbf{S}_y(u) \llcorner \bar{\Omega}$ are well-defined because $\mathbf{S}_y(u)$ has finite mass, due to (P₄). The space X^{bd} does *not* coincide with the image of X under the trace operator, that is $\text{tr}(X) = (L^\infty \cap W^{1-1/(k-1), k-1})(\partial\Omega, \mathbb{R}^m) \supseteq X^{\text{bd}}$. In general, it is not possible to extend \mathbf{S}^{bd} to an operator $\text{tr}(X) \rightarrow Y^{\text{bd}}$ that is continuous with respect to the strong topology on $\text{tr}(X)$. In case $\mathcal{N} = \mathbb{S}^1$, $k = m = 2$, Ω is the unit ball in \mathbb{R}^3 , if such an extension existed then $\mathbf{S}_y^{\text{bd}}(g)$ would be defined for merely measurable maps $g: \mathbb{S}^2 \rightarrow \mathbb{S}^1$, and continuous with respect to strong L^1 -convergence. But $C^\infty(\mathbb{S}^2, \mathbb{S}^1)$ is dense in $L^1(\mathbb{S}^2, \mathbb{S}^1)$ and $\mathbf{S}_y^{\text{bd}}(g) = 0$ for any $g \in C^\infty(\mathbb{S}^2, \mathbb{S}^1)$ and a.e. $y \in \mathbb{R}^m$, so $\mathbf{S}^{\text{bd}} = 0$. This is a contradiction, in view of (4), as there are maps in $W^{1,1}(\mathbb{S}^2, \mathbb{S}^1) \subseteq W^{1/2,2}(\mathbb{S}^2, \mathbb{S}^1)$ whose distributional Jacobian is non zero.

Recall that two chains are said to be homologous (or cobordant) if they differ by a boundary. In case $u \in (L^\infty \cap W^{1, k})(\Omega, \mathbb{R}^m)$, the homology class of $\mathbf{S}_y(u)$ is determined by the boundary conditions only. More precisely, we have the following

Proposition 3.4. *For any $g \in X^{\text{bd}}$, any open set $\Omega' \supset \Omega$, any two maps $u_1, u_2 \in (L^\infty \cap W^{1, k})(\Omega', \mathbb{R}^m)$ with $u_1 = u_2 = g$ on $\partial\Omega$ (in the sense of traces) and a.e. $y_1, y_2 \in \mathbb{R}^m$ there exists a chain $R \in \mathbb{M}_{d-k+1}(\bar{\Omega}; \pi_{k-1}(\mathcal{N}))$ such that*

$$(3.2) \quad \mathbf{S}_{y_2}(u_2) \llcorner \bar{\Omega} - \mathbf{S}_{y_1}(u_1) \llcorner \bar{\Omega} = \partial R.$$

Let $\delta_0 := \text{dist}(\mathcal{N}, \mathcal{X})$. If, in addition, g takes values in \mathcal{N} then for a.e. $y_1, y_2 \in \mathbb{R}^m$ with $|y_1| < \delta_0, |y_2| < \delta_0$ there exists a chain $R \in \mathbb{M}_{d-k+1}(\bar{\Omega}; \pi_{k-1}(\mathcal{N}))$ such that

$$(3.3) \quad \mathbf{S}_{y_2}(u_2) \llcorner \bar{\Omega} - \mathbf{S}_{y_1}(u_1) \llcorner \bar{\Omega} = \partial R.$$

As above, the previous result need not be true for $u_1 \in X, u_2 \in X$, because the restrictions $\mathbf{S}_y(u_1) \llcorner \bar{\Omega}, \mathbf{S}_y(u_2) \llcorner \bar{\Omega}$ may not be well-defined. However, when u_1, u_2 are merely in X and have the same trace at the boundary it is possible to show that, for a.e. y , there exists a chain R of finite mass such that $\text{spt}(\mathbf{S}_y(u_2) - \mathbf{S}_y(u_1) - \partial R) \subseteq \mathbb{R}^d \setminus \Omega$ (this follows by Proposition 3.9 below).

Finally, let us mention an additional property of $\mathbf{S}_y(u)$, in case u is an \mathcal{N} -valued map.

Proposition 3.5. *As above, let $\delta_0 := \text{dist}(\mathcal{N}, \mathcal{X})$. Then, we have:*

- (i) *for any $u \in W^{1,k-1}(\Omega, \mathcal{N})$ and a.e. $y_1, y_2 \in \mathbb{R}^m$ with $|y_1| < \delta_0, |y_2| < \delta_0$ there holds $\mathbf{S}_{y_1}(u) = \mathbf{S}_{y_2}(u)$.*
- (ii) *If $g \in W^{1-1/k,k}(\partial\Omega, \mathcal{N})$, then $\mathbf{S}_{y_1}^{\text{bd}}(g) = \mathbf{S}_{y_2}^{\text{bd}}(g)$ for a.e. y_1, y_2 with $|y_1| < \delta_0, |y_2| < \delta_0$.*
- (iii) *If $u \in W^{1,k}(\Omega, \mathcal{N})$, then $\mathbf{S}_y(u) = 0$ for a.e. $y \in \mathbb{R}^m$ with $|y| < \delta_0$.*

In case u is \mathcal{N} -valued and $|y| < \delta_0$, the chain $\mathbf{S}_y(u)$ actually agrees with the topological singular set as defined by Pakzad and Rivière in [52] (see Section 3.3).

3.2 The case of smooth maps

We first carry out the construction of $\mathbf{S}_y(u)$ for a smooth map u . In order to control the behaviour of u at the boundary, we assume that Ω is compactly contained in a domain $\Omega' \subseteq \mathbb{R}^d$, and we assume that u is smoothly defined on Ω' , with $\|u\|_{L^\infty(\Omega')} \leq \Lambda$. Throughout this section, we also tacitly assume that the condition (H) is satisfied.

Construction of $\mathbf{S}_y(u)$. Recall from Lemma 2.9 that, as a consequence of (H), there exists a smooth retraction $\varrho: \mathbb{R}^m \setminus \mathcal{X} \rightarrow \mathcal{N}$, where \mathcal{X} is a smooth $(m-k)$ -complex. Let K be a $(m-k)$ -dimensional cell of \mathcal{X} , and let σ be a smooth $(m-k)$ -vector field that defines an orientation on K . For any $x \in K \setminus \partial K$ and $r > 0$, we consider the k -dimensional disk $D_r^k(x) := B_r^m(x) \cap (\mathbb{T}_x K)^\perp$, with the orientation induced by σ . We suppose that r is so small that $D_r^k(x) \cap \mathcal{X} = D_r^k(x) \cap K = \{x\}$. Then, we denote by $\gamma(K, \sigma) := [\varrho, \partial D_r^k(x)] \in \pi_{k-1}(\mathcal{N})$ the homotopy class of ϱ restricted to $\partial D_r^k(x) \simeq \mathbb{S}^{k-1}$. (One easily checks that $\gamma(K, \sigma)$ does not depend on the choice of x and r , but only on K and σ .)

By applying Thom parametric transversality theorem (see e.g. [42, Theorem 2.7 p. 79]) to the map $(x, y) \in \Omega' \times \mathbb{R}^m \mapsto u(x) - y$, which is smooth and has surjective differential at every point, we deduce that, for a.e. $y \in \mathbb{R}^m$, the map $u - y$ is transverse to all the cells of \mathcal{X} . Therefore, for any j -cell K of \mathcal{X} with $m-d \leq j \leq m-k$, the set $(u-y)^{-1}(K)$ is a smooth $(d-m+j)$ -submanifold of Ω' with $\partial((u-y)^{-1}(K)) \subseteq (u-y)^{-1}(\mathcal{X}^{j-1})$, while $(u-y)^{-1}(K) = \emptyset$ if $j < m-d$. We subdivide each $(u-y)^{-1}(K)$ into $(d-m+j)$ -cells, in such a way to make $(u-y)^{-1}(\mathcal{X})$ a smooth, finite complex. Using Thom transversality theorem again, we see that, for a.e. $y \in \mathbb{R}^m$, the intersection of any cell of $(u-y)^{-1}(\mathcal{X})$ with $\partial\Omega$ is a smooth manifold. Therefore, up to further subdivision, we can assume that each cell of $(u-y)^{-1}(\mathcal{X})$ is **contained either in $\bar{\Omega}$ or in $\Omega' \setminus \Omega$** .

Let H be a $(d-k)$ -cell of $(u-y)^{-1}(\mathcal{X})$, oriented by a smooth $(d-k)$ -unit vector field τ . By construction, the image $(u-y)(H)$ is contained in a $(m-k)$ -cell K of \mathcal{X} . Let σ be a smooth $(m-k)$ -vector field associated with the orientation of K . We define

$$\epsilon(H, \tau, K, \sigma) := \begin{cases} 1 & \text{if } (u-y)_*((\star\tau)^\#) \wedge \sigma \text{ is a positive } m\text{-vector field in } \mathbb{R}^m \\ -1 & \text{otherwise.} \end{cases}$$

Here $(\star\tau)^\#$ denotes the k -vector field naturally associated with the Hodge dual of τ , which induces an orientation on the normal bundle to H , and $(u-y)_*((\star\tau)^\#)$ denotes its [push-forward through \$u-y\$](#) . Then, we define

$$(3.4) \quad \mathbf{S}_y(u) := \sum_{(H, \tau)} \epsilon(H, \tau, K, \sigma) \gamma(K, \sigma) \llbracket H, \tau \rrbracket,$$

where the sum is taken over all oriented $(d-k)$ -cells (H, τ) of $(u-y)^{-1}(\mathcal{X})$. Then, $\mathbf{S}_y(u)$ is a smooth $(m-k)$ -chain with coefficients in $\pi_{k-1}(\mathcal{N})$. Each term of the sum in (3.4) is invariant under the changes of orientation $\tau \mapsto -\tau$ and $\sigma \mapsto -\sigma$. From now on, we will omit the τ 's and σ 's in the notation.

Lemma 3.6. *Let Σ be a smoothly embedded k -disk that intersects transversely a $(d-k)$ -cell H of $(u-y)^{-1}(\mathcal{X}) \cap \bar{\Omega}$ at a point $x \in H \setminus \partial H$, and suppose that $\Sigma \cap (u-y)^{-1}(\mathcal{X}) = \Sigma \cap H = \{x\}$. Let K be the $(m-k)$ -cell of \mathcal{X} that contains $(u-y)(H)$. Then, we have*

$$\epsilon(H, K) \gamma(K) = [\varrho \circ (u-y)_*(\partial\Sigma)].$$

Proof. Assume, for simplicity of notation only, that $y = 0$ and $u(x) = 0$. Let $D_r^k := B_r^d(x) \cap T_x \Sigma$, for $0 < r < \text{dist}(x, \partial\Sigma)$. The sphere $\partial\Sigma$ is homotopic to ∂D_r^k (one contracts $\partial\Sigma$ towards x , then project it on the tangent space). Moreover, if r is small enough, $u|_{\partial D_r^k}$ is homotopic to $du_x|_{\partial D_r^k}$ because $\|u - du_x\|_{L^\infty(\partial D_r^k)} \rightarrow 0$ as $r \rightarrow 0$. Therefore, we have

$$(3.5) \quad [(\varrho \circ u)_*(\partial\Sigma)] = [(\varrho \circ u)_*(\partial D_r^k(x))] = [(\varrho \circ du_x)_*(\partial D_r^k(x))].$$

Now, the transversality assumption yields $du_x(T_x \Sigma) + T_0 K = \mathbb{R}^m$ and hence, by a dimension argument, du_x restricts to an isomorphism of $T_x \Sigma$ onto its image. Thus, we have

$$[(\varrho \circ du_x)_*(\partial D_r^k)] = \text{sign det}(du_x|_{T_x \Sigma}) [\varrho_*(du_x(\partial D_r^k))] = \epsilon(H, K) \gamma(K).$$

Combining this identity with (3.5), the lemma follows. \square

Lemma 3.7. $\mathbf{S}_y(u)$ is a relative cycle, that is, $\partial(\mathbf{S}_y(u)) \lrcorner \Omega = 0$.

Proof. By construction, $\partial(\mathbf{S}_y(u))$ is supported on the $(d-k-1)$ -skeleton of $(u-y)^{-1}(\mathcal{X})$. Let H be a $(d-k-1)$ -cell of $(u-y)^{-1}(\mathcal{X})$ that is contained in $\bar{\Omega}$, and let H_1, \dots, H_q be the $(d-k)$ -cells of $(u-y)^{-1}(\mathcal{X})$ that are adjacent to H . By composing with a diffeomorphism, we can assume without loss of generality that H, H_1, \dots, H_q are affine polyhedra. Moreover, since $\mathbf{S}_y(u)$ is independent on the choice of the orientations on the cells, we can choose the orientation τ_j of H_j in such a way that $\tau_j|_H$ agrees with the orientation of H . Take $x \in H \setminus \partial H$ and a radius $r > 0$ so small that, setting $D_r^{k+1}(x) := B_r^d(x) \cap H^\perp$, we have $D_r^{k+1}(x) \cap \partial H_j = D_r^{k+1}(x) \cap H = \{x\}$, for any $j \in \{1, \dots, q\}$. Then $\partial D_r^{k+1}(x)$ intersects each H_j at a single point, which we call x_j , and $\varrho \circ (u-y)$ restricts to a continuous map $\partial D_r^{k+1}(x) \setminus \{x_j\}_{j=1}^q \rightarrow \mathcal{N}$. Take a smooth k -disk $\Sigma \subseteq \partial D_r^{k+1}(x)$ such that $x_j \in \Sigma \setminus \partial\Sigma$ for any j . We endow Σ with the orientation induced by $\partial D_r^{k+1}(x)$. Finally, for each j we take a small k -disk $\Sigma_j \subseteq \Sigma$, in such a way that $x_j \in \Sigma_j \setminus \partial\Sigma_j$

and the Σ_j 's are pairwise disjoint. By Lemma 3.6, the multiplicity of $\mathbf{S}_y(u)$ at H_j is equal to $[\varrho \circ (u - y)_*(\partial\Sigma_j)]$. Therefore, with our choice of the orientation, we have

$$\begin{aligned} \text{multiplicity of } \partial\mathbf{S}_y(u) \text{ at } H &= \sum_{j=1}^k (\text{multiplicity of } \mathbf{S}_y(u) \text{ at } H_j) \\ &= \sum_{j=1}^k [\varrho \circ (u - y)_*(\partial\Sigma_j)] = [\varrho \circ (u - y)_*(\partial\Sigma)]. \end{aligned}$$

On the other hand, $\varrho \circ (u - y)|_{\partial\Sigma}$ is null-homotopic, because $\varrho \circ (u - y)$ is continuous on the set $\partial D_r^{k+1}(x) \setminus \Sigma$, which is diffeomorphic to a k -disk. Thus, we have $\partial(\mathbf{S}_y(u)) \lrcorner H = 0$. \square

In the rest of this section, we check that $\mathbf{S}_y(u)$ satisfies (P₁)–(P₅) *in case u is smooth*. The extension to the Sobolev case is left to Section 3.3.

$\mathbf{S}_y(u)$ satisfies (P₁). By applying the deformation theorem [32, Theorem 7.3], we can write

$$(3.6) \quad R = A + \partial B + P,$$

where A is a k -chain with finite mass, B is a $(k + 1)$ -chain with finite mass, and P is a polyhedral k -chain:

$$P = \sum_{\alpha=1}^q \lambda_\alpha \llbracket K_\alpha \rrbracket,$$

for some $\lambda_\alpha \in \mathbb{Z}$, and some affine closed k -polyhedra K_α . Since $\mathbf{S}_y(u)$ is a relative cycle (Lemma 3.7), and since we have assumed that $\text{spt}(\mathbf{S}_y(u)) \cap \text{spt}(\partial R) = \emptyset$, we can make sure that

$$(3.7) \quad \text{spt}(\mathbf{S}_y(u)) \cap \text{spt}(\partial P) = \emptyset, \quad \text{spt}(\partial\mathbf{S}_y(u)) \cap \text{spt}(P) = \emptyset,$$

$$(3.8) \quad \text{spt}(\mathbf{S}_y(u)) \cap \text{spt}(A) = \emptyset, \quad \text{spt}(\partial\mathbf{S}_y(u)) \cap \text{spt}(B) = \emptyset.$$

By the transversality theorem, we can assume without loss of generality that $(u - y)^{-1}(\mathcal{X}^{m-k-1}) \cap K_\alpha = \emptyset$, $\text{spt}(\mathbf{S}_y(u)) \cap \partial K_\alpha = \emptyset$ and K_α is transverse to $\text{spt}(\mathbf{S}_y(u))$ for any α . Thanks to Lemma 2.8 and (3.8), we have $\mathbb{I}(\mathbf{S}_y(u), A) = \mathbb{I}(\mathbf{S}_y(u), \partial B) = 0$. Then, by bilinearity of the intersection product, we obtain

$$\mathbb{I}(\mathbf{S}_y(u), R) = \sum_{\alpha=1}^q \lambda_\alpha \mathbb{I}(\mathbf{S}_y(u), \llbracket K_\alpha \rrbracket).$$

Due to (3.8) and the assumption $(u - y)^{-1}(\mathcal{X}^{m-k-1}) \cap K_\alpha = \emptyset$, $\varrho \circ (u - y)$ is well-defined and continuous in a neighbourhood of $\text{spt} A$. Therefore, taking the homology classes in (3.6), we deduce

$$[\varrho \circ (u - y)_*(\partial R)] = \sum_{\alpha=1}^q \lambda_\alpha [\varrho \circ (u - y)_* \llbracket \partial K_\alpha \rrbracket].$$

Thus, it suffices to show that $\mathbb{I}(\mathbf{S}_y(u), \llbracket K_\alpha \rrbracket) = [\varrho \circ (u - y)_* \llbracket \partial K_\alpha \rrbracket]$. Because we assumed that K_α is transverse to $\text{spt}(\mathbf{S}_y(u))$, their intersection is a finite set. Using again additivity on both sides, we reduce to the case $\#(\text{spt}(\mathbf{S}_y(u)) \cap K_\alpha) = 1$, and then (P₁) follows by Lemma 3.6. \square

$\mathbf{S}_y(u)$ satisfies (P₂). We claim that $\varrho \circ (u - y) \in W^{1,k-1}(\Omega, \mathbb{R}^m)$ for a.e. y . Once this claim is proved, we can deduce that $\varrho \circ (u - y) \in H_{\text{loc}}^{1,k-1}(\Omega \setminus \text{spt}(\mathbf{S}_y(u)), \mathcal{N})$ for a.e. y by a “removal of the singularity” technique, as in [8] or in [52, Theorem II]. Recall that, by assumption, $\|u\|_{L^\infty(\Omega)} \leq \Lambda$. If

$$(3.9) \quad |y| > M := \Lambda + \sup_{z \in \mathcal{X}} |z|,$$

then $(u - y)^{-1}(\mathcal{X}) = \emptyset$ and $\varrho \circ (u - y)$ is smooth on $\bar{\Omega}$. Thus, we only need to consider the case $y \in B_M^m$. We can now apply the arguments in [38, Lemma 2.3] or [37, Lemma 6.2], which we recall below for the convenience of the reader, to show that $\varrho \circ (u - y) \in W^{1,k-1}(\Omega, \mathbb{R}^m)$ for a.e. y . In fact, we will prove a slightly stronger statement, because it will be useful later on.

Lemma 3.8. *For any $v \in X := (L^\infty \cap W^{1,k-1})(\Omega, \mathbb{R}^m)$, let $\Phi(v): y \in \mathbb{R}^m \mapsto \varrho \circ (v - y)$. Then, Φ is a well-defined and continuous operator*

$$\Phi: X \rightarrow L_{\text{loc}}^1(\mathbb{R}^m, W^{1,k-1}(\Omega, \mathcal{N})).$$

Moreover, for any positive M, Λ and any $v \in X$ such that $\|v\|_{L^\infty(\Omega)} \leq \Lambda$, there holds

$$(3.10) \quad \int_{B_M^m} \|\nabla(\varrho \circ (v - y))\|_{L^{k-1}(\Omega)}^{k-1} dy \leq C_\Lambda \|\nabla v\|_{L^{k-1}(\Omega)}^{k-1},$$

where C_Λ is a positive constant that only depends on $M, \Lambda, k, \mathcal{N}$ and ϱ .

Proof. We first remark the following useful fact, which is the essence of the proof of [38, Lemma 2.3]: for any positive numbers M, Λ , any $v \in L^\infty(\Omega, \mathbb{R}^m)$ with $\|v\|_{L^\infty(\Omega)} \leq \Lambda$, any measurable $w: \Omega \rightarrow [0, +\infty)$ and any Borel function $f: \mathbb{R}^m \rightarrow [0, +\infty)$, there holds

$$(3.11) \quad \int_{B_M^m} \left(\int_{\Omega} w(x) f(v(x) - y) dx \right) dy \leq \int_{\Omega} w(x) dx \int_{B_{M+\Lambda}^m} f(z) dz.$$

This follows by applying Fubini theorem, then making the change of variable $z := v(x) - y$ in the integral with respect to y . Another useful fact we will use in the proof is that

$$(3.12) \quad \nabla \varrho \in L_{\text{loc}}^{k-1}(\mathbb{R}^m, \mathbb{R}^{m \times m}).$$

Indeed, $|\nabla \varrho| \leq C \text{dist}(\cdot, \mathcal{X})^{-1}$ by Lemma 2.9, and $\text{dist}(\cdot, \mathcal{X})^{-k+1}$ is locally integrable on \mathbb{R}^m because \mathcal{X} is, up to a bounded change of metric in \mathbb{R}^m , a finite union of simplices of codimension k .

Let us now check that Φ is well-defined. For any $v \in X$, the set

$$N := \{(x, y) \in \Omega \times \mathbb{R}^m : v(x) - y \in \mathcal{X}\}$$

is measurable and $\mathcal{H}^{d+m}(N) = 0$, because each slice $N \cap (\{x\} \times \mathbb{R}^m) = v(x) - \mathcal{X}$ has dimension $m - k$. By Fubini theorem, it follows that $\mathcal{H}^d(N \cap (\Omega \times \{y\})) = 0$ for a.e. $y \in \mathbb{R}^m$, so

$\varrho \circ (u - y)$ is well-defined for a.e. $y \in \mathbb{R}^m$, and belongs to $L^k(\Omega, \mathcal{N})$. By the chain rule, for a.e. $(x, y) \in \Omega \times \mathbb{R}^m$ we have

$$|\nabla(\varrho \circ (v(x) - y))| = |(\nabla\varrho)(v(x) - y)| |\nabla v(x)|$$

and thus (3.10) follows by applying (3.11) with $f = |\nabla\varrho|^{k-1}$, $w = |\nabla v|^{k-1}$ and using (3.12).

It only remains to check the continuity of Φ . Let $(v_j)_{j \in \mathbb{N}}$ be a sequence such that $v_j \rightarrow v$ in X as $j \rightarrow +\infty$, and let $\Lambda > 0$ be such that $\|v_j\|_{L^\infty(\Omega)} \leq \Lambda$ for any $j \in \mathbb{N}$. Up to extraction of a subsequence, we assume that $v_j \rightarrow v$ a.e. Let $M > 0$ be fixed. By Fubini theorem and a change of variable as in (3.11), we obtain

$$\int_{B_M^m} \|\varrho \circ (v_j - y) - \varrho \circ (v - y)\|_{L^{k-1}(\Omega)}^{k-1} dy \leq \int_{\Omega} \int_{B_{M+\Lambda}^m} (|\varrho(z + \tilde{v}_j(x)) - \varrho(z)|^{k-1} dy) dx,$$

where $\tilde{v}_j := v_j - v$. Since $\varrho(z + \tilde{v}_j(x)) \rightarrow \varrho(z)$ for any $z \in \mathbb{R}^m \setminus \mathcal{Z}^c$ and a.e. $x \in \Omega$, Lebesgue's dominated convergence theorem implies that the right hand side converges to zero as $j \rightarrow +\infty$. Now, for fixed $\varepsilon > 0$, let $\varphi \in C^\infty(\mathbb{R}^m, \mathbb{R}^{m \times m})$ be such that $\|\nabla\varrho - \varphi\|_{L^{k-1}(B_{M+\Lambda}^m)}^{k-1} \leq \varepsilon$. The chain rule implies

$$\int_{B_M^m} \|\nabla(\varrho \circ (v_j - y) - \varrho \circ (v - y))\|_{L^{k-1}(\Omega)}^{k-1} dy \leq 4^{k-2}(I_1 + I_2 + I_3 + I_4),$$

where

$$\begin{aligned} I_1 &:= \int_{B_M^m} \left(\int_{\Omega} |(\nabla\varrho)(v_j - y)|^{k-1} |\nabla v_j - \nabla v|^{k-1} d\mathcal{H}^d \right) dy, \\ I_2 &:= \int_{B_M^m} \left(\int_{\Omega} |\nabla v|^{k-1} |(\nabla\varrho)(v_j - y) - \varphi(v_j - y)|^{k-1} d\mathcal{H}^d \right) dy, \\ I_3 &:= \int_{B_M^m} \left(\int_{\Omega} |\nabla v|^{k-1} |\varphi(v_j - y) - \varphi(v - y)|^{k-1} d\mathcal{H}^d \right) dy, \\ I_4 &:= \int_{B_M^m} \left(\int_{\Omega} |\nabla v|^{k-1} |\varphi(v - y) - (\nabla\varrho)(v - y)|^{k-1} d\mathcal{H}^d \right) dy. \end{aligned}$$

We apply (3.11) to each of this integrals. For the first one, we obtain

$$I_1 \leq \|\nabla v_j - \nabla v\|_{L^{k-1}(\Omega)}^{k-1} \int_{B_{M+\Lambda}^m} |\nabla\varrho(z)|^{k-1} dz,$$

and the integral with respect to z in the right hand side is finite, due to (3.12). As for I_2 , we have

$$I_2 \leq \|\nabla v\|_{L^{k-1}(\Omega)}^{k-1} \|\nabla\varrho - \varphi\|_{L^{k-1}(B_{M+\Lambda}^m)}^{k-1} \leq \varepsilon \|\nabla v\|_{L^{k-1}(\Omega)}^{k-1},$$

and the same holds for I_4 . Finally, for I_3 we get

$$I_3 \leq \int_{\Omega} |\nabla v(x)|^{k-1} \left(\int_{B_{M+\Lambda}^m} |\varphi(z + \tilde{v}_j(x)) - \varphi(z)|^{k-1} dz \right) dx$$

where $\tilde{v}_j := v_j - v$, and again we can apply Lebesgue's dominated convergence theorem to show that the right hand side tends to zero as $j \rightarrow +\infty$. Putting all together, we deduce

$$\limsup_{j \rightarrow +\infty} \int_{B_M^m} \|\varrho \circ (v_j - y) - \varrho \circ (v - y)\|_{W^{1,k-1}(\Omega)}^{k-1} dy \leq 4^{k-3/2} \varepsilon \|\nabla v\|_{L^{k-1}(\Omega)}^{k-1}$$

for arbitrary ε , M , and hence the lemma follows. \square

$\mathbf{S}_y(u)$ satisfies (P₄). Pick a positive constant C such that $|\gamma(K)| \leq C$ for any $(m-k)$ -cell K of \mathcal{X} . By the definition (3.4) of $\mathbf{S}_y(u)$, we have

$$\mathbb{M}(\mathbf{S}_y(u) \llcorner \bar{\Omega}) \leq C \sum_K \mathcal{H}^{d-k} \left((u-y)^{-1}(K) \cap \bar{\Omega} \right),$$

the sum being taken over all $(m-k)$ -cells of \mathcal{X} , and $\mathbf{S}_y(u) = 0$ if $|y| > M$ where M is defined in (3.9). Since \mathcal{X} only contains a finite number of cells, each of which is bilipschitz equivalent to an affine $(m-k)$ -polyedron, it suffices to show

$$(3.13) \quad \int_{[-M, M]^m} \mathcal{H}^{d-k} \left((u-y)^{-1}(V) \cap \bar{\Omega} \right) dy \leq C \|\nabla u\|_{L^k(\Omega)}^k,$$

where V is an affine $(m-k)$ -subspace of \mathbb{R}^m . By composing with an isometry, we can assume without loss of generality that $V = \{y \in \mathbb{R}^m : y_1 = \dots = y_k = 0\}$. We denote the variable $y = (z, z') \in V^\perp \times V$ and let $p_\perp : \mathbb{R}^m \rightarrow V^\perp$ be the orthogonal projection onto V^\perp . Then, (3.13) can be rewritten as

$$\int_{[-M, M]^k \times [-M, M]^{m-k}} \mathcal{H}^{d-k} \left((p_\perp \circ u)^{-1}(z) \cap \bar{\Omega} \right) dz dz' \leq C \|\nabla u\|_{L^k(\Omega)}^k$$

and this inequality follows from the coarea formula, applied to the smooth function $p_\perp \circ u : \bar{\Omega} \rightarrow V^\perp \simeq \mathbb{R}^k$. This concludes the proof of (P₄). \square

$\mathbf{S}_y(u)$ satisfies (P₃) and (P₅). Properties (P₃) and (P₅) follow at once from the result below.

Proposition 3.9. *Let $\pi : [0, 1] \times \mathbb{R}^d \rightarrow \mathbb{R}^d$ denote the canonical projection. Let u_0, u_1 be two smooth maps $\Omega' \rightarrow \mathbb{R}^m$ and let $u : [0, 1] \times \Omega' \rightarrow \mathbb{R}^m$ be given by $u(t, x) := (1-t)u_0(x) + tu_1(x)$. For a.e. $y \in \mathbb{R}^m$ there holds*

$$(3.14) \quad \mathbf{S}_y(u_1) - \mathbf{S}_y(u_0) = \partial(\pi_* \mathbf{S}_y(u)) \quad \text{in } \Omega'.$$

If, in addition, $u_0 = u_1$ on $\Omega' \setminus \Omega$, then $\pi_ \mathbf{S}_y(u)$ is supported in $\bar{\Omega}$ for a.e. $y \in \mathbb{R}^m$. Finally, for any positive Λ there exists a constant C_Λ such that, if u_0, u_1 satisfy $\|u_0\|_{L^\infty(\Omega')} \leq \Lambda$, $\|u_1\|_{L^\infty(\Omega')} \leq \Lambda$, then*

$$(3.15) \quad \int_{\mathbb{R}^k} \mathbb{M}(\pi_* \mathbf{S}_y(u) \llcorner \bar{\Omega}) dy \leq C_\Lambda \int_{\Omega} |u_1 - u_0| \left(|\nabla u_0|^{k-1} + |\nabla u_1|^{k-1} \right).$$

Once the proposition is proved, in order to show (P₃) we apply Proposition 3.9 with u_0 identically equal to 0, and notice that $\mathbf{S}_y(0) = 0$ for any $y \in \mathbb{R}^m \setminus \mathcal{X}$. Moreover, (3.14) and Lemma 2.2 imply that $\mathbb{F}_\Omega(\mathbf{S}_y(u_1) - \mathbf{S}_y(u_0)) \leq \mathbb{M}(\pi_* \mathbf{S}_y(u) \llcorner \Omega)$, so (3.15) becomes

$$\int_{\mathbb{R}^k} \mathbb{F}_\Omega(\mathbf{S}_y(u_1) - \mathbf{S}_y(u_0)) dy \leq C_\Lambda \int_{\Omega} |u_1 - u_0| \left(|\nabla u_0|^{k-1} + |\nabla u_1|^{k-1} \right)$$

and (P₅) is proved. The continuity of \mathbf{S} also follows. Indeed, if $(u_j)_{j \in \mathbb{N}}$ is a sequence of smooth maps that converge to u in X then, by taking a subsequence such that the $|\nabla u_j|$'s are dominated and applying Lebesgue convergence theorem, we conclude that $\|\mathbf{S}(u_j) - \mathbf{S}(u)\|_Y \rightarrow 0$.

The proof of Proposition 3.9 is in some sense a refinement of (P₄). It will be convenient to work in the setting of differential forms and currents. We follow here the notation of [2, Section 7.4]. Given a smooth map $v: [0, 1] \times \Omega' \rightarrow \mathbb{R}^k$, we define the Jacobian Jv as the pull-back of the standard volume form on \mathbb{R}^k through v , i.e. $Jv := v^*(dy^1 \wedge \dots \wedge dy^k)$. If we denote by (x^1, \dots, x^d) the coordinates on Ω' and by $x^0 = t$ the coordinate in $[0, 1]$, then we can write

$$(3.16) \quad Jv = \sum_{\alpha \in I(k, d)} \det(\partial_\alpha v) dx^\alpha,$$

where $I(k, d)$ is the set of multi-indices $\alpha = (\alpha_1, \dots, \alpha_k) \in \mathbb{N}^k$ such that $0 \leq \alpha_1 < \alpha_2 < \dots < \alpha_k \leq d$, and

$$\partial_\alpha v := (\partial_{\alpha_1} v, \dots, \partial_{\alpha_k} v), \quad dx^\alpha := dx^{\alpha_1} \wedge \dots \wedge dx^{\alpha_k}.$$

For any regular value $y \in \mathbb{R}^k$ of v and any $x \in v^{-1}(y)$, the Hodge dual $\star Jv(x)$ is a simple $(d - k + 1)$ -vector which spans $T_x v^{-1}(y)$. By (3.16), we have $|\star Jv|^2 = |Jv|^2 = \det((\nabla v)(\nabla v)^\top)$. We define $N_y(v)$ as the rectifiable current (in the ambient space $[0, 1] \times \Omega'$) supported by $v^{-1}(y)$, with orientation given by $\star Jv / |\star Jv|$ and constant multiplicity 1. $N_y(v)$ can be identified with an element of $\mathbb{M}_{d-k+1}([0, 1] \times \overline{\Omega'}; \mathbb{Z})$. The mass of $N_y(v)$, whether it be regarded as a current or as a flat chain with coefficients in \mathbb{Z} , coincides with the Hausdorff measure of the set $N_y(v)$, because $N_y(v)$ is rectifiable.

Lemma 3.10. *Let v_0, v_1 be two smooth maps $\Omega' \rightarrow \mathbb{R}^k$, and let $v: [0, 1] \times \Omega' \rightarrow \mathbb{R}^k$ be defined by $v(t, x) := (1 - t)v_0(x) + tv_1(x)$. Let $K \subset\subset [0, 1] \times \Omega'$ be a Borel set. Then, there holds*

$$\int_{\mathbb{R}^k} \mathbb{M}(\pi_*(N_y(v) \llcorner K)) dy \leq C \int_{\pi(K)} |v_1 - v_0| \left(|\nabla v_0|^{k-1} + |\nabla v_1|^{k-1} \right)$$

Proof. By definition of the mass of a current, we can write

$$(3.17) \quad \mathbb{M}(\pi_*(N_y(v) \llcorner K)) = \sup_{\omega} \langle N_y(v) \llcorner K, \pi^* \omega \rangle,$$

where the sup is taken over all smooth $(d - k + 1)$ -forms ω supported in Ω' , such that the *comass* norm $\|\omega(x)\| \leq 1$ for any $x \in \Omega'$. (This condition means $\langle \omega(x), \xi \rangle \leq 1$ for any unit, *simple* $(d - k + 1)$ -vector ξ and any $x \in \Omega'$). Any such form ω can be written as

$$\omega = \sum_{\beta \in I(d-k+1, d): \beta_1 > 0} \omega_\beta dx^\beta$$

for some functions $\omega_\beta \in C_c^\infty(\Omega')$ which satisfy

$$(3.18) \quad \sum_{\beta} \omega_\beta^2(x) = |\omega(x)|^2 \leq C \|\omega(x)\|^2 \leq C \quad \text{for any } x \in \Omega'$$

where $C = C(d, k)$ is a positive constant. Using the properties of \star and (3.16), we compute

$$\begin{aligned} \langle N_y(v) \llcorner K, \pi^* \omega \rangle &= \int_{v^{-1}(y) \cap K} \langle \pi^* \omega, \frac{\star Jv}{|\star Jv|} \rangle d\mathcal{H}^{d-k+1} \\ &= (-1)^{k(d-k+1)} \int_{v^{-1}(y) \cap K} \frac{\star(\pi^* \omega \wedge Jv)}{|\star Jv|} d\mathcal{H}^{d-k+1} \\ &\leq \sum_{\alpha \in I(k, d): \alpha_1=0} \int_{v^{-1}(y) \cap K} |\omega_{\bar{\alpha}}| \frac{|\det(\partial_\alpha v)|}{|\star Jv|} d\mathcal{H}^{d-k+1}, \end{aligned}$$

where $\bar{\alpha}$ denotes the unique element of $I(d-k+1, d)$ that complements α . Recalling the definition of v , for any $\alpha \in I(k, d)$ such that $\alpha_1 = 0$ we obtain

$$|\det(\partial_\alpha v)| \leq |\partial_t v| |\nabla_x v|^{k-1} \leq |v_0 - v_1| (|\nabla v_0| + |\nabla v_1|)^{k-1}.$$

Then, using (3.17) and (3.18) as well, we have

$$\mathbb{M}(\pi_*(N_y(v) \llcorner K)) \leq C \int_{v^{-1}(y) \cap K} \frac{|v_0 - v_1| (|\nabla v_0| + |\nabla v_1|)^{k-1}}{|\star Jv|} d\mathcal{H}^{d-k+1}.$$

By integrating this inequality with respect to $y \in \mathbb{R}^k$, and applying the coarea formula, we conclude that

$$\int_{\mathbb{R}^k} \mathbb{M}(\pi_*(N_y(v) \llcorner K)) dy \leq C \int_K |v_0 - v_1| (|\nabla v_0| + |\nabla v_1|)^{k-1} d\mathcal{H}^{d+1}$$

whence the lemma follows. \square

Proof of Proposition 3.9. We first prove (3.14). Pick $y \in \mathbb{R}^k$ such that $u_0 - y$, $u_1 - y$ and $u - y$, together with their restrictions to $\partial\Omega$, are transverse to all the cells of \mathcal{X} . Then, up to subdivision, we can assume that all the cells of $(u - y)^{-1}(\mathcal{X})$ are contained either in $\{0, 1\} \times \Omega$ or in $(0, 1) \times \Omega'$. Then, (3.14) follows by the same argument of Lemma 3.7. In case $u_0 = u_1$ out of Ω , we have $u(t, x) = u_0(x)$ for any $(t, x) \in [0, 1] \times (\Omega' \setminus \bar{\Omega})$, so

$$\mathbf{S}_y(u) \llcorner ([0, 1] \times (\Omega' \setminus \bar{\Omega})) = \llbracket [0, 1] \rrbracket \times \mathbf{S}_y(u_0) \llcorner (\Omega' \setminus \bar{\Omega})$$

and

$$\pi_* \mathbf{S}_y(u) \llcorner (\Omega' \setminus \bar{\Omega}) = \pi_* \left(\mathbf{S}_y(u) \llcorner ([0, 1] \times (\Omega' \setminus \bar{\Omega})) \right) = \pi_* \llbracket [0, 1] \rrbracket \times \mathbf{S}_y(u_0) \llcorner (\Omega' \setminus \bar{\Omega}) = 0.$$

Thus, $\pi_* \mathbf{S}_y(u)$ is supported in $\bar{\Omega}$.

We now prove (3.15). Fix $\Lambda > 0$ such that $\|u_0\|_{L^\infty(\Omega')} \leq \Lambda$ and $\|u_1\|_{L^\infty(\Omega')} \leq \Lambda$. Then, we have $\|u\|_{L^\infty([0,1] \times \Omega')} \leq \Lambda$ and so $\mathbf{S}_y(u_0) = \mathbf{S}_y(u_1) = 0$ whenever

$$|y| > M := \Lambda + \sup_{z \in \mathcal{X}} |z|.$$

If we choose a constant C such that $|\gamma(K)| \leq C$ for any $(m-k)$ -cell K of \mathcal{X} , then the definition (3.4) of $\mathbf{S}_y(u)$ implies

$$(3.19) \quad \mathbb{M}(\pi_* \mathbf{S}_y(u) \llcorner \bar{\Omega}) \leq C \sum_K \mathbb{M}(\pi_* \llbracket (u-y)^{-1}(K) \rrbracket \llcorner \bar{\Omega}).$$

Fix a $(m-k)$ -cell K of \mathcal{X} . By composing with a diffeomorphism, we can assume without loss of generality that K is an affine polyhedron contained in the $(m-k)$ -plane $V := \{y \in \mathbb{R}^m : y_1 = \dots = y_k = 0\}$. We denote the variable in \mathbb{R}^m by $y = (z, z') \in V^\perp \times V$, and we let p, p_\perp be the orthogonal projections onto V, V^\perp respectively. For a suitable choice of the orientation of K , we have

$$\llbracket (u-y)^{-1}(K) \rrbracket = N_{p_\perp(y)}(p_\perp \circ u) \llcorner \left((p \circ u - p(y))^{-1}(K) \right).$$

Thus, for any $z' \in V$, by applying Lemma 3.10 to $v := p_\perp \circ u$ and

$$K_{z'} := (p \circ u - z')^{-1}(K) \cap ([0, 1] \times \bar{\Omega}),$$

we obtain

$$\begin{aligned} \int_{V^\perp} \mathbb{M}(\pi_* \llbracket (u - (z, z'))^{-1}(K) \rrbracket \llcorner \bar{\Omega}) \, dz &= \int_{V^\perp} \mathbb{M}(\pi_*(N_z(v) \llcorner K_{z'})) \, dz \\ &\leq C \int_{\Omega} |u_0 - u_1| \left(|\nabla u_0|^{k-1} + |\nabla u_1|^{k-1} \right). \end{aligned}$$

By integrating with respect to $z' \in V \cap B_M^m$, summing over K , and using (3.19), we obtain

$$\int_{V^\perp \times (V \cap B_M^m)} \mathbb{M}(\pi_* \mathbf{S}_y(u) \llcorner \bar{\Omega}) \, dy \leq C \int_{\Omega} |u_0 - u_1| \left(|\nabla u_0|^{k-1} + |\nabla u_1|^{k-1} \right)$$

for some constant C depending on M (hence on Λ) and on \mathcal{X} . Now, reminding that $\mathbf{S}_y(u) = 0$ if $|y| > M$, the proposition follows. \square

3.3 The case of Sobolev maps

In the previous section, we have defined $\mathbf{S}_y(u)$ in case u is smooth; we now have to extend the definition to the case u belongs to a suitable Sobolev space, and of course this is accomplished by a density argument. We will then provide the proof of the main theorem, Theorem 3.1, and of Proposition 3.3.

Since Ω is assumed to be bounded and smooth, there exist a larger domain $\Omega' \supset \supset \Omega$ and a linear, continuous operator $E: X := (L^\infty \cap W^{1,k-1})(\Omega, \mathbb{R}^m) \rightarrow (L^\infty \cap W^{1,k-1})(\Omega', \mathbb{R}^m)$ that satisfies $Eu|_\Omega = u$ and

$$(3.20) \quad \int_{\Omega'} |Eu_1 - Eu_0| \left(|\nabla(Eu_0)|^{k-1} + |\nabla(Eu_1)|^{k-1} \right) \leq C \int_{\Omega} |u_1 - u_0| \left(|\nabla u_0|^{k-1} + |\nabla u_1|^{k-1} \right)$$

for any $u \in X$ and some constant C that only depends on Ω . Such an operator can be constructed, e.g., by standard reflection about the boundary $\partial\Omega$.

Let $\Psi: \mathbb{F}_{d-k}(\Omega'; \pi_{k-1}(\mathcal{N})) \rightarrow \mathbb{F}_{d-k}(\Omega; \pi_{k-1}(\mathcal{N}))$ be the restriction map given by Lemma 2.3. For any $u \in E^{-1}C^\infty(\Omega', \mathbb{R}^m)$ and any $y \in \mathbb{R}^m$, with a slight abuse of notation, we let $\mathbf{S}_y(u) := \Psi(\mathbf{S}_y(Eu)) = \mathbf{S}_y(Eu) \llcorner \Omega$. By Proposition 3.9 and (3.20), this defines a uniformly continuous operator $\mathbf{S}: E^{-1}C^\infty(\Omega', \mathbb{R}^m) \rightarrow Y$, if $E^{-1}C^\infty(\Omega', \mathbb{R}^m)$ is given the topology of a subspace of X . Since $E^{-1}C^\infty(\Omega', \mathbb{R}^m)$ is dense in X , we can extend \mathbf{S} to a continuous operator $X \rightarrow Y$, still denoted \mathbf{S} , that satisfies (P₅). Now, before completing the proof of Theorem 3.1, we state a useful lemma.

Lemma 3.11. *Let $\delta_0 := \text{dist}(\mathcal{N}, \mathcal{X})$. For any smooth map $u: \Omega' \rightarrow \mathbb{R}^m$ and a.e. $y, y' \in \mathbb{R}^m$ with $|y'| < \delta_0$, there holds $\mathbf{S}_y(u) = \mathbf{S}_{y'}(\varrho \circ (u - y))$.*

For the sake of convenience of exposition, we leave the proof of Lemma 3.11 to Section 3.4. By the continuity of \mathbf{S} , and because $\varrho \circ (u_j - y) \rightarrow \varrho \circ (u - y)$ in $W^{1,k-1}$ for a.e. $y \in \mathbb{R}^m$ if $u_j \rightarrow u$ in X (Lemma 3.8), from Lemma 3.11 we derive

Lemma 3.12. *As above, let $\delta_0 := \text{dist}(\mathcal{N}, \mathcal{X})$. For any $u \in X$ and a.e. $y, y' \in \mathbb{R}^m$ with $|y'| < \delta_0$, there holds $\mathbf{S}_y(u) = \mathbf{S}_{y'}(\varrho \circ (u - y))$.*

Proof of Theorem 3.1. We already know, by Proposition 3.9 and (3.20), that \mathbf{S} satisfies (P₅); we need to check that it also satisfies (P₁)–(P₄). Properties (P₃) and (P₄) follow by a density argument, since we have already established that they hold for smooth maps, using the \mathbb{F}_Ω -lower semi-continuity of the mass, Lemma 2.5. Property (P₂) can be proved by a “removal of the singularity” technique, exactly as in [52, Theorem II].

We now check (P₁). For fixed $u \in X$ and $y \in \mathbb{R}^m$, take a chain $R \in \mathbb{N}_k(\mathbb{R}^d; \mathbb{Z})$ such that $\text{spt} R \subseteq \Omega$ and $\text{spt}(\partial R) \cap \text{spt}(\mathbf{S}_y(u)) = \emptyset$. Let U be an open neighbourhood of $\text{spt}(\partial R)$ such that $U \cap \text{spt}(\mathbf{S}_y(u)) = \emptyset$. Taking a smaller U if necessary, we can assume that U retracts by deformation over $\text{spt}(\partial R)$. Moreover, we can assume without loss of generality that ∂R is polyhedral. Indeed, due to the Deformation Theorem [32, Theorem 7.3], there is a k -chain of finite mass \tilde{R} , supported in U , such that $\partial\tilde{R} - \partial R$ is polyhedral. By Lemma 2.8, and because $\text{spt} \tilde{R} \subseteq U \subseteq \mathbb{R}^d \setminus \text{spt}(\mathbf{S}_y(u))$, we have $\mathbb{I}(\mathbf{S}_y(u), \tilde{R}) = 0$, so we may redefine $R := R - \tilde{R}$.

Under these conditions, we can apply (P₂) and Lemma 2.10 to deduce that $\varrho \circ (u - y) \in H^{1,k-1}(U, \mathcal{N})$. As a consequence, we find a sequence of open sets U'_j , with $\text{spt}(\partial R) \subseteq U'_j \subset\subset U$, and a sequence $w_j \in C^\infty(\Omega', \mathbb{R}^m)$ such that $w_j(x) \in \mathcal{N}$ for any $x \in U'_j$ and any j , and $w_j \rightarrow \varrho \circ (u - y)$ in X . Thus, for a.e. $y' \in \mathbb{R}^m$ with $|y'| < \text{dist}(\mathcal{N}, \mathcal{X})$ we have $\text{spt}(\mathbf{S}_{y'}(w_j)) \cap U'_j = \emptyset$ and we can apply (P₁) to w_j , because we have already proved (P₁) for smooth maps. This gives

$$(3.21) \quad \mathbb{I}(\mathbf{S}_{y'}(w_j), R) = [\varrho \circ (w_j - y')]_*(\partial R).$$

Since $w_j \rightarrow \varrho \circ (u - y)$ in X , using Lemma 2.11 we see that

$$(3.22) \quad [\varrho \circ (w_j - y')]_*(\partial R) = [\varrho \circ (\varrho \circ (u - y) - y')]_*(\partial R) = [\varrho \circ (u - y)]_*(\partial R),$$

for j large enough and a.e. y, y' with $|y'| < \text{dist}(\mathcal{N}, \mathcal{X})$. The latter identity holds because the map $z \in \mathcal{N} \mapsto \varrho(z - y')$ is homotopic to the identity on \mathcal{N} (a homotopy is given by $(z, t) \in$

$\mathcal{N} \times [0, 1] \mapsto \varrho(z - ty')$). As for the left-hand side of (3.21), we use again that $w_j \rightarrow \varrho(u - y)$ in X , the continuity of \mathbf{S} , Lemmas 2.8 and 3.12 to obtain that

$$(3.23) \quad \mathbb{I}(\mathbf{S}_{y'}(w_j), R) = \mathbb{I}(\mathbf{S}_{y'}(\varrho(u - y)), R) = \mathbb{I}(\mathbf{S}_y(u), R)$$

for a.e. y, y' , provided that j is large enough and $|y'|$ is sufficiently small. Then, (P₁) follows from (3.21), (3.22) and (3.23).

Finally, we prove the uniqueness part of the theorem. Let $\mathbf{S}' : X \rightarrow Y$ be a continuous operator that satisfies (P₁), and let $u \in C^\infty(\Omega', \mathbb{R}^m)$. Let $y \in \mathbb{R}^m$ be such that $u - y$ intersects transversely each cell of \mathcal{X} , and let $B \subset\subset \Omega \setminus (u - y)^{-1}(\mathcal{X})$ be a ball. Since $\varrho(u - y)$ is well-defined and smooth on B , by (P₁) we have

$$\mathbb{I}(\mathbf{S}'_y(u), R) = [\varrho(u - y)_*(\partial R)] = 0$$

for any k -disk R supported in B such that $\text{spt}(\partial R) \cap \text{spt}(\mathbf{S}'_y(u)) = \emptyset$. By approximating $\mathbf{S}'_y(u)$ with polyhedral chains, using the deformation theorem as stated in [57, Theorem 1.1] together with [57, Proposition 2.2], we deduce that $\mathbf{S}'_y(u) \llcorner B = 0$, hence $\text{spt}(\mathbf{S}'_y(u)) \subseteq (u - y)^{-1}(\mathcal{X})$. However, using again (P₁), we see that the multiplicity of $\mathbf{S}_y(u)$ and $\mathbf{S}'_y(u)$ must agree on $(u - y)^{-1}(K)$, for any $(m - k)$ -open cell K of \mathcal{X} . Thus, $\mathbf{S}_y(u) - \mathbf{S}'_y(u)$ must be supported on the $(d - k - 1)$ -skeleton of $(u - y)^{-1}(\mathcal{X})$, and thus $\mathbf{S}_y(u) = \mathbf{S}'_y(u)$ because no non-trivial $(d - k)$ -chain can be supported on a $(d - k - 1)$ -dimensional set [57, Theorem 3.1]. We have shown that \mathbf{S}' agrees with \mathbf{S} on smooth maps, and by continuity of \mathbf{S}' , we must have $\mathbf{S}' = \mathbf{S}$. \square

We now turn to the study of \mathbf{S}^{bd} . Suppose that $d \geq k + 1$, and let $\Omega' \supset\supset \Omega$ be an open set. For $g \in X^{\text{bd}} := (L^\infty \cap W^{1-1/k, k})(\partial\Omega, \mathbb{R}^m)$, take a map $u \in (L^\infty \cap W^{1, k})(\Omega', \mathbb{R}^m)$ that satisfies $u|_{\partial\Omega} = g$ in the sense of traces. Since $\mathbf{S}_y(u) \in \mathbb{F}_{d-k}(\Omega'; \pi_{k-1}(\mathcal{N}))$ has finite mass for a.e. y , due to (P₄), the restriction $\mathbf{S}_y(u) \llcorner \Omega$ is well-defined, for a.e. y . Let $\mathbf{S}_y^{\text{bd}}(g) := \partial(\mathbf{S}_y(u) \llcorner \Omega)$.

Proof of Proposition 3.3. By construction, $\mathbf{S}_y^{\text{bd}}(g)$ is supported in $\bar{\Omega}$. On the other hand, by noting that $\mathbf{S}_y(u)$ has no boundary inside Ω' due to (P₃), we see that

$$(3.24) \quad \mathbf{S}_y^{\text{bd}}(g) = -\partial(\mathbf{S}_y(u) - \mathbf{S}_y(u) \llcorner \Omega) = -\partial(\mathbf{S}_y(u) \llcorner (\mathbb{R}^d \setminus \Omega))$$

is supported in $\mathbb{R}^d \setminus \Omega$. Thus, $\mathbf{S}_y^{\text{bd}}(g) \in \mathbb{F}_{d-k-1}(\partial\Omega; \pi_{k-1}(\mathcal{N}))$ for a.e. y . In fact, the map $y \mapsto \mathbf{S}_y^{\text{bd}}(g)$ belongs to $Y^{\text{bd}} := L^1(\mathbb{R}^m, \mathbb{F}_{d-k-1}(\partial\Omega; \pi_{k-1}(\mathcal{N})))$, because $\mathbb{F}(\mathbf{S}_y^{\text{bd}}(g)) \leq \mathbb{M}(\mathbf{S}_y(u))$ by (2.3) and the integral of $\mathbb{M}(\mathbf{S}_y(u))$ with respect to y is finite, due to (P₄). We now claim that

$$(3.25) \quad \mathbf{S}_y(u) \llcorner \partial\Omega = 0 \quad \text{for a.e. } y \in \mathbb{R}^m.$$

Indeed, for $\rho \in (0, \text{dist}(\Omega, \partial\Omega'))$, let $\Gamma_\rho := \{x \in \mathbb{R}^d : \text{dist}(x, \partial\Omega) < \rho\}$. Thanks to (P₄) and the locality of \mathbf{S} (Corollary 3.2), we have

$$\int_{\mathbb{R}^d} \mathbb{M}(\mathbf{S}_y(u) \llcorner \partial\Omega) \, dy \leq \int_{\mathbb{R}^d} \mathbb{M}(\mathbf{S}_y(u) \llcorner \Gamma_\rho) \, dy \leq C \|\nabla u\|_{L^k(\Gamma_\rho)}^k$$

and the right-hand side tends to zero as $\rho \rightarrow 0$, so (3.25) follows. As a consequence of (3.25), we have $\mathbf{S}_y(u) \llcorner \Omega = \mathbf{S}_y(u) \llcorner \bar{\Omega}$ for a.e. y .

We check that $\mathbf{S}_y^{\text{bd}}(g)$ is independent of the choice of u . Let u_1, u_2 be two maps in $(L^\infty \cap W^{1,k})(\Omega', \mathbb{R}^m)$ such that $u_1 = u_2 = g$ on $\partial\Omega$ in the sense of traces. Define the map u_* by

$$u_* := \begin{cases} u_1 & \text{on } \Omega \\ u_2 & \text{on } \mathbb{R}^d \setminus \Omega, \end{cases}$$

and note that $u_* \in (L^\infty \cap W^{1,k})(\Omega', \mathbb{R}^m)$. By the locality of the operator \mathbf{S} (Corollary 3.2), we have $\mathbf{S}_y(u_*) \llcorner \Omega = \mathbf{S}_y(u_1) \llcorner \Omega$, $\mathbf{S}_y(u_*) \llcorner (\mathbb{R}^d \setminus \bar{\Omega}) = \mathbf{S}_y(u_2) \llcorner (\mathbb{R}^d \setminus \bar{\Omega})$ and hence

$$\begin{aligned} \partial(\mathbf{S}_y(u_1) \llcorner \Omega) &= \partial(\mathbf{S}_y(u_*) \llcorner \Omega) \stackrel{(3.24)-(3.25)}{=} -\partial(\mathbf{S}_y(u_*) \llcorner (\mathbb{R}^d \setminus \bar{\Omega})) \\ &= -\partial(\mathbf{S}_y(u_2) \llcorner (\mathbb{R}^d \setminus \bar{\Omega})) \stackrel{(3.24)-(3.25)}{=} \partial(\mathbf{S}_y(u_2) \llcorner \Omega). \end{aligned}$$

It only remains to prove the sequential continuity of \mathbf{S}^{bd} . Let $(g_j)_{j \in \mathbb{N}}$ be a sequence that converges to g weakly in $W^{1-1/k,k}(\partial\Omega, \mathbb{R}^m)$, and suppose that $\Lambda := \sup_j \|g_j\|_{L^\infty(\partial\Omega)} < +\infty$. By Rellich-Kondrakov theorem, we know that $g_j \rightarrow g$ strongly in $L^k(\Omega, \mathbb{R}^m)$. We can find an open set $\Omega' \supset \supset \Omega$ and functions $u_j, u \in W^{1,k}(\Omega', \mathbb{R}^m)$ such that $u_j|_{\partial\Omega} = g_j$, $u|_{\partial\Omega} = g$ in the sense of traces, and

$$(3.26) \quad \|u_j - u\|_{L^k(\Omega')} \leq C \|g_j - g\|_{L^k(\partial\Omega)},$$

$$(3.27) \quad \|\nabla u_j\|_{L^k(\Omega')} \leq C \|g_j\|_{W^{1-1/k,k}(\partial\Omega)}, \quad \|\nabla u\|_{L^k(\Omega')} \leq C \|g\|_{W^{1-1/k,k}(\partial\Omega)}.$$

By a truncation argument, we can also assume that $\sup_j \|u_j\|_{L^\infty(\Omega)} \leq \Lambda$, $\|u\|_{L^\infty(\Omega)} \leq \Lambda$. For any $\rho \in (0, \text{dist}(\Omega, \partial\Omega'))$, let $\Omega_\rho := \Omega \cup \Gamma_\rho = \{x \in \mathbb{R}^d : \text{dist}(x, \Omega) < \rho\}$. By applying (2.9) with $U = \Omega_\rho$, $H = \Omega$, and using that $\mathbb{F}_{\Omega_\rho} \leq \mathbb{F}_{\Omega'}$ (as a consequence of Lemma 2.2), we obtain

$$\begin{aligned} \mathbb{F}(\mathbf{S}_y^{\text{bd}}(g_j) - \mathbf{S}_y^{\text{bd}}(g)) &\leq \mathbb{F}((\mathbf{S}_y(u_j) - \mathbf{S}_y(u)) \llcorner \Omega) \\ &\leq (1 + \rho^{-1}) \mathbb{F}_{\Omega'}(\mathbf{S}_y(u_j) - \mathbf{S}_y(u)) + \mathbb{M}((\mathbf{S}_y(u_j) - \mathbf{S}_y(u)) \llcorner \Gamma_\rho). \end{aligned}$$

We integrate with respect to y and apply (3.1), (P₄) to deduce

$$\begin{aligned} \int_{\mathbb{R}^m} \mathbb{F}(\mathbf{S}_y^{\text{bd}}(g_j) - \mathbf{S}_y^{\text{bd}}(g)) \, dy &\leq C(1 + \rho^{-1}) \|u_j - u\|_{L^k(\Omega')} \left(\|\nabla u_j\|_{L^k(\Omega')}^{k-1} + \|\nabla u\|_{L^k(\Omega')}^{k-1} \right) \\ &\quad + \|\nabla u_j\|_{L^k(\Gamma_\rho)}^k + \|\nabla u\|_{L^k(\Gamma_\rho)}^k \\ &\stackrel{(3.26)-(3.27)}{\leq} C(1 + \rho^{-1}) \|g_j - g\|_{L^k} \left(\|g_j\|_{W^{1-1/k,k}}^{k-1} + \|g\|_{W^{1-1/k,k}}^{k-1} \right) \\ &\quad + \|\nabla u_j\|_{L^k(\Gamma_\rho)}^k + \|\nabla u\|_{L^k(\Gamma_\rho)}^k. \end{aligned}$$

By letting $j \rightarrow +\infty$ first, and then $\rho \rightarrow 0$, we deduce that \mathbf{S}^{bd} is sequentially continuous. \square

Proof of Proposition 3.4. We first prove (3.2). Let $u \in (L^\infty \cap W^{1,k})(\Omega', \mathbb{R}^m)$ be such that $u = g$ on $\partial\Omega$, in the sense of traces. For $j \in \{1, 2\}$, define

$$\tilde{u}_j := \begin{cases} u_j & \text{on } \bar{\Omega}, \\ u & \text{on } \Omega' \setminus \bar{\Omega}. \end{cases}$$

Let ρ_ε be a standard mollifier supported in B_ε^d , and let $v_{j,\varepsilon} := \tilde{u}_j * \rho_\varepsilon$. By taking a smaller Ω' , we have that $v_{j,\varepsilon}$ is well-defined and smooth on Ω' , for any ε small enough. Setting $\Omega_\varepsilon := \{x \in \mathbb{R}^d : \text{dist}(x, \Omega) < \varepsilon\}$, we have $v_{1,\varepsilon} = v_{2,\varepsilon}$ on $\Omega' \setminus \Omega_\varepsilon$. Therefore, by Proposition 3.9, for a.e. $y \in \mathbb{R}^m$ and any ε there exists a smooth chain $R_\varepsilon \in \mathbb{M}_{d-k+1}(\overline{\Omega}_\varepsilon; \pi_{k-1}(\mathcal{N}))$ such that

$$\mathbf{S}_y(v_{2,\varepsilon}) \llcorner \overline{\Omega}_\varepsilon - \mathbf{S}_y(v_{1,\varepsilon}) \llcorner \overline{\Omega}_\varepsilon = \partial R_\varepsilon$$

and $\sup_\varepsilon \mathbb{M}(R_\varepsilon) < +\infty$. Up to extraction of a subsequence, we have $\mathbb{F}(R_\varepsilon - R) \rightarrow 0$ as $\varepsilon \rightarrow 0$, for some $R \in \mathbb{M}_{d-k+1}(\overline{\Omega}; \pi_{k-1}(\mathcal{N}))$. Therefore, (3.2) follows if we show that $\mathbf{S}_y(v_{j,\varepsilon}) \llcorner \overline{\Omega}_\varepsilon$ \mathbb{F} -converges to $\mathbf{S}_y(u_j) \llcorner \overline{\Omega}$, for $j \in \{1, 2\}$ and a.e. y . To this end, let us fix $\varepsilon_0 > 0$ and take $0 < \varepsilon < \varepsilon_0$. We apply (2.9) and Lemma 2.2, to obtain

$$\mathbb{F}(\mathbf{S}_y(v_{j,\varepsilon}) \llcorner \overline{\Omega}_\varepsilon - \mathbf{S}_y(\tilde{u}_j) \llcorner \overline{\Omega}) \leq (1 + \varepsilon_0^{-1}) \mathbb{F}_{\Omega'}(\mathbf{S}_y(v_{j,\varepsilon}) - \mathbf{S}_y(v_j)) + \mathbb{M}(\mathbf{S}_y(v_{j,\varepsilon})) \llcorner (\overline{\Omega}_{\varepsilon_0} \setminus \overline{\Omega})$$

for $j \in \{1, 2\}$. For a.e. y , the first term in the right-hand side converges to zero as $\varepsilon \rightarrow 0$, because $v_{j,\varepsilon} \rightarrow v_j$ in $(L^\infty \cap W^{1,k-1})(\Omega', \mathbb{R}^m)$ and because of (P₅). As for the right-hand side, we have

$$\sup_{0 < \varepsilon < \varepsilon_0} \int_{\mathbb{R}^d} \mathbb{M}(\mathbf{S}_y(v_{j,\varepsilon})) \llcorner (\overline{\Omega}_{\varepsilon_0} \setminus \overline{\Omega}) \, dy \leq C \sup_{0 < \varepsilon < \varepsilon_0} \|\nabla v_{j,\varepsilon}\|_{L^k(\Omega_{\varepsilon_0} \setminus \Omega)}^k \leq C \|\nabla v_j\|_{L^k(\Gamma_{2\varepsilon_0})}^k$$

where $\Gamma_{2\varepsilon_0} := \{x \in \mathbb{R}^d : \text{dist}(x, \partial\Omega) < 2\varepsilon_0\}$. (We have applied here Young's inequality for the convolution.) Since the right-hand side converges to zero as $\varepsilon_0 \rightarrow 0$, we conclude the proof of (3.2).

We turn now to the proof of (3.3). In view of (3.2), we can assume without loss of generality that $u_1 = u_2$. Let $0 < \theta < 1$ be fixed. Let $y_1 \in \mathbb{R}^m$ with $|y_1| \leq \theta\delta_0 = \theta \text{dist}(\mathcal{N}, \mathcal{X})$. Let $\xi \in C_c^\infty(\mathbb{R}^m)$ be a cut-off function such that $0 \leq \xi \leq 1$, $\xi = 0$ in a neighbourhood of \mathcal{N} and $\xi = 1$ in a neighbourhood of $\mathcal{X} + y_1$, and let $\phi: \mathbb{R}^m \rightarrow \mathbb{R}^m$ be given by $\phi(z) := z - y_0 \xi(z)$ for a fixed $y_0 \in \mathbb{R}^m$. There exists $\delta > 0$ such that, for $|y_0| \leq \delta$, the map ϕ is a diffeomorphism. In fact, since the C^1 -norm of ξ can be bounded in terms of θ, δ_0 , we can choose $\delta = \delta(\theta, \delta_0)$ uniformly with respect to $y_1 \in B_{\theta\delta_0}^m$. Then, for $|y_0| \leq \delta$ and a.e. y in a neighbourhood of y_1 , there holds

$$\mathbf{S}_y(\phi(u_1)) = \mathbf{S}_{y+y_0}(u_1).$$

This equality is readily checked in case u_1 is smooth, and remains true in general by the continuity of \mathbf{S} . Since $\phi(u_1)$ has trace g on $\partial\Omega$ (because g is \mathcal{N} -valued), we can apply (3.2) and deduce that (3.3) holds, provided that $|y_1| \leq \theta\delta_0$ and $|y_1 - y_2| \leq \delta$. Since $B_{\theta\delta_0}^m$ can be covered by finitely many balls of diameter δ , (3.3) remains true when $|y_1| \leq \theta\delta_0$, $|y_2| \leq \theta\delta_0$, and the proposition follows by letting $\theta \nearrow 1$. \square

3.4 The topological singular set of \mathcal{N} -valued maps

In this section, we study the special case of \mathcal{N} -valued Sobolev maps. We show that Pakzad and Rivière's construction [52] of a topological singular set

$$\mathbf{S}^{\text{PR}}: W^{1,k-1}(\Omega, \mathcal{N}) \rightarrow \mathbb{F}_{d-k}(\overline{\Omega}, \pi_{k-1}(\mathcal{N}))$$

is essentially equivalent to \mathbf{S} , that is, one can reconstruct the operator \mathbf{S} given \mathbf{S}^{PR} , and conversely. As a consequence, we prove Theorem 1, which extends the results in [52].

We first recall the definition of \mathbf{S}^{PR} . Let $R_p^\infty(\Omega, \mathcal{N})$ (resp. $R_p^0(\Omega, \mathcal{N})$) be the class of maps $u \in W^{1,p}(\Omega, \mathcal{N})$ that are smooth (resp., continuous) on $\bar{\Omega}$ away from the skeleton of a polyhedral $(d - \lfloor p \rfloor - 1)$ -complex. The set $R_p^\infty(\Omega, \mathcal{N})$ is dense in $W^{1,p}(\Omega, \mathcal{N})$ [8, Theorem 2] (and so is, a fortiori, $R_p^0(\Omega, \mathcal{N})$). Let $u \in R_p^0(\Omega, \mathcal{N})$ and let Z be a polyhedral $(d - \lfloor p \rfloor - 1)$ -complex such that $u \in C^0(\Omega \setminus Z)$. For each $(d - \lfloor p \rfloor - 1)$ -cell H of Z , we take a $(\lfloor p \rfloor + 1)$ -disk B_H that intersect transversely H at a unique point x_H , and do not intersect any other cell of Z . We orient H and B_H in such a way that $\mathbb{T}_{x_H}H \oplus \mathbb{T}_{x_H}B_H$ induces the standard orientation on \mathbb{R}^d . We set

$$(3.28) \quad \mathbf{S}^{\text{PR}}(u) := \sum_H [u_*(\partial B_H)] \llbracket H \rrbracket,$$

the sum being taken over all $(d - \lfloor p \rfloor - 1)$ -cells H of Z . Pakzad and Rivière [52, Theorem II] showed that, in case $\Omega = B^d$ and $p \in [1, 2) \cup [d-1, d)$, the map \mathbf{S}^{PR} can be extended continuously to $W^{1,k-1}(B^d, \mathcal{N})$.

Lemma 3.13. *Let $\delta_0 := \text{dist}(\mathcal{N}, \mathcal{X})$. For any $u \in R_{k-1}^0(\Omega, \mathcal{N})$ and a.e. $y \in \mathbb{R}^m$ with $|y| < \delta_0$, there holds $\mathbf{S}_y(u) = \mathbf{S}^{\text{PR}}(u)$.*

Proof. Choose a number $0 < \delta < \delta_0$, and pick a function $u \in R_{k-1}^0(\Omega, \mathcal{N})$. By reflection (see e.g. [3, Lemma 8.1]), we can extend u to a new map defined on a slightly larger domain $\Omega' \supset \Omega$ that retracts onto $\bar{\Omega}$, in such a way that $u \in W^{1,k-1}(\Omega', \mathcal{N})$. Let ρ_ε be a standard mollifier supported in B_ε^d , and let $u_\varepsilon := u * \rho_\varepsilon$. For any $0 < \varepsilon < \text{dist}(\Omega, \partial\Omega')$, u_ε is a well-defined map in $C^\infty(\bar{\Omega}, \mathbb{R}^m)$. Let Z be a polyhedral $(d - k)$ -complex such that $u \in C^0(\Omega \setminus Z)$, and for any $\eta > 0$, let V_η be the closed η -neighbourhood of Z . Since u is \mathcal{N} -valued and uniformly continuous on $\bar{\Omega} \setminus V_\eta$, for ε small enough and any $x \in \bar{\Omega} \setminus V_\eta$ we have $\text{dist}(u_\varepsilon(x), \mathcal{N}) < \text{dist}(\mathcal{N}, \mathcal{X}) - \delta$. Thus, $\mathbf{S}_y(u_\varepsilon) \llcorner (\bar{\Omega} \setminus V_\eta) = 0$ for any y such that $|y| \leq \delta$. Taking the limit as $\varepsilon \rightarrow 0$ with the help of (P₅), and using that the flat-convergence preserves the support, we conclude that

$$\text{spt}(\mathbf{S}_y(u)) \subseteq \bigcap_{\eta > 0} V_\eta = Z \quad \text{for any } y \text{ with } |y| \leq \delta.$$

Moreover, $\mathbf{S}_y(u)$ is a cycle relative to Ω , being the flat limit of the relative cycles $\mathbf{S}_y(u_\varepsilon)$. Therefore, the constancy theorem [29, Theorem 7.1] implies that, for any open $(d - k)$ -cell H of Z , there exists $\alpha(H) \in \pi_{k-1}(\mathcal{N})$ such that $\mathbf{S}_y(u) \llcorner H = \alpha(H) \llbracket H \rrbracket$. In fact, we also have

$$\mathbf{S}_y(u) = \sum_H \alpha(H) \llbracket H \rrbracket,$$

because no non-trivial $(d - k)$ -chain can be supported on the $(d - k - 1)$ -skeleton of Z [57, Theorem 3.1]. Finally, let B_H be a closed k -disk that intersects transversely H at a single point, and does not intersect any other cell of Z . Arguing as above, we see that $\text{spt}(\mathbf{S}_y(u_\varepsilon)) \cap \partial B_H = \emptyset$ for any y such that $|y| \leq \delta$ and for ε small enough. Therefore, using the stability of \mathbb{I} with respect to flat convergence (Lemma 2.8) and (P₁), we conclude that

$$\alpha(H) = \mathbb{I}(\mathbf{S}_y(u), \llbracket B_H \rrbracket) = \mathbb{I}(\mathbf{S}_y(u_\varepsilon), \llbracket B_H \rrbracket) = [\varrho \circ (u - y)_*(\partial B_H)].$$

Now, when $|y| \leq \delta < \text{dist}(\mathcal{N}, \mathcal{X}^c)$, the map $z \in \mathcal{N} \mapsto \varrho(z-y)$ is homotopic to the identity on \mathcal{N} ; a homotopy is given by $(t, z) \in [0, 1] \times \mathcal{N} \mapsto \varrho(z-ty)$. Therefore, we have $[\varrho \circ (u-y)_*(\partial B_H)] = [u_*(\partial B_H)]$ and hence $\mathbf{S}_y(u) = \mathbf{S}^{\text{PR}}(u)$ for a.e. y with $|y| \leq \delta$. By letting $\delta \nearrow \delta_0$, the lemma follows. \square

Remark 3.1. Note that, in the proof of Lemma 3.13, we only need to apply Property (P₁) to smooth maps, so Lemma 3.13 only relies on the results in Section 3.2 and the continuity of \mathbf{S} .

Proof of Lemma 3.11. If $u: \Omega' \rightarrow \mathbb{R}^m$ is smooth then, for a.e. $y \in \mathbb{R}^m$, there holds $\varrho \circ (u-y) \in R^{1,k-1}(\Omega, \mathcal{N})$. Thus, Lemma 3.13 (see also Remark 3.1) and the very definition of $\mathbf{S}_y(u)$ imply

$$\mathbf{S}_{y'}(\varrho \circ (u-y)) = \mathbf{S}^{\text{PR}}(\varrho \circ (u-y)) = \mathbf{S}_y(u)$$

for a.e. y' with $|y'| < \delta_0$. \square

Proof of Proposition 3.5. In case $u \in W^{1,1-k}(\Omega, \mathcal{N})$, the statement follows immediately from Lemma 3.13, combined with a density argument. For $g \in X^{\text{bd}}$, the statement follows by taking the boundary of both sides of (3.3), and using Proposition 3.3. Finally, an arbitrary map $u \in W^{1,k}(\Omega, \mathcal{N})$ can be approximated (in the $W^{1,k}$ -norm) by maps $\tilde{u}: \Omega \rightarrow \mathcal{N}$ that are smooth away from the skeleton of a smooth complex of dimension $d-k-1$. By Lemma 3.13, for a.e. y with $|y| < \delta_0$ we have $\mathbf{S}_y(\tilde{u}) = \mathbf{S}^{\text{PR}}(\tilde{u})$, and the latter must be zero because no non-trivial, smooth $(d-k)$ -chain can be supported on a $(d-k-1)$ -dimensional set. The proposition follows by a density argument. \square

We conclude this section by giving the proof of Theorem 1.

Proof of Theorem 1. For any $u \in R^{1,p}(B^d, \mathcal{N})$, $\mathbf{S}^{\text{PR}}(u)$ is defined by (3.28), as in [52]. For any two maps $u_0, u_1 \in R^{1,p}(B^d, \mathcal{N})$, Lemma 3.13 and (P₅) (with the choice $k = \lfloor p \rfloor + 1$) imply that

$$\mathbb{F} \left(\mathbf{S}^{\text{PR}}(u_1) - \mathbf{S}^{\text{PR}}(u_0) \right) \leq C \int_{B^d} |u_1 - u_0| \left(|\nabla u_0|^{\lfloor p \rfloor} + |\nabla u_1|^{\lfloor p \rfloor} + 1 \right)$$

for some constant $C = C(\mathcal{N}, \mathcal{X}, p)$. Then, by applying Lebesgue dominated theorem to the right-hand side of this inequality, we deduce that \mathbf{S}^{PR} maps Cauchy sequences in $R^{1,p}(B^d, \mathcal{N})$ into Cauchy sequences in $\mathbb{F}_{d-\lfloor p \rfloor-1}(\overline{B}^d; \pi_{\lfloor p \rfloor}(\mathcal{N}))$. Thus, \mathbf{S}^{PR} admits a continuous extension to $W^{1,p}(B^d, \mathcal{N})$. Now, the theorem follows by the same arguments of [52, Theorem II]. \square

4 Applications to \mathcal{N} -valued BV spaces

4.1 Density of smooth, \mathcal{N} -valued maps in BV

In this section, we consider the space $\text{BV}(\Omega, \mathbb{R}^m)$, consisting of functions $u \in L^1(\Omega, \mathbb{R}^m)$ whose distributional derivative Du is a finite Radon measure, endowed with the norm $\|u\|_{\text{BV}(\Omega)} := \|u\|_{L^1(\Omega)} + |Du|(\Omega)$. We also consider the semi-norm $|u|_{\text{BV}(\Omega)} := |Du|(\Omega)$. The distributional derivative of a BV-function has the following representation:

$$Du = \nabla u \mathcal{L}^d + D^c u + D^j u,$$

where ∇u is called the approximate gradient of u , $D^c u$ and $D^j u$ are, respectively, the Cantor and the jump part. The latter is supported on a $(d-1)$ -rectifiable set J_u , called the jump set, and we have

$$D^j u = (u^+ - u^-) \otimes \nu_u \mathcal{H}^{d-1} \llcorner J_u$$

where ν_u is the approximate unit normal to J_u and u^+ , u^- are the approximate traces of u from either side of J_u . We define $\text{SBV}(\Omega, \mathbb{R}^m)$ as the set of all functions $u \in \text{BV}(\Omega, \mathbb{R}^m)$ such that $D^c u = 0$. We refer the reader, e.g., to [5] for more details and notation. We define $\text{BV}(\Omega, \mathcal{N})$ (resp., $\text{SBV}(\Omega, \mathcal{N})$) as the set of maps $u \in \text{BV}(\Omega, \mathbb{R}^m)$ (resp., $u \in \text{SBV}(\Omega, \mathbb{R}^m)$) such that $u(x) \in \mathcal{N}$ for a.e. $x \in \Omega$. We say that a sequence u_j of BV-functions converges weakly to u if and only if $u_j \rightarrow u$ strongly in L^1 and $Du_j \rightharpoonup^* Du$ weakly* as elements of the dual $C_0(\Omega, \mathbb{R}^m)'$.

Proof of Theorem 2. Let $u_j \in C^\infty(B^d, \mathbb{R}^m)$ be a sequence of smooth maps that converges to u weakly in BV and a.e., with $\|\nabla u_j\|_{L^1(B^d)} \leq C|Du|(B^d)$ (see e.g. [5, Theorem 3.5]). Since \mathcal{N} is compact, by a truncation argument we can make sure that $\|u\|_{L^\infty(B^d)} \leq \Lambda$, for some constant Λ that only depends on the embedding of \mathcal{N} in \mathbb{R}^m . Since \mathcal{N} is connected and $\pi_1(\mathcal{N})$ is abelian, we can apply Theorem 3.1 to u_j with $k = 2$. In particular, by (P₃), for any $j \in \mathbb{N}$ and a.e. $y \in B_{\delta_0}^m$ (where $\delta_0 := \text{dist}(\mathcal{N}, \mathcal{X})$) there exists a $(d-1)$ -chain R_y^j such that $(\partial R_y^j - \mathbf{S}_y(u_j)) \llcorner B^d = 0$ and

$$\int_{B_{\delta_0}^m} \mathbb{M}(R_y^j) \, dy \leq C \|\nabla u_j\|_{L^1(B^d)} \leq C|Du|(B^d).$$

Moreover, by Lemma 3.8 we have

$$\int_{B_{\delta_0}^m} \left(\int_{B^d} |\nabla(\varrho \circ (u_j - y))(x)| \, dx \right) \, dy \leq C \|\nabla u_j\|_{L^1(B^d)} \leq C|Du|(B^d).$$

By an average argument we deduce that, for each $j \in \mathbb{N}$ and $\delta \in (0, \delta_0)$, there exists $y(j) \in B_\delta^m$ such that

$$\|\nabla(\varrho \circ (u_j - y(j)))\|_{L^1(B^d)} \leq C|Du|(\Omega), \quad \mathbb{M}(R_{y(j)}^j) \leq C|Du|(B^d),$$

for some constant C that depends on δ . By choosing δ small enough, we can make sure that the map $\varrho_y: z \in \mathcal{N} \mapsto \varrho(z - y)$ has a smooth inverse $\varrho_y^{-1}: \mathcal{N} \rightarrow \mathcal{N}$ for any $y \in B_\delta^m$.

We set $w_j := (\varrho_{y(j)}^{-1} \circ \varrho)(u_j - y(j))$. Then, $w_j \in R^{1,1}(B^d, \mathcal{N})$ and the L^1 -norm of ∇w_j is bounded by the total variation of Du . By applying the ‘‘removal of the singularity’’ technique in [52, Proposition 5.1] we find a map $v_j \in C^\infty(B^d, \mathcal{N})$ such that

$$(4.1) \quad \|v_j - w_j\|_{L^1(B^d)} \leq j^{-1},$$

$$(4.2) \quad \|\nabla v_j\|_{L^1(B^d)} \leq \|\nabla w_j\|_{L^1(B^d)} + C\mathbb{M}(R_{y(j)}^j) + Cj^{-1} \leq C|Du|(B^d).$$

Now, $(w_j)_{j \in \mathbb{N}}$ is bounded in the BV-norm and hence, modulo extraction of a subsequence, w_j converges weakly in BV and a.e. to some limit $w \in \text{BV}(B^d, \mathbb{R}^m)$. On the other hand, it can be easily checked that, up to subsequences, v_j converges to u a.e. out of the \mathcal{H}^d -negligible set $\cup_j \text{spt } R_{y(j)}^j$, and hence by (4.1) we have $w = u$. \square

4.2 Lifting results in BV

In this section, we consider the lifting problem in BV. Let $\pi: \mathcal{E} \rightarrow \mathcal{N}$ be the universal covering of \mathcal{N} . We endow \mathcal{E} with the pull back metric $\pi^*(h_{\mathcal{N}})$, $h_{\mathcal{N}}$ being the metric of \mathcal{N} , so that π is a local isometry. We also identify \mathcal{E} with an isometrically embedded submanifold of some Euclidean space \mathbb{R}^ℓ , and we define $\text{BV}(\Omega, \mathcal{E})$ as the set of functions $u \in \text{BV}(\Omega, \mathbb{R}^\ell)$ such that $u(x) \in \mathcal{E}$ for a.e. $x \in \Omega$. We say that $v \in \text{BV}(\Omega, \mathcal{E})$ is a *lifting* for $u \in \text{BV}(\Omega, \mathcal{N})$ if $u = \pi \circ v$ a.e. on Ω . When the domain is a ball, the existence of a lifting in BV could be deduced by a density argument, based on Theorem 2, but we give below a different proof which works on more general domains.

Proof of Theorem 3. We choose a norm $|\cdot|$ on $\pi_1(\mathcal{N})$ that, in addition to (2.18), satisfies

$$(4.3) \quad \inf \left\{ \int_{\mathbb{S}^1} |\gamma'(s)| \, ds : \gamma \in g \cap W^{1,1}(\mathbb{S}^1, \mathcal{N}) \right\} \leq C |g|$$

for any $g \in \pi_1(\mathcal{N})$ and some g -independent constant C . Such a norm exists. Indeed, the left-hand side itself of (4.3) defines a norm on $\pi_1(\mathcal{N})$ that satisfies (2.18) up to a multiplicative factor, as any loop whose length is less than the injectivity radius of \mathcal{N} is contained in a contractible geodesic ball.

We also need to fix some notation. Given a smooth chain $R \in \mathbb{M}_{d-1}(\mathbb{R}^d; \pi_1(\mathcal{N}))$, we can always assign an orientation to each $(d-1)$ -cell of R . We can then write $R = \sum_i g_i \llbracket H_i \rrbracket$, where the H_i are oriented, smooth $(d-1)$ -polyhedra with pairwise disjoint interiors and $g_i \in \pi_1(\mathcal{N})$. We denote the local multiplicity of R at a point $x \in H_i \setminus \partial H_i$ by $\mathfrak{g}[R](x) := g_i$. Note that $\mathfrak{g}[R]$ depends on the choice of the orientation on H , and $\mathfrak{g}[R](x)$ changes into $-\mathfrak{g}[R](x)$ when the orientation of H is flipped.

Step 1 (Construction of an approximating sequence). Let Ω' be an open cube (i.e., $\Omega' = (-L, L)^d$ for some $L > 0$) that contains $\bar{\Omega}$. Let $u_\Omega := \mathcal{H}^d(\Omega)^{-1} \int_\Omega u \in \mathbb{R}^m$ be the average of u over Ω . Thanks to [5, Proposition 3.21] and the BV-Poincaré inequality [5, Theorem 3.44], we can extend $u - u_\Omega$ to a map $u_* \in (L^\infty \cap \text{BV})(\Omega', \mathbb{R}^m)$ that satisfies $|u_*|_{\text{BV}(\Omega')} \leq C |u|_{\text{BV}(\Omega)}$ for some constant $C = C(\Omega)$. Thus, redefining $u := u_* + u_\Omega$, we have constructed an extension of the given map u that belong to $(L^\infty \cap \text{BV})(\Omega', \mathbb{R}^m)$ and satisfies $|u|_{\text{BV}(\Omega')} \leq C |u|_{\text{BV}(\Omega)}$.

Take a sequence of smooth functions $u_j \in C^\infty(\Omega', \mathbb{R}^m)$ that converges to u BV-weakly and a.e., and is uniformly bounded in L^∞ . By applying Theorem 3.1 to u_j , with the choice $k = 2$, and using an average argument, for any $j \in \mathbb{N}$ we find $y(j) \in \mathbb{R}^m$ and a smooth $(d-1)$ -chain $R_j := R_{y(j)}^j \in \mathbb{M}_{d-1}(\bar{\Omega}'; \pi_1(\mathcal{N}))$ such that the following properties are satisfied. We set $S_j := \mathbf{S}_{y(j)}(u_j)$, $Z_j := (u_j - y(j))^{-1}(\mathcal{X}^{m-3})$ and $w_j := (\varrho_{y(j)}^{-1} \circ \varrho)(u_j - y(j))$. Then Z_j is a union of submanifolds of dimension $\leq d-3$ and there holds

$$(4.4) \quad w_j \in W^{1,1}(\Omega', \mathcal{N}) \cap C^\infty(\Omega' \setminus (\text{spt } S_j \cup Z_j), \mathcal{N})$$

$$(4.5) \quad w_j \rightarrow u \quad \text{a.e. on } \Omega$$

$$(4.6) \quad \|\nabla w_j\|_{L^1(\Omega')} + \mathbb{M}(R_j) \leq C |u|_{\text{BV}(\Omega)}$$

$$(4.7) \quad (\partial R_j - S_j) \llcorner \Omega' = 0.$$

Step 2 (Construction of a lifting for w_j). For each $j \in \mathbb{N}$, we will construct a lifting v_j of w_j such that $v_j \in C^\infty(\Omega' \setminus (\text{spt } R_j \cup Z_j), \mathcal{E})$ and

$$(4.8) \quad v_j^+(x) = (-1)^d \mathfrak{g}[R_j](x) \cdot v_j^-(x) \quad \text{for } \mathcal{H}^{d-1}\text{-a.e. } x \in \text{spt } R_j.$$

To this end, we adapt a well-known topological construction (see e.g. [41, Proposition 1.33]). We choose base points $x_0 \in \Omega' \setminus \cup_j (\text{spt } R_j \cup Z_j)$, $n_j := w_j(x_0)$ and $e_j \in \pi^{-1}(n_0)$. For any $x \in \Omega' \setminus (\text{spt } R_j \cup Z_j)$, we take a smooth path $\gamma: [0, 1] \rightarrow \Omega' \setminus (\text{spt } S_j \cup Z_j)$ from x_0 to x . (Such a path exists, by transversality reasons.) We suppose that γ crosses transversely each cell of R_j , which is generically the case, by Thom's transversality theorem. In particular, there exists finitely many $t_i \in (0, 1)$ such that $\gamma(t_i) \in \text{spt } R_j$; moreover, each $\gamma(t_i)$ lies in the interior of a $(d-1)$ -cell. We define $g_i \in \pi_1(\mathcal{N})$ by

$$(4.9) \quad g_i := \begin{cases} (-1)^d \mathfrak{g}[R_j](\gamma(t_i)) & \text{if } \gamma'(t_i) \text{ agrees with the orientation of } R_j \\ (-1)^{d-1} \mathfrak{g}[R_j](\gamma(t_i)) & \text{otherwise.} \end{cases}$$

We define a path $\alpha: [0, 1] \rightarrow \mathcal{E}$ in the following way: on the interval $[0, t_1)$, α is the lifting of $w_j \circ \gamma_{x|[0, t_1]}$ starting from the point e_j ; on $[t_1, t_2)$, α is the lifting of $w_j \circ \gamma_{x|[t_1, t_2]}$ starting from $g_1 \cdot \alpha(t_1^-)$, and so on. Note that α is uniquely defined by γ . Then, we set $v_j(x) := \alpha(1) \in \mathcal{E}$.

We need to check that v_j is well-defined. Let γ, η be two paths from x_0 to x as above, and let α, β be the corresponding paths in \mathcal{E} obtained via the previous construction. Let g_1, \dots, g_p , resp. h_1, \dots, h_q , be the elements of $\pi_1(\mathcal{N})$ associated with γ , resp. η , via (4.9). We denote by $\gamma * \bar{\eta}$ the loop obtained by first travelling along γ then along η , the opposite way from x to x_0 . Since Ω' is a cube, hence a simply connected set, $\gamma * \bar{\eta}$ can be seen as the boundary of a smooth chain $T \in \mathbb{M}_2(\Omega'; \mathbb{Z})$. By definition of the g_i 's and h_k 's and by Lemma 2.8, we have

$$(4.10) \quad -\sum_{i=1}^p g_i + \sum_{k=1}^q h_k = (-1)^{d-1} \mathbb{I}(R_j, \partial T) = \mathbb{I}(\partial R_j, T) \stackrel{(4.7)}{=} \mathbb{I}(S_j, T) \stackrel{(P_1)}{=} [w_{j,*}(\partial T)].$$

Let $\sigma: [0, 1] \rightarrow \mathcal{E}$, resp. $\tau: [0, 1] \rightarrow \mathcal{E}$, be liftings for $w_j \circ \gamma$, resp. $w_j \circ \eta$, with $\sigma(0) = \tau(0) = e_j$. Then, by construction of α, β , we have

$$\alpha(1) = \sum_{i=1}^p g_i \cdot \sigma(1), \quad \beta(1) = \sum_{k=1}^q h_k \cdot \tau(1)$$

and $\sigma(1) = [w_{j,*}(\partial T)] \cdot \tau(1)$. From these identities and (4.10), it follows that $\alpha(1) = \beta(1)$, so $v_j(x)$ is well-defined. Now, arguing exactly as in [41, Proposition 1.33], one sees that v_j is smooth on $\Omega' \setminus (\text{spt } R_j \cup Z_j)$, and (4.8) is satisfied by construction.

Step 3 (Passage to the limit). Since π is a local isometry and $w_j = \pi \circ v_j$, we have that $|\nabla v_j| = |\nabla w_j|$ on $\Omega' \setminus (\text{spt } R_j \cup Z_j)$; moreover, for any $y \in \mathcal{E}$ and $g \in \pi_1(\mathcal{N})$ there holds

$$|y - g \cdot y| \leq \text{dist}_{\mathcal{E}}(y, g \cdot y) = \inf_{\gamma \in g} \int_{\mathbb{S}^1} |\gamma'(s)| \, ds \stackrel{(4.3)}{\leq} C |g|,$$

where $\text{dist}_{\mathcal{E}}$ denotes the geodesic distance in \mathcal{E} . Together with (4.8), this yields $|\text{D}^j v_j|(\Omega') \leq \mathbb{M}(R_j)$ and hence, by (4.6),

$$(4.11) \quad |v_j|_{\text{BV}(\Omega')} \leq C |u|_{\text{BV}(\Omega)}.$$

Now, thanks to the BV-Poincaré-type inequality [25, Lemma 6, Eq. (16)], for each j we find $\xi_j \in \mathcal{E}$ such that

$$(4.12) \quad \int_{\Omega'} \text{dist}_{\mathcal{E}}(v_j(x), \xi_j) \, dx \leq C |v_j|_{\text{BV}(\Omega')}.$$

Since the group $\pi_1(\mathcal{N})$ acts isometrically on \mathcal{E} , and since \mathcal{E} admits a cover of the form $\{g \cdot U\}_{g \in \pi_1(\mathcal{N})}$ where $U \subseteq \mathcal{E}$ is bounded, by multiplying each v_j by a suitable element of $\pi_1(\mathcal{N})$ we can assume without loss of generality that the ξ_j 's are uniformly bounded. Then, (4.11) and (4.12) imply that $(v_j|_{\Omega})_{j \in \mathbb{N}}$ is bounded in BV. We extract a subsequence that converges BV-weakly and a.e. to a limit $v \in \text{BV}(\Omega, \mathcal{E})$; by (4.5) and (4.11), v is a lifting of u with the desired properties.

Step 4 (The case $u \in \text{SBV}$). Let ι be the canonical embedding $\mathbb{R}^m \rightarrow \mathbb{R}^m \times \mathbb{R}^{2\ell}$. We first construct a smooth immersion $\tilde{\pi}: \mathbb{R}^\ell \rightarrow \mathbb{R}^{m+2\ell}$ that restricts to $\iota \circ \pi$ on $\mathcal{E} \subseteq \mathbb{R}^\ell$. We consider a tubular neighbourhood U of \mathcal{E} together with the nearest-point projection $\tau: U \rightarrow \mathcal{E}$, which is well-defined and smooth. We take smooth cut-off functions ξ_0, ξ_1 such that $\xi_0 = 0$ and $\xi_1 = 1$ in a neighbourhood of \mathcal{E} , $\text{spt}(\xi_1) \subseteq U$ and $\text{spt}(1 - \xi_0)$ is contained in the interior of $\xi_1^{-1}(1)$ (so that, for any $x \in \mathbb{R}^\ell$, either ξ_0 or ξ_1 is equal to 1 in a neighbourhood of x). We set

$$\tilde{\pi}(x) := (\xi_1(x)\pi(\tau(x)), \xi_1(x)(x - \tau(x)), \xi_0(x)x) \quad \text{for } x \in \mathbb{R}^\ell.$$

Using the fact that $\pi: \mathcal{E} \rightarrow \mathcal{N}$ is a local isometry, and in particular an immersion, it can be checked that $\tilde{\pi}$ has injective differential at any point; moreover, $\tilde{\pi}|_{\mathcal{E}} = \iota \circ \pi$. Take now a map $u \in \text{SBV}(\Omega, \mathcal{N})$ and a lifting $v \in \text{BV}(\Omega, \mathcal{E})$. Then $\tilde{\pi} \circ v = \iota \circ u \in \text{SBV}(\Omega, \mathbb{R}^{m+2\ell})$ and hence the chain rule for BV-functions [5, Theorem 3.96] implies $\nabla \tilde{\pi}(\bar{v}) \text{D}^c v = \text{D}^c(\iota \circ u) = 0$, where \bar{v} is the precise representative of v (see, e.g., [5, Corollary 3.80]). Since $\nabla \tilde{\pi}(y)$ is injective for any $y \in \mathbb{R}^{m+2\ell}$, we conclude that $\text{D}^c v = 0$, that is, $v \in \text{SBV}(\Omega, \mathcal{E})$. \square

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